- 1 Joint ACG/CAG antithrombotic CPG: evidence profiles
- 2 Grigorios Leontiadis and Bryan Sauer

- 4 A. MANAGEMENT OF PATIENTS ON ANTITHROMBOTIC (AT) AGENTS UNDERGOING ENDOSCOPY
- 5 **FOR ACUTE GIB**
- 6 *Patients hospitalized or under observation with acute overt GIB (upper and/or lower) manifesting as melena, hematochezia or hematemesis.
- 7 PICOs
- 8 1. GI bleeding: VKA reversal with FFP vs none
- 9 2. GI bleeding: VKA reversal with PCC vs none
- 10 3. GI bleeding: VKA reversal with PCC vs FFP
- 4. GI bleeding: VKA reversal with vitamin K vs none
- 12 5. GI bleeding: dabigatran reversal with idarucizumab vs none
- 13 6. GI bleeding: rivaroxaban or apixaban reversal with andexanet vs none
- 7. GI bleeding: any DOAC reversal with PCC vs none
- 15 8. GI bleeding: antiplatelet reversal with platelet transfusion vs none
- 16 9. GI bleeding: hold ASA vs continue ASA
- 17 10. After GI bleeding: resume ASA same day as endoscopic hemostasis vs 1-7 days later

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20 1. GIB: FFP for reversal of VKA 1. GIB: Reversal of vitamin K antagonist with FFP 21 22 23 P: Patient with GIB currently taking warfarin 24 I: FFP 25 C: no reversal agents O: CRITICAL: Further bleeding within 7 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, 26 deep vein thrombosis, pulmonary embolus) 27 28 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related event (congestive heart failure, pulmonary edema) within 7 days 29 30 **COMMENTS THAT RELATE TO MORE THAN ONE PICOS** 31 32 PICOs 1-9: Further bleeding is defined as continued or recurrent bleeding that is clinically significant, i.e., with evidence of any of the following: 33 Hemodynamic instability 34 2 gm or greater drop in Hg Necessity for endoscopic care, transfusion or hospitalization 35 36 37 PICOs 1-4: Indirectness issue when assessing studies on VKA reversal in patients with intracranial bleed: The speed of treatment is a critical factor for anticoagulated patients with intracranial hemorrhage (see intro in Vigue ICM 2007 11). However, the speed of (endoscopic) treatment 38 39 and the speed of anticoagulant reversal seem to be less critical for anticoagulated patents with GI bleeding. 40 41 PICOs 1-3: Other factors (other than efficacy) influencing the choice between FFP and PCC: 42 Holbrook A et al. Evidence-based management of Anticoagulant therapy: Antithrombotic Therapy and Prevention of Thrombosis, 9th ed: American College of Chest Physicians Evidence-Based Clinical Practice Guidelines. Chest. 2012 Feb;141(2 Suppl):e152S-e184S 43 "FFP has the disadvantage of potential allergic reaction or transmission of infection, preparation time, and higher volume." 44 45 "Factor concentrates including PCC are expensive" 46 Sarode R et al. Rapid warfarin reversal: a 3-factor prothrombin complex concentrate and recombinant factor VIIa cocktail for 47 intracerebral hemorrhage. J Neurosurg 2012 Mar;116(3):491-7) 48 "Moreover, FFP transfusion has several disadvantages: 1) a delay in therapeutic effect because of the time required to obtain the ABO 49

blood type and to thaw and transfuse several hundred milliliters of FFP; 2) the risk of transfusion-associated circulatory overload, especially in elderly patients with cardiac disease; 3) the risk of allergic reactions; 4) the risk of infection from exposure to multiple donors; and 5) transfusion-related acute lung injury, the most common cause of transfusion-related deaths in the US."

"The PCCs can be reconstituted within minutes in a small volume of diluent (20–40 ml/dose) and can be infused rapidly (10 ml/minute) for partial or complete reversal of the warfarin effect without transfusion-associated circulatory overload. Importantly, there is minimal risk of transfusion-related acute lung injury, as PCCs lack anti-human leukocyte antigen/anti-granulocyte antibodies and carry a negligible risk for viral transmission due to the viral inactivation steps incorporated."

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Dentali F et al. Safety of prothrombin complex concentrates for rapid anticoagulation reversal of vitamin K antagonists. A meta-analysis.
 Thromb Haemost 2011; 106: 429–438 (Dentali TH 2011):

"However, FFP is not optimal for immediate correction of VKA-associated coagulopathy because

- it may transmit infectious agents,
- it causes allergic reactions
- and volume overload.
- it rarely completely corrects the INR
- and unless a supply of thawed plasma is kept on hand, and its administration is delayed as it requires thawing and slow administration

PCCs

- Unlike FFP, PCCs are stored as lyophilized powders,
- and are not blood-group specific.
- In addition, PCCs contain a high clotting factor concentration which can be administered quickly in small volumes.
- As a result of these advantages, PCCs are thought to correct VKA-related coagulopathy more rapidly than FFP"

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Patient values and preferences

Patient values and preferences

A supplementary search for patient values and preferences with regards to the critical outcomes of this guideline (GI bleeding and thromboembolic events) was conducted by one of the co-Chairs (Alan Barkun) in April 2021. The results, described in studies identified from the actual search or from a manual perusal of key cross-references, were presented and discussed in detail in the beginning the first voting meeting in May 8, 2021. A summary of the conclusions is shown below.

Search strategy:

Database: Embase <1996 to 2021 Week 17>, Ovid MEDLINE(R) without Revisions and Epub Ahead of Print, In-Process & Other Non-Indexed Citations <1996 to Present> Search Strategy:

- 1 (discrete adj25 choice adj25 experiment adj25 atrial adj25 fibrillation).tw. (10)
- 2 (discrete adj25 choice adj25 experiment adj25 anticoagulation).tw. (8)
- 3 (treatment adj25 preferences adj25 atrial adj25 fibrillation).tw. (76)
- 4 (treatment adj25 preferences adj25 atrial adj25 fibrillation).tw. (76)
- 5 (discrete adj25 choice adj25 experiment adj25 af).tw. (13)
- 6 (discrete adj25 choice adj25 experiment adj25 ac).tw. (2)
- 7 (dce adj25 atrial fibrillation).tw. (7)
- 8 (dce adj25 anticoagulation).tw. (6)
- 9 (dce adj25 atrial fibrillation).tw. (7)
- 10 (dce adj25 anticoagulation).tw. (6)
- 11 (treatment adj25 preference adj25 cardiac adj25 arrhythmia).tw. (0)
- 12 (treatment adj25 preferences adj25 cardiac adj25 arrhythmia).tw. (0)
- 13 (anticoagulant adj25 discrete adj25 choice).tw. (8)
- 14 (anticoagulant adj25 patient adj25 preference).tw. (67)
- 15 (discrete adj25 choice adj25 anticoagulation).tw. (12)
- 16 (discrete adj25 choice adj25 anticoagulant).tw. (8)
- 17 (conjoint adj25 preference adj25 anticoagulation).tw. (0)
- 18 (trade off adj25 preference adj25 anticoagulation).tw. (0)
- 19 (preference adj25 atrial fibrillation).tw. (171)
- 20 (preference adj25 anticoagulation).tw. (252)
- 21 (preference adj25 anticoagulant).tw. (151)
- 22 or/1-21 (600)
- 23 limit 22 to yr="2015 -Current" (366)
- 24 remove duplicates from 23 (256)

It is critical for guideline panel members to have a good understanding of the values that patients place on the critical outcomes of this guideline (GI bleeding and thromboembolic events). This is a critical step in the Evidence to Decision Framework, prior to deciding if the balance between desirable and undesirable effects favors the intervention or the comparison. Guideline panel members need to be aware how much patients value each critical outcome (and what is their relative value/disutility: e.g., do patients place more value on the avoidance of stroke and less value on the avoidance of bleeding?). Guideline panel members also need to be aware if there is important uncertainty about or variability in how much people value the main outcomes.

Several studies have assessed values/disutilities for GI bleeding and thromboembolic events among patients on oral anticoagulation.

A well-done systematic review [Wilke 2017] included 27 studies from 12 different countries. These studies mainly assessed which benefits (mainly lower stroke risk) AF (atrial fibrillation) patients would require to tolerate harms (mainly higher bleeding risk) associated with an oral anticoagulant. "Most studies showed that patients were willing to accept higher bleeding risks if a certain threshold in stroke risk reduction could be reached".

However, overall, there was **substantial variability** in patient values. In specific, there was substantial variability in the threshold number of bleeds observed for the acceptance of oral anticoagulation therapy (OAC) **between the different studies**:

- Alonso-Coello 2014 (Spain): "10 additional bleeds in 2 years for OAC acceptance"
- Devereaux 2001 (Canada): "17 additional bleeds in 2 years for OAC acceptance. The minimum required stroke prevention rate for the acceptance of OAC with its associated higher bleeding risk was 1.8 strokes per 100 patient years"
- Man-Son-Hing 1996 (Canada): "52% of AF patients would accept warfarin if associated with a 1 % stroke risk reduction over 2 years"
- Najafzadeh 2014 (US): "AF patients valued a 1 % increased risk of a fatal bleeding event the same as a 2 % increase in non-fatal myocardial infarction risk, a 3 % increase in non-fatal stroke risk, a 3 % increase in cardiovascular death risk, a 6 % increase in major bleeding risk, and a 16 % increase in minor bleeding risk"

Furthermore, there was **substantial variability** in the threshold number of bleeds observed for the acceptance of oral anticoagulation therapy (OAC), between participants within individual studies. Furthermore, country-specific differences exist in patients' perceptions of atrial fibrillation, concerns about stroke, and preference for involvement in OAC treatment decisions; recent experience of stroke significantly influences patients' values and preferences (Lane, 2018). Interestingly, in a mixed methods study of health-care providers assessing management of antithrombotic therapy after gastrointestinal bleeding, the most important factor influencing provider decision making was re-bleeding risk followed closely by thrombosis risk, although the indication For OAC was most important for a minority of respondents (Little DHW 2020).

Also, two studies showed that "methods used to elicit preferences significantly affected treatment health state valuations and treatment thresholds" (Locadia 2004; Man-Son-Hing M 2000).

These conclusions are in agreement with a previous systematic review (MacLean 2012) that concluded: "Patient values and preferences regarding thromboprophylaxis treatment appear to be highly variable. Participant responses may depend on their prior experience with the treatments or health outcomes considered as well as on the methods used for preference elicitation".

Overall, after a discussion of the above findings, the panel members concluded that for most PICOs there is **possibly important uncertainty about or variability in how much people value the critical outcomes**.

References

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- Devereaux PJ, Anderson DR, Gardner MJ, Putnam W, Flowerdew GJ, Brownell BF, et al. Differences between perspectives of physicians and patients on anticoagulation in patients with atrial fibrillation: observational study. BMJ. 2001;323:1218–22.

- Lane DA, Meyerhoff J, Rohner U, Lip GYH. Patients' Perceptions of Atrial Fibrillation, Stroke Risk, and Oral Anticoagulation Treatment: An International Survey. TH Open. 2018;2(3):e233-e241.
- Little DHW, Robertson T, Douketis J, Dionne JC, Holbrook A, Xenodemetropoulos T, Siegal DM. Management of antithrombotic therapy after gastrointestinal bleeding: A mixed methods study of health-care providers. J Thromb Haemost 2021;19(1):153-160
- Locadia M , Bossuyt PM , Stalmeier PF , et al . Treatment of venous thromboembolism with vitamin K antagonists: patients' health state valuations and treatment preferences . Thromb Haemost 2004 ; 92 (6): 1336 1341 .
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- Man-Son-Hing M, Laupacis A, O'Connor AM, Coyle D, Berquist R, McAlister F. Patient preference-based treatment thresholds and recommendations: a comparison of decision analytic modeling with the probability-tradeoff technique. Med Decis Making 2000; 20 (4): 394 403
- Najafzadeh M, Gagne JJ, Choudhry NK, Polinski JM, Avorn J, Schneeweiss SS. Patients' preferences in anticoagulant therapy: discrete choice experiment. Circ Cardiovasc Qual Outcomes. 2014:7:912–9.
- Wilke T, Bauer S, Mueller S, Kohlmann T, Bauersachs R. Patient Preferences for Oral Anticoagulation Therapy in Atrial Fibrillation: A Systematic Literature Review. Patient. 10 (1) (pp 17-37), 2017.

<u>PICOs 1-4</u>: Systematic search of previous clinical practice guidelines (see Appendix # 1 for methods)

See Text box below for an example of the approach of backwards (snowballing) citation searching for evidence in previous CPGs

The most recent ASGE clinical practice guideline (CPG) was published in 2016 ¹

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Their recommendation was "we recommend either (1) 4-factor PCC and vitamin K or (2) fresh frozen plasma be given for life-threatening GI bleeding in patients on warfarin anticoagulant therapy. Moderate quality of evidence. Please note the ACCP only advocates option 1. The AHA/ACC supports option 1 or 2."

The evidence profile tables were not shown but according to the main-text description of the evidence, this recommendation was based on the assessments of the evidence that were done by two previous CPGs: the **2014 AHA ACC CPG** ² and the **2012 ACCP CPG** ³. Both of these CPGs remain the most recent CPG versions of their respective organizations (these organizations have issued updated CPG, but the updates did not address the PICOs relevant to our CPG).

The 2016 ASGE CPG also cited 2 small studies: "The risk of thromboembolic events was shown to be low in 2 small studies that withheld warfarin (Coumadin) for 4 to 15 days before endoscopy (1/27 patients and 0/28 patients, respectively)". However, these studies did not use reversal agents, therefore these studies cannot be used to estimate the risk of thromboembolic events with the use of reversal agents (as required for our PICOs).

The 2016 ASGE CPG also cited Sarode JN 2012 (Sarode R et al. Rapid warfarin reversal: a 3-factor prothrombin complex concentrate and recombinant factor VIIa cocktail for intracerebral hemorrhage. J Neurosurg 2012 Mar;116(3):491-7), that is discussed below. This study assessed the efficacy of the combination of 3F-PCC and recombinant factor VIIa in patients with intracerebral hemorrhage. No comparator cohort was used. No GIB patients.

Below we describe the evidence that the two previous CPGs (2014 AHA ACC CPG ² and the 2012 ACCP CPG ³) used.

The **2014 AHA ACC CPG** ² concluded that "administration of <u>FFP or PCC</u> is <u>reasonable</u> in patients with <u>mechanical</u> valves and uncontrollable bleeding who require reversal of anticoagulation: level of evidence B (out of three levels: A, B and C)".

The supporting references were (a) a biochemical study on an irrelevant population and irrelevant interventions (cohort study of 19 non-bleeding patients, showing biochemical evidence of rebound hypercoagulability after planned cessation of VKA; no reversal agents were used ⁴) and (b) a previous CPG, the 2016 French CPG ⁵.

The 2016 French CPG ⁵ concluded that "besides VKA discontinuation, PCC should be administered immediately in association with 10 mg vitamin K supplement, Grade of guidelines C (out of three grades: A, B, and C; C = low level of evidence); level of evidence 3 (out of four levels: 1, 2, 3, and 4; level 3 = case-control studies)" and that "FFP should be used only when PCCs are not available. Grade of guidelines B (out of three grades: A, B, and C; B = presumption of scientific evidence); level of evidence 2 (out of four levels: 1, 2, 3, and 4; level 2 = RCTs of low power, properly conducted non-randomized controlled studies, Cohort studies)"

The supporting references <u>for adding Vit K to PCC</u> were two small retrospective studies, one of which was a case report of 2 patients (Yasaka_ AH 2003) ⁶ and the other was a retrospective cohort of 55 patients with intracerebral hemorrhage on VKA (Huttner_ Stroke 2006) ⁷. The latter study assessed the effect of reversal strategies (PCC, FFP, Vit K) on hematoma growth rate; however, in the PCC group, an unknown proportion of patients also received FFP or vit K, and in the FFP group an unknown proportion of patients also received FFP or vit K. Therefore, it is impossible to infer the efficacy or safety of each treatment or each combination of treatments. In our view, these two studies do not provide any evidence either in favor of or against the recommendation to add vit K to PCC treatment. For the population of our own CPG (i.e., patients with GIB), these two studies would have been even less relevant (due to additional serious indirectness).

The supporting references for the **use of PCC** were seven:

- 4 cohort studies ⁸⁻¹¹ that did <u>not</u> have a comparator cohort of interest, but provided **evidence of rapid reversal of the INR**, **without documented thromboembolic events**. Total n=78 patients with major bleeding while on warfarin received PCC. 11/78 had GIB. 6/78 patients died (but this was not attributed to thromboembolic events). Possible transmission of parvovirus B-19 in 2 or 3 out of 20 pts in one study (Lubetsky_TR 2004) ⁹.
- 3 comparative studies
 - two studies ^{12,13} did not use a combination of intervention/comparator that fits to the PICOs of our current CPG:
 - a 1997 cohort study (Makris_TH 1997)¹² compared FFP vs. "clotting factor concentrates"; it is unclear how similar this product is to existing PCC products
 - a small RCT (Boulis_ NS 1999)¹³ in 13 patients with intracranial hemorrhage and PT > 17 seconds compared FFP (n=8) vs
 FFP supplemented by PCC (n=5). Note that this comparator does not directly fit any of the PICOs in our CPG. There were
 0/5 complications with FFP plus PCC; 5/8 experienced significant complications of fluid overload with FFP alone
 - one cohort study (Cartmill_ BJN 2000)¹⁴ of 12 patients on VKA with intracranial hemorrhage compared PCC vs FFP plus Vit K. One patient in the PCC group died, but there was no clear description of thromboembolic events

The **2012 ACCP CPG** 3 concluded that "For patients with VKA-associated major bleeding, we suggest rapid reversal of anticoagulation with 4F-PCC rather than with FFP. (Grade 2C) (2 = weak recommendation; C = low quality of evidence, out of 3 levels A, B, C). We suggest the additional use of vitamin K (5 to 10 mg administered by slow IV injection rather than reversal with coagulation factors alone (Grade 2C)"

The supporting references were

- (a) three studies (2 cohort studies and 1 RCT) that compared 3F-PCC vs FFP in patients with intracranial hemorrhage
 - one cohort study (Cartmill BJN 2000)¹⁴. Described above.
 - a second cohort study (Fredriksson K et al. Emergency reversal of anticoagulation after intracerebral hemorrhage. Stroke 1992;23(7):972-977), that showed that the INR faster with 3F-PCC than with FFP
 - one RCT (Boulis_ NS 1999)¹³. Described above.
- (b) one RCT (Demeyere R et al. Comparison of fresh frozen plasma and prothrombin complex concentrate for the reversal of oral anticoagulants in patients undergoing cardiopulmonary bypass surgery: a randomized study. Vox Sang 2010; 99(3):251-260) that compared 4F-FFP with FFP in (non-bleeding) patients undergoing cardiopulmonary bypass surgery, and showed that the INR faster with 3F-PCC than with FFP.

COMMENTS SPECIFIC TO PICO 1

No eligible studies in patients with GI bleeding were identified by our primary literature searches:

No RCTs

 The observational studies that were identified were cohort studies without comparator arm (the comparator needed here was a
compactor arm with no reversal agent) and/or did not report separate results for clinical outcomes in patients with GI bleeding. Such
cohort studies cannot provide any evidence on the direction (let alone the magnitude) of the effect that PPC had on clinical outcomes in
patients with GI bleeding; it is impossible to infer if PPC benefitted, harmed or made no difference in these patients compared to not
using PCC.

Therefore, we conducted additional literature searches for systematic reviews (SRs) on warfarin (not limited by GI bleeding) back to 1985, but still no evidence about clinical outcomes was found.

We also conducted a literature search of previous clinical practice guidelines (CPGs). We identified CPGs that used systematic literature searches and addressed questions similar to the PICO questions of our CPG. Initially, we had been hoping to find previous CPGs that used the full GRADE approach along with published evidence profiles that we would adopt accordingly (especially for the domain of indirectness of population). However, with a few notable exceptions, such as the American Society of Hematology (ASH) ¹ and the American College of Chest Physicians guidelines (ACCP) ², evidence profiles were not available.

We assessed the studies that were cited as the evidence supporting recommendations to use FFP for VKA reversal in previous CPGs, in mixed populations (not restricted to GI bleeding). We reviewed the cited studies and the reference list of the cited studies. We assessed more than 60 CPGs from various GI and non-GI organizations going back to 1998. No CPG cited any study that compared FFP to no reversal agent. The most recent CPGs cited comparative studies of FFP vs PCC (for a SRMA of such studies see Chai-Adisaksopha TH 2016 ¹⁵). The older CPGs cited previous CPGs, which cited even older CPGs, most of which did not cite any supportive studies for use of FFP. The observational study that was most frequently cited as support for the use of FFP was Makris TH 1997¹². See below

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Makris TH 1997 ¹²

- Cohort study, unclear if it is prospective or retrospective
- N=41 patients on VKA requiring rapid reversal
- 12 patients received FFP
- 29 patients received "clotting factor concentrates" (unclear how similar this product is to current PCC products)
- All patients received intravenous vitamin K, 1-5 mg
- No clinical outcomes
 - The mean pre-treatment INR of the 12 patients who received FFP was 10.2 (range 2.9-22.0). 15 min post FFP infusion the mean INR was 2.3 (range 1.6-3.8). "In the 12 patients given FFP, the INR did not completely correct (range 1.6-3.8, mean 2.3) indicating an ongoing anticoagulated state in all."
 - See table 1 in this paper, last column (INR, pre post)

- 118 The only observational study that assessed and reported clinical outcomes for the comparison of FFP vs no FFP in a mixed population of patients 119 with VKA-related severe bleeding was Moustafa TR 2018 ¹⁸. As we describe below, this only provided very low certainty evidence, and only for 120 mortality and thromboembolism, therefore there was still a need for further evidence.
- Given that it was very unlikely that we would not find any further comparative data on the clinical efficacy of FFP use vs no use of FFP, even if we included mixed populations of patients with VKA-related severe bleeding (not limited to GI bleeding), we decided to also use single-cohort data from studies that compared FFP with PCC, and assess the results on the surrogate outcome of INR correction.

- 124 There were two options for collecting FFP arms from comparative studies (FFP vs PCC): either through a SR of observational studies or through a
- SR of RCTs. No recent and well-conducted SR of observational studies was available (the most recent, well-conducted SR did their search in 2015:
- 126 Chai-Adisaksopha TH 2016 ¹⁵), while we had already conducted own updated SR of such RCTs (see PICO 3). Therefore, we decided to utilize the
- 127 FFP arms of RCTs for PICO 1. The results of the observational studies with regards to the effect on INR were at the same direction as in the RCTs;
- for example, see above the table from Makris TH 1997.
- Therefore, we included the 2 RCTs that compared FFP vs PCC in mixed populations ^{16, 17}, as well as an RCT that was excluded from PICO 3
- 130 (because there was no PCC-alone arm) ¹³.

Case-control studies with the comparator exposure required for this PICO

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- 1. **Moustafa TR 2018** (Moustafa et al. Management and outcome of major bleeding in patients receiving vitamin K antagonists for venous thromboembolism. Thrombosis Research. 171 (pp 74-80), 2018) ¹⁸
 - Design: case-control and cohort study analyses conducted on a cohort from multi-institutional multi-national registry
 - The adjusted analyses relevant to this PICO were conducted by the authors as case-control analyses (reported as odds ratios)
 - The raw data were available too; however, we could not extract clean data for patients who only had FFP did not have any other reversal agents, other than vitamin K) or patients who did not have any reversal agent (other than vitamin K)
 - N= 267 patients who had major bleeding while receiving VKA for VTE.
 - o 78 patients had GI bleeding, but there were no separate results for the outcomes required for this PICO
 - Multivariable analysis (case control analyses) showed that
 - o vitamin K use was associated with lower risk of mortality (OR: 0.47; 0.24–0.92)
 - FFP use was associated with higher risk for thrombotic events (OR: 4.22; 95% CI: 1.25–14.3)
 - Indirectness concern: Neither the exposure or the non-exposure is direct in the analyses. The exposure was FFP but some of these patients may have used other reversal agents: non-exposure was not "no reversal agent", because some of these patients may have also used reversal agents other than FFP. Furthermore, only 2 reversal agents were included in the multivariable analysis (see next comment).
 - Serious concerns about the multivariable analysis
 - The number of cases was too small (13 thrombotic events and 59 deaths) to allow adding all variables in the analysis.

153	 Several variables were captured and proposed for inclusion, but the variables that were risk factors for
154	thromboembolism or death were not reported exhaustively -only examples were presented.
155	 The authors stated that "all variables achieving a significance level of ≤0.1 on univariate analysis were considered for
156	inclusion in the logistic regression model". Only 5 variables achieved that significance level, and eventually those 5
157	plus 2 additional variables were included in the multivariable model. This approach excluded important confounders
158	from the multivariable analysis.
159	The inadequate adjustment was evident when we calculated the unadjusted odds ratios from the raw data (table 4 in the inadequate adjustment was evident when we calculated the unadjusted odds ratios from the raw data (table 4).
160 161	in that paper) and found that the results were almost identical with the adjusted results in from the multivariable analysis (table 4 in that paper). For example, for the association of FFP use and risk of thromboembolism
162	■ Unadjusted OR: 4.3, 95% CI 1.3 - 14.1
163	■ Adjusted OR: 4.22, 95% Cl 1.25 - 14.3
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166	Cohort-type data without the comparator exposure required for this PICO
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168	All three studies are RCTs that provided cohort-type data (we used only the FFP arm from each study)
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170	1. Sarode Circulation 2013 (Sarode et al. Efficacy and safety of a 4-factor prothrombin complex concentrate in patients on vitamin K
171	antagonists presenting with major bleeding: A randomized, plasma-controlled, phase IIIb study. Circulation 2013) 16
172	 202 patients on VKA with INR ≥2.0 (within 3 hours before study treatment) and major bleeding
173	• For this PICO, only the FFP arm (n=104) was used. 58/104 patients had GI bleeding
174	 both arms received Vit K (5-10 mg) by slow IV infusion
175	• Outcomes:
176	• "hemostatic efficacy" at 24 hours
177	 assessed by a blinded, independent Endpoint Adjudication Board: assigned a poor/none hemostatic efficacy
178	rating if the management required administration of any hemostatic products other than study product or
179	packed red blood cells within 24 hours after the start of study product infusion.
180	 separate results for patients with GI bleeding (see suppl table 6 in suppl material in this paper)
181	• thrombotic events at 45 days (the investigators recorded all thrombotic events up to day 10; from day 11 to day 45,
182	they only recorded the thrombotic events that qualified as serious adverse events)

183	 all patients: 8/103 (PCC) vs 7/109 (FFP)
184	 no separate results for patients with GI bleeding
185	 Mortality at 30 days (mortality at 45 days was also reported)
186	 all patients: 6/103 (PCC) vs 5/109 (FFP)
187	 no separate results for patients with GI bleeding
188	 Fluid overload (unclear timing, either at 10 days or at 45 days)
189	 all patients: 5/103 (PCC) vs 14/109 (FFP)
190	 "Rapid INR reduction" (proportion of patients with INR ≤1.3 at 0.5 hour after the end of infusion)
191	 all patients: 61/103 (PCC) vs 10/109 (FFP)
192	 See figure 2A, page 1240 in that paper
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196	2. Steiner LN 2016 . (Steiner T, Poli S, Griebe M, et al. Fresh frozen plasma versus prothrombin complex concentrate in patients with
197	intracranial haemorrhage related to vitamin K antagonists (INCH): a randomised trial. Lancet Neurol. 2016;15(6):566-573) 17
198	• Serious indirectness: 50 patients with VKA related intracranial hemorrhage who presented within 12 h after symptom onset
199	with an <u>INR of at least 2.0</u> .
200	• 4F-PCC vs FFP
201	 If the primary endpoint was not reached (INR ≤ 2.0 at 3 h after the start of treatment), additional PCC was given in both
202	arms.
203 204	 All patients also received 10 mg of intravenous vitamin K For this PICO, only the FFP arm (n=23) was used.
204	For this Fico, only the FFF arm (11–25) was used.
205	 Thromboembolic events at 60 days: 2/23
206	Mortality at 30 days: 8/23
207	 Proportion of patients with an INR ≤ 2.0 at 3 h after the <u>start</u> of treatment: 2/23
208	 Proportion of patients with an INR ≤ 1.2 at 30 min after the <u>start</u> of treatment (post hoc analysis): 0/19
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210	3. Boulis_ NS 1999 ¹³
211	N=13 patients with <u>intracranial</u> hemorrhage and PT > 17 seconds
212	 Compared <u>FFP</u> (n=8) vs <u>FFP supplemented by PCC</u> (n=5)

213	All patients received vitamin K subcutaneously
214	 For this PICO, only the FFP-alone arm (n=8) was included
215	 5/8 experienced significant complications of fluid overload
216	• 1/8 had a thromboembolic event (MI)
217	• 3/8 died
218	 The effect of FFP on INR can be seen in Figure 2, page 1116 in that paper.
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220	Overall:
221	• In total the 3 cohort-type data from the 3 studies ^{13,16,17} included 135 patients treated with FFP (for safety outcomes there were 5 more
222	patients included in the analyses). The patients had been on warfarin and experienced major bleeding.
223	58/135 had GI bleeding
224	 All patients received FFP (various doses and dosing protocols).
225	Vitamin K was administered to all patients
226	Clinical outcomes
227	 Further bleeding: 14/58 (Sarode 2013) for the patients with GI bleeding
228	 Mortality: 16/140 for the whole population (mixed, GI bleeding and other bleeding)
229	 Thromboembolic events: 10/140 for the whole population (mixed, GI bleeding and other bleeding)
230	 Transfusion-related events: 19/117 (Sarode 2013 and Boulis 1999)
231	Surrogate outcomes
232	 INR change. All studies showed that FFP infusion led to INR reduction (although the effect was not as consistent and large as
233	with PCC, see PICO 2). See above for tables and figures from the included studies. Given the large body of evidence on the
234	pharmacodynamics of warfarin treatment, is it implausible that this change in INR could have happen due to bias, confounding
235	or chance. Of course, INR change is surrogate outcome, and furthermore it is an outcome that was included in the evidence
236	profile by a post hoc decision.
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Risk of bias assessment of case control studies									
Study	Cases and controls similar for risk of exposure (or adjusted adequately for confounders)	Methods to determine exposure valid and similar for cases and controls	Methods to ascertain outcome of interest valid and similar for cases and controls	Incomplete/ missing data addressed	Other bias	Comments			
Moustafa TR 2018	Not similar, not adjusted adequately (see description of the study)	ОК	ОК	Unclear	OK				

Unclear risk of bias
High risk of bias

241 242

Risk of bias assessment of cohort-type data studies									
Study	Valid methods to ascertain exposure (exposure = FFP)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments		
Sarode Circulation		Without the		Without the	Without the		Only one of the arms of this RCT was		
2013		comparative cohort		comparative cohort	comparative cohort		included, as a single non-comparative		
		required for this		required for this	required for this		cohort study.		
		PICO		PICO	PICO				
Steiner LN 2016		Without the		Without the	Without the		Only one of the arms of this RCT was		
		comparative cohort		comparative cohort	comparative cohort		included, as a single non-comparative		
		required for this		required for this	required for this		cohort study.		
		PICO		PICO	PICO				
Boulis NS 1999		Without the		Without the	Without the		Only one of the arms of this RCT was		
		comparative cohort		comparative cohort	comparative cohort		included, as a single non-comparative		
		required for this		required for this	required for this		cohort study.		
		PICO		PICO	PICO				

Unclear risk of bias
High risk of bias

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

Evidence profile, PICO 1

Patients with GI	bleeding: \	/KA reversal v	vith FFP vs. no	reversal agei	nts											
			Cortainty Asso	ssmont					Summary	of Findings						
Certainty Assessment								Events / part	icipants	Effect						
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	FFP	no reversal agents	Relative (95% CI)	Absolute (95% CI)	Comments				
Further bleeding at 3	7 days (critical	outcome)														
Single-cohort type data from one study (Sarode 2013) ¹⁶	Serious ^a	Not applicable	Serious ^b	Very serious ^c	None	⊕⊝⊝ VERY LOW		14/58 (24.1%)	-	-	-					
Thrombotic events v	within 30 days	(critical outcome)													
Single-cohort type data from 3 studies ^{13, 16, 17}	Serious ^a	Not serious	Serious ^d	Very serious ^c	None	⊕⊖⊝ VERY LOW		10/140 (7.1%)	-	-	-					
1 case control study (Moustafa TR 2018) ¹⁸	Serious ^e	Not applicable	Very serious ^f	Serious ^g	None	⊕⊝⊝⊝ VERY LOW	⊕⊖⊝ VERY LOW	-	-	Adjusted OR 4.22 (1.25 -14.3)	-	OR larger than 1 means that FFP use was associated with higher incidence of thrombotic events				
Mortality within 30 o	days (importai	nt outcome, but n	ot critical for deci	ision making)		1			1							

Single-cohort type data from 3 studies ^{13, 16, 17}	Serious ^a	Not serious	Serious ^d	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW		16/140 (11.4%)	-	-	-	
Transfusion-related events (fluid overload) within 7 days (important outcome, not critical for decision making)												
Single-cohort type data from 2 studies (Boulis 1999, Sarode 2013) ^{13, 16}	Serious ^a	Not serious	Serious ^d	Very serious ^c	None	⊕⊖⊝ VERY LOW		19/117 (16.2%)	-	-	-	
•	INR correction (various target levels for INR, measured at various time points)											
(outcome included b	y <i>post hoc</i> de	cision ; important,	but not critical fo	or decision making	g)							
Single-cohort type data from 3 studies ^{13, 16, 17}	Serious ^a	Not serious	Serious ^h	Not serious	None	⊕⊝⊝ VERY LOW		Visual presentation (graphs)	-	-	-	-

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Footnotes

- ^a Serious risk of bias, because the studies did not have comparator cohorts with no reversal agents.
 - ^b Serious indirectness of the outcome. The outcome of "hemostatic efficacy" as defined in Sarode 2013, was very different from the target outcome of this guideline, i.e., further bleeding. Furthermore, this outcome was only measured at 24 hours. Vitamin K was also administered to all patients, but we did not further rate down this outcome for this reason.
- ^cVery serious imprecision, even for the event rate in the intervention group, due to small number of events. The comparative efficacy cannot be calculated.
- d Serious indirectness of the population: only a small proportion of patients had GI bleeding. Vitamin K was also administered to all patients, but we did not further rate down this outcome for this reason.
 - ^e Serious risk of bias because of serious concerns about inadequate adjustment for confounders (see comments in the description of the study, above)
- f Very serious indirectness of the population: patients in the FFP group could have received other reversal agents, while patients in the non-FFP group could have also received reversal agents other than FFP, and there was no reason the believe that such cointerventions were equally applied to both groups.

 Furthermore, only a proportion of patients had GI bleeding.
- ^g Serious imprecision, due to small number of events.
 - ^h Serious indirectness of the outcome, because the speed of INR correction is a surrogate outcome and not a clinical outcome. The certainty of evidence was not further downrated for indirectness of population (most patients did not have GI bleeding) because the panel felt that it would be unlikely that the type of bleed would have influenced the effect of FFP on the INR. Vitamin K was also administered to all patients, but we did not further rate down this outcome for this reason.

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Evidence to Decision Framework, PICO 1

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283 284 01. Reversal of vitamin K antagonist with FFP

277 P: Patient with GIB currently taking warfarin

278 I: FFP

279 C: no reversal agents

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

	Judgement (Panel's judgments highlighted <mark>in</mark> <mark>yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large	See Evidence Profile Table. The <u>desirable</u> anticipated effects with FFP use (compared to no treatment) are: - INR correction (post hoc outcome, not critical outcome) in a variable proportion of patients (post hoc outcome, not critical outcome)	There was a debate among panel members as to whether "INR correction" should be considered as a surrogate for "reduction of further bleeding" alone, vs. surrogate both for "reduction of further bleeding" and "increased thromboembolism".
	o Varies • Don't know	It is not possible to estimate the direction (let alone the magnitude) of the effect of FFP (vs. no FFP) on clinical outcomes	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial	The only estimate of relative efficacy was regarding the increased risk of thromboembolism (critical outcome), but the certainty of evidence for this was "extremely" low) With regards to the transfusion-related events (fluid overload), there are no comparative data, therefore some of the events may have not necessarily been caused by the FFP administration, however the CPG panel felt that the incidence of 16% is way higher than any decision threshold. Therefore, this outcome was also taken into consideration when the panel decided	The CPG panel also took into account the increased risk of transmission of infectious agents with FFP administration (evidence about this undesirable anticipated effect was not formally sought nor quantified, but the
Ond	o Varies ● <mark>Don't know</mark>	on the balance between desirable and undesirable effects.	CPG panel acknowledged that this risk will be certainly higher compared to the zero risk of transmission of infectious agents when FFP is not administered)

Certainty of evidence	What is the overall certainty of the evidence of effects? Very low Low Moderate High No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability Probably no important uncertainty or variability No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? o Favors the comparison Probably favors the comparison o Does not favor either the intervention or the comparison o Probably favors the intervention (FFP) o Favors the intervention o Varies o Don't know		
Resources required	How large are the resource requirements (costs)? O Large costs Moderate costs O Negligible costs and savings O Moderate savings O Large savings O Large savings O Varies O Don't know	The cost of FFR in Canada in 2018-19 was \$118 per Unit (300 ml)	

		2018–2019 Blo	od Compone	ent Cost Pe
		Blood Component	Unit of Measure	Cost Per unit
		Red Blood Cells	Unit	\$422
		Apheresis Platelets	Dose	\$504
		Apheresis Plasma for Transfusion	Unit (500ml)	\$449
		Pooled Platelets	Dose	\$178
		Frozen Plasma	Unit (300ml)	\$108
		Cryosupernatant Plasma	Unit (280ml)	\$108
		Concurrent Plasma	Unit (250ml)	\$114
		Cryoprecipitate	Unit	\$122
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? O Very low Low Moderate O High O No included studies Does the cost-effectiveness of the			
Cost effectiveness	intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention			
	o Varies ● No included studies			

Acceptability	Is the intervention (FFP) acceptable to key stakeholders? O No O Probably no O Probably yes Yes O Varies	
	o Don't know	
Feasibility	Is the intervention (FFP) feasible to implement? O No O Probably no O Probably yes	
Feas	O Varies O Don't know	

288 Conclusions

PICO: 01. For patients on warfarin who are hospitalized or under observation with acute GIB (upper and/or lower) should FFP administered compared to not administering reversal agents?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	•	0	0	0

Recommendation	For patients on warfarin who are hospitalized or under observation with acute GI bleeding, we suggest against FFP administration (conditional recommendation, very low certainty of evidence).
Justification	See EtD table above
Subgroup considerations	Practically, a conditional recommendation against FFP use means that FFP should not be used routinely in patients with overt GI bleeding on VKA.
	It also means that FFP may still be used in a minority of such patients. Although there is no formal research evidence to guide the identification of the appropriate subgroups, the CPG panel suggested that FFP could still be administered in a minority of patients with life-threatening GI bleeding, especially if one or more of the following conditions is also met:
	 massive blood transfusion (which may worsen coagulopathy due to dilution of blood components) unavailability of PCC
	supratherapeutic INR with values substantially exceeding the therapeutic range
Implementation considerations	
Monitoring and evaluation	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should be used? Was this discussion and setting documented?
Research priorities	Feasible research that will improve the ability of future panels to decide on this topic includes RCTs comparing FFP vs placebo in patients with acute GI bleeding on VKA. From an ethical perspective, it may be challenging to justify the inclusion of patients at the two extremes of prognosis (very high or very low risk of death from the GI bleed); for patients with life-threatening bleeding, well-designed observational studies my be the only feasible and ethical option.

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- Genewein U, Haeberli A, Straub PW, et al. Rebound after cessation of oral anticoagulant therapy: the biochemical evidence. Br J
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Note: The panel made an *a priori* decision to not address the issue of the comparison between 3F-PCC and 4F-PCC. In the description of the (non-comparative) studies, we made the distinction between 3F-PCC and 4F-PCC when this information is provided in the publications. However, in our recommendations the intervention is referred as "PCC".

- A SRMA (Voils_ TR 2012 ¹⁰) that conducted a literature search in Nov 2011, did not identify any study (not even observational) that compared head-to-head 3F-PCC and 4F-PCC in any patient population.
- Our main literature search in patients with GI bleeding, did not identify any comparative studies either.
- The 2018 American Society of Hematology (ASH) guideline ¹ refers only to 4F-PPC as intervention without any mention of 3F-PCC.
- Our backwards (snowballing) citation searching for evidence in previous guidelines identified one comparative observational study: Jones JTT 2016 ¹¹. This was a "propensity matched" cohort study in warfarin reversal in severe bleeding. It did not find a difference in the primary outcome of INR ≤ 1.4 between the two treatments. However, the study was underpowered. Most importantly, the planned propensity marching failed due to the small numbers of patients: 5% of patients in 3F-PCC group received FFP vs. 52% in the 4F-PCC group. Therefore, no safe conclusion can be derived from this study.

No eligible studies in patients with GI bleeding were identified by our primary literature searches:

No RCTs

 • The observational studies that were identified were cohort studies without comparator arm (we needed an arm that did not use any reversal agent, as required for this PICO question) and/or did not report separate results for clinical outcomes in patients with GI bleeding. Such cohort studies cannot provide any evidence on the direction (let alone the magnitude) of the effect that PPC had on clinical outcomes in patients with GI bleeding; it is impossible to infer if PPC benefitted, harmed or made no difference in these patients.

Therefore, we conducted a literature search of previous clinical practice guidelines (CPGs). We identified CPGs that used systematic literature searches and addressed questions similar to the PICO questions of our CPG. Initially, we had been hoping to find previous CPGs that used the full GRADE approach along with published evidence profiles that we would adopt accordingly (especially for the domain of indirectness of population). However, with a few notable exceptions, such as the American Society of Hematology (ASH) ¹ and the American College of Chest Physicians guidelines (ACCP) ², such information was not available.

With regards to PICO 2, the backwards (snowballing) citation searching for evidence that was cited as support in previous CPGs led us to the 2016 French CPG ³.

The 2016 French CPG ³ concluded that "besides VKA discontinuation, PCC should be administered immediately in association with 10 mg vitamin K supplement, Grade of guidelines C (out of three grades: A, B, and C; C = low level of evidence); level of evidence 3 (out of four levels: 1, 2, 3, and 4; level 3 = case-control studies)" and that "FFP should be used only when PCCs are not available. Grade of guidelines B (out of three

- grades: A, B, and C; B = presumption of scientific evidence); level of evidence 2 (out of four levels: 1, 2, 3, and 4; level 2 = RCTs of low power, properly conducted non-randomized controlled studies, Cohort studies)"
- 390 The supporting references for adding Vit K to PCC were two small retrospective studies, one of which was a case report of 2 patients (Yasaka_
- 391 AH 2003) 4 and the other was a retrospective cohort of 55 patients with intracerebral hemorrhage on VKA (Huttner Stroke 2006) 5. The latter
- 392 study assessed the effect of reversal strategies (PCC, FFP, Vit K) on hematoma growth rate; however, in the PCC group, an unknown proportion
- of patients also received FFP or vit K, and in the FFP group an unknown proportion of patients also received FFP or vit K. Therefore, it is
- impossible to infer the efficacy or safety of each treatment or each combination of treatments. In our view, these two studies do not provide
- any evidence either in favor or against the recommendation to add vit K to PCC treatment. For the population of our own CPG (i.e., patients
- 396 with GIB), these two studies would have been even less relevant (due to additional serious indirectness).
- 397 The supporting references for the **use of PCC** were seven, four of which were cohort studies ⁶⁻⁹ that did not have the comparator cohort of
- interest (i.e., no treatment), but provided compelling evidence of rapid reversal of the INR (surrogate outcome). These four studies were
- included in the evidence profile for PICO 2. See below.
- We also included the cohort study that was identified for PICO 3 (VKA reversal with PPC vs FFP): Karaka AJRM 2014 ¹² (see below). This study
- included patients with GI bleeding exclusively, and provided both clinical outcomes and results on INR reversal. However, for this PICO, this
- study was regarded as non-comparative cohort study. Only the PCC group was be included.
- 403 Finally, we also included non-comparative cohort data derived from the PCC arms of the two RCTs that compared PCC vs FPP: Sarode Circulation
- 404 2013 ¹³, Steiner LN 2016 ¹⁴ (see below, also see PICO 3). Such data were treated as cohort-type data without the required comparator, although
- 405 they were derived from RCTs

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407 Cohort studies without the comparator cohort required for this PICO

- 4. Yasaka_TR 2005. (Yasaka M, Sakata T, Naritomi H, Minematsu K. Optimal dose of prothrombin complex concentrate for acute reversal of oral anticoagulation. Thromb Res 2005;115:455–9) 8
 - N=40 patients with major bleeding on VKA (plus, 2 or 3 patients who did not have bleeding but required VKA reversal for urgent procedures)
 - 2/40 patients had GI bleeding. Separate clinical outcomes were reported
 - Cohort study, query prospective
 - There was no comparator ("no reversal agent") cohort. All patients received 4F-PCC, with or without vit K

416	 Compared different doses of PCC with/without Vit K, but no separate outcomes for GI bleeding. Also, unclear how the
417	regimen was selected for each patient. No clear comparison between PCC plus vit K vs same dose PCC alone.
418	 No adverse effects including shock, allergy, or thrombotic or embolic episodes were not observed in the 42 patients
419	 The effect PCC infusion on INR was dramatic and consistent: see figure 4 in that paper.
420	
421	5. Evans_BJH 2001. (Evans G, Luddington R, Baglin T. Beriplex P/N reverses severe warfarin-induced overanticoagulation immediately and
422	completely in patients presenting with major bleeding. Br J Haematol 2001;115:998–1001) $^{\rm 6}$
423	 N=10 patients with bleeding on VKA and INR >14
424	 The bleeding was stated as "major" but no definition of severity was provided. One patient had epistaxis, i.e., unlikely to
425	have been a major bleed
426	 3 patients had melena and 1 had hematemesis, but no separate outcomes were reported
427	Cohort study, query prospective
428	 There was no comparator ("no reversal agent") cohort. All patients received 4F-PCC plus IV vit K
429	 No thromboembolic complications
430	
431	 The effect PCC infusion on INR was dramatic and consistent: see table 1 in that paper.
432	
433	6. Lubetsky_ TR 2004. (Lubetsky A, Hoffman R, Zimlichman R, Eldor A, Zvi J, Kostenko V, et al. Efficacy and safety of a prothrombin comple.
434	concentrate (Octaplex) for rapid reversal of oral anticoagulation. Thromb Res 2004;113:371–8) 7
435	 N=10 patients with major bleeding on VKA and INR >5, (also, 10 patients who required VKA reversal for urgent medical
436	procedures)
437	 5/10 patients had GI bleeding. Separate clinical outcomes were reported
438	Cohort study, prospective
439	 There was no comparator ("no reversal agent") cohort. All patients received 4F-PCC
440	 Vit K was administered at the discretion of the local study physician.
441	 No adverse events (2/20 patients died, but on both occasions, death was assessed as unrelated to Octaplex administration)
442	 Assessed viral safety: possible transmission of parvovirus B-19 in 2 or 3 out of 20 pts, i.e., among 10 patients with bleeding
443	and 10 patients undergoing urgent medical procedures

444		 The effect PCC infusion on INR was dramatic and consistent: see figure 1 in that paper.
445		
446	7.	Vigue_ICM 2007 (Vigue B, Ract B, Tremey B, et al. Ultra-rapid management of oral anticoagulant therapy-related surgical intracranial
447		hemorrhage. Intensive Care Med 2007;33: 721–5) 9
448		 N=18 patients on VKA and intracranial hemorrhage requiring urgent neurosurgical intervention
449		Cohort study, prospective
450		 There was no comparator ("no reversal agent") cohort. All patients received 4F-PCC plus Vit K 5 mg via NG tube.
451		• No hemorrhagic or thrombotic adverse effect was observed intra- or postoperatively following anticoagulation reversal by
452		PCC, although systematic morphologic investigations were not performed.
453		 4/18 patients <u>died</u> during the 6-month follow-up
454		 The effect PCC infusion on INR was dramatic and consistent: see figure 1 in that paper
455		
456	8.	Karaka AJRM 2014. (Karaca et al. Use and effectiveness of prothrombin complex concentrates vs fresh frozen plasma in gastrointestinal
457		hemorrhage due to warfarin usage in the ED. American Journal of Emergency Medicine. 32 (6) (pp 660-664), 2014) 12
458		• Design unclear. The authors describe it as "prospective cohort study of consecutive patients alternately selected by the authors". It
459		could also be considered a non-randomized clinical trial. The certainty of the evidence derived from this study is very low regardless
460		of the design assigned.
461		 Consecutive patients with <u>INR > 2.1</u> due to warfarin use, and GI bleeding that received either PCC or FFP.
462		• 20 each in the PCC and FFP groups. For this PICO, we only included the PCC cohort.
463		All patients had 10 mg vitamin K1 intravenously
464		• n=0 with active bleeding (Forrest 1) in the PCC group
465		 Note: the Forrest classification was reported as outcome, not as baseline characteristic, because "upper endoscopy was
466		performed on patients after their INR reached an efficient level (INR <2.1)" "On average, upper endoscopy was performed 8
467		h (range: 6-12 h) after admission in the PCC group"
468		n=0 in the PCC group underwent invasive/surgical treatment
469		Thromboembolic outcomes were not mentioned
470		• For the PCC group, the mean INR levels at 2 hours and 6 hours dropped as shown in figure 3 in that paper

471		
472	9. Sarod	e Circulation 2013 (Sarode et al. Efficacy and safety of a 4-factor prothrombin complex concentrate in patients on vitamin K
473	antago	onists presenting with major bleeding: A randomized, plasma-controlled, phase IIIb study. Circulation 2013) ¹³
474	a.	202 patients on VKA with INR ≥2.0 (within 3 hours before study treatment) and major bleeding
475	b.	For this PICO, only the PCC arm (n=98) was used.
476	C.	included 113 patients with GI bleeding (see suppl material)
477		i. 4F-PCC: 55 patients with GI bleeding.
478		ii. FFP: 58 patients with GI bleeding
479	d.	both arms received Vit K (5-10 mg) by slow IV infusion
480	e.	Outcomes:
481		i. "hemostatic efficacy" at 24 hours
482		1. assessed by a blinded, independent Endpoint Adjudication Board: assigned a poor/none hemostatic efficacy
483		rating if the management required administration of any hemostatic products other than study product or
484		packed red blood cells within 24 hours after the start of study product infusion.
485		2. separate results for patients with GI bleeding (see suppl table 6 in suppl material in that paper)
486		ii. thrombotic events at 45 days (the investigators recorded all thrombotic events up to day 10; from day 11 to day 45,
487		they only recorded the thrombotic events that qualified as serious adverse events)
488		1. all patients: 8/103 (PCC) vs 7/109 (FFP)
489		no separate results for patients with GI bleeding
490		iii. Mortality at 30 days (mortality at 45 days was also reported)
491		1. all patients: 6/103 (PCC) vs 5/109 (FFP)
492		no separate results for patients with GI bleeding
493		iv. Fluid overload (unclear timing, either at 10 days or at 45 days)
494		1. all patients: 5/103 (PCC) vs 14/109 (FFP)
495		v. "Rapid INR reduction" (proportion of patients with INR ≤1.3 at 0.5 hour after the <u>end</u> of infusion)
496		1. all patients: 61/103 (PCC) vs 10/109 (FFP)
497		2. Also, see Figure 2A and 2B in that paper, page 1240.
498		
499		r LN 2016. (Steiner T, Poli S, Griebe M, et al. Fresh frozen plasma versus prothrombin complex concentrate in patients with
500	intracr	ranial haemorrhage related to vitamin K antagonists (INCH): a randomised trial Lancet Neurol, $2016 \cdot 15(6) \cdot 566 \cdot 573$) 14

501	a.	Serious indirectness : 50 patients with VKA related intracranial hemorrhage who presented within 12 h after symptom onset
502		with an INR of at least 2.0.
503	b.	4F-PCC vs FFP
504		i. If the primary endpoint was not reached (INR ≤ 2.0 at 3 h after the start of treatment), additional PCC was given in both
505		arms.
506		ii. For this PICO, only the PCC arm (n=27) was used.
507	C.	All patients also received 10 mg of intravenous vitamin K
508	d.	Thromboembolic events at 60 days
509		i. 7/27 (PCC) vs 2/23 (FFP)
510	e.	Mortality at 30 days
511		i. 3/27 (PCC) vs 8/23 (FFP)
512	f.	Proportion of patients with an INR \leq 2.0 at 3 h after the <u>start</u> of treatment:
513		i. 18/27 (PCC) vs 2/23 (FFP)
514	g.	
515		i. 17/26 (PCC) vs 0/19 (FFP)
516		
517	Overall:	
518	• In tota	If the 7 studies 6-9, 12-14 included 223 patients who were treated with PCC (228 patients for some safety analyses). The patients had
519	been o	on warfarin and experienced major bleeding.
520	• 86/223	3 had GI bleeding
521	 All pat 	ients received 4F-PCC (various doses and dosing protocols).
522	 Vitami 	in K was also administered to most patients
523	 Clinica 	al outcomes
524	0	Further bleeding: 14/55 (Sarode 2013) and 0/20 (Karaka 2014)
525	0	Mortality: 16/228 for the whole population (mixed, GI bleeding and other bleeding)
526	0	Thromboembolic events: 15/208 for the whole population (mixed, GI bleeding and other bleeding)
527	0	Transfusion-related events: 5/103 (Sarode 2013)
528	0	Other adverse events: Possible transmission of parvovirus B-19 in 2 or 3 out of 20 pts in one study (Lubetsky_ TR 2004) 9.
529		gate outcomes (included by <i>post hoc</i> decision)
530	0	INR change. All studies showed that PCC infusion led to INR reduction that was consistent, rapid and of large magnitude. See
531	O	above for tables and figures from the included studies. Given the large body of evidence on the pharmacodynamics of warfarin

treatment, is it implausible that this dramatic change in INR could have happen due to bias, confounding or chance. Of course, INR change is surrogate outcome, and furthermore it is an outcome that was included in the evidence profile by a post hoc decision.

5	3	5
5	3	6

Study	Valid methods	Prognostic factors	Demonstration	Outcome detection	Follow up complete	Free of	Results/Comments
otaay	to ascertain	(other than	that outcome of	methods valid and	and similar among	other	nesalts, comments
	exposure	exposure of	interest was not	similar among	cohorts	bias	
	(exposure =	interest) similar	present at the	cohorts	Conorts	Dias	
	PCC)	among cohorts – or	start of the	60110113			
	1 5 5,	cohorts were	study				
		adjusted	,				
		adequately for					
		confounders					
Yasaka TR 2005		No comparative		No comparative	No comparative		
		cohort		cohort	cohort		
Evans BJH 2001		No comparative		No comparative	No comparative		
		cohort		cohort	cohort		
Lubetsky TR 2004		No comparative		No comparative	No comparative		
		cohort		cohort	cohort		
Vigue ICM 2007		No comparative		No comparative	No comparative		
		cohort		cohort	cohort		
Karaka AJRM 2014		Without the		Without the	Without the		Only one of the two cohorts of this
		comparative cohort		comparative cohort	comparative cohort		study could be included.
		required for this		required for this	required for this		
		PICO		PICO	PICO		
Sarode Circulation		Without the		Without the	Without the		Only one of the arms of this RCT was
2013		comparative cohort		comparative cohort	comparative cohort		included, as a single non-comparative
		required for this		required for this	required for this		cohort study.
		PICO		PICO	PICO		
Steiner LN 2016		Without the		Without the	Without the		Only one of the arms of this RCT was
		comparative cohort		comparative cohort	comparative cohort		included, as a single non-comparative
		required for this		required for this	required for this		cohort study.
		PICO		PICO	PICO		

Low risk of bias

Unclear risk of bias
High risk of bias

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

Evidence profile, PICO 2

Patients with GI bleeding: VKA reversal with PCC vs. no reversal agents													
	Certainty Assessment									Summary of Findings			
	Certainty Assessment							Events / participants			Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	PCC	no reversal agents	Relative (95% CI)	Absolute (95% CI)	Comments	
Further bleeding at	7 days (critical	outcome)											
Single-cohort type data from 2 studies (Sarode 2013; Karaka 2014) 12, 13	Serious ^a	Serious ^b	Serious ^c	Very serious ^d	None	⊕⊖⊖⊖ VERY LOW		14/55 (25.5%)	-	-	-		
Thrombotic events	within 30 days	(critical outcome	<u>e)</u>										
Single-cohort type data from 6 studies ^{6-9, 13, 14}	Serious ^a	Not serious	Serious ^e	Very serious ^d	None	⊕⊝⊝ VERY LOW		15/208 (7.2%)	-	-	-		
Mortality within 30	days (importa	nt outcome, but r	not critical for dec	ision making)									
Single-cohort type data from 7 studies ^{6-9, 12-14}	Serious ^a	Not serious	Serious ^e	Very serious ^d	None	⊕⊖⊝ VERY LOW	⊕⊝⊝ VERY LOW	16/228 (7.0%)	-	-	-		
Transfusion-related	Fransfusion-related events (fluid overload) within 7 days (important outcome, not critical for decision making)												
Single-cohort type data from 1 study (Sarode 2013 ¹³)	Serious ^a	Not applicable	Serious ^e	Very serious ^d	None	⊕⊝⊝ VERY LOW		5/103 (4.9%)	-	-	-		

INR correction (various target levels for INR, measured at various time points) (outcome included by post hoc decision; important, but not critical for decision making)											
Single-cohort type data from 7 studies ^{6-9, 12-14}	Serious ^a	Not serious	Serious ^f	Not serious	None	⊕⊖⊝ VERY LOW	78/78 (100%) in 4 studies ⁶⁻⁹ All or almost all participants in 3 studies ¹²⁻¹⁴	1	-	-	-

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Footnotes

- ^a Serious risk of bias. The studies did not have comparator cohorts with no reversal agents.
- 550 b Serious inconsistency in the (further) bleeding rate among the two studies: 14/55 (Sarode 2013) vs. 0/20 (Karaka 2014).
- ^c Serious indirectness of the outcome. The outcome of "hemostatic efficacy" as defined in Sarode 2013, as well as the outcome of active bleeding visualized at
- upper endoscopy as defined in Karaka 2014, were very different from the target outcome of this guideline, i.e., further bleeding. Furthermore, this outcome
- was only measured at 24 hours. Vitamin K was also administered to all patients, but we did not further rate down this outcome for this reason.
- ^dVery serious imprecision, even for the event rate in the intervention group, due to small number of events. The comparative efficacy cannot be calculated.
- ^eSerious indirectness of the population. Only a small proportion of patients had GI bleeding. Vitamin K was also administered to most patients, but we did not
- further rate down this outcome for this reason.
- 557 f Serious indirectness of the outcome, because the speed of INR correction is a surrogate outcome and not a clinical outcome. The certainty of evidence was
- not further downrated for indirectness of population (most patients did not have GI bleeding) because the panel felt that it would be unlikely that the type of
- bleed would have influenced the effect of PCC on the INR. Vitamin K was also administered to most patients, but we did not further rate down this outcome for
- this reason.

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Evidence to Decision Framework, PICO 2

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02. Reversal of vitamin K antagonist with PCC vs none

- P: Patient with GIB currently taking warfarin
- 566 I: PCC
- 567 C: no reversal agents
- 568 O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein
- thrombosis, pulmonary embolus)
- 570 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
ts Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate O Large O Varies Don't know How substantial are the undesirable anticipated effects?	See Evidence Profile Table. The <u>desirable</u> anticipated effects with PPC (compared to no treatment) are: - fast and very consistent INR correction (post hoc outcome, not critical outcome) in all or almost all patients - It is not possible to estimate the direction of the effect of PPC (vs. no PCC) on clinical outcomes because there were no comparative studies.	Although the risk of transmission of infectious agents with PCC infusion is
Undesirable Effects	o Large o Moderate o Small o Trivial O Varies ● Don't know	The point estimate of transfusion-related events (fluid overload) was 4.9% which was below the decision threshold for the guideline panel.	considered to be lower compared to FFP infusion. However, the risk with PCC is not zero (see Lubetsky TR 2004: possible transmission of parvovirus B-19 with PCC in 2 or 3 out of 20 pts, i.e., among 10 patients with bleeding and 10 patients undergoing urgent medical procedures)
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability O Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	

Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention (PCC) O Favors the intervention O Varies Don't know		
Resources required	How large are the resource requirements (costs)? o Large costs Moderate costs o Negligible costs and savings o Moderate savings o Large savings o Varies o Don't know	In Canada: Octaplex and Beriplex are approved by Health Canada. https://www.nacblood.ca/resources/guidelines/PCC.html Per Canada Blood Services, Octaplex and Beriplex are both priced at \$0.57 per IU Therefore, if the dosing regimen of Sarode 2013 is used (see Table 2 in that paper), for a patient with a weight of 75 Kg and INR 2-4, the cost will be CAD 1,068 or approximately USD 1,500	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low Moderate o High o No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies		

Acceptability	Is the intervention (PCC) acceptable to key stakeholders? O NO O Probably no O Probably yes Yes O Varies O Don't know	
Feasibility	Is the intervention (PCC) feasible to implement? O No O Probably no O Probably yes Yes O Varies O Don't know	

Conclusions

 PICO 02. For patients on warfarin who are hospitalized or under observation with acute GIB (upper and/or lower) should PCC be administered compared to no reversal agents?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days; transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	We could not reach a recommendation for or against 6/6 votes: 100%	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	0	•	0	0
Recommendation	For patients on warfa or against PCC admin	·	or under observation with	acute GIB, we could not reach a re	commendation for

Justification	
Subgroup considerations	The guideline panel did not have adequate evidence to judge the direction of the balance between desirable and undesirable effects with PCC administration and was reluctant to issue a favorable (conditional) recommendation, especially given the moderate resource requirements. On the other hand, the guideline panel implicitly took into consideration the evidence from the next PICO question that showed a balance in favor of PCC compared to FFP, and was reluctant to issue a (conditional) recommendation against PPC administration either. The guideline panel felt that although the majority of patients with GI bleeding on warfarin do not require PPC administration, a subpopulation of patients with life-threatening GI bleeding could still be treated with PCC, especially if one or more of the following conditions is also met: • massive blood transfusion (which may worsen coagulopathy due to dilution of blood components) • supratherapeutic INR with values substantially exceeding the therapeutic range
Implementation considerations	
Monitoring and evaluation	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should be used? Was this discussion and setting documented?
Research priorities	

584 References to PICO 2

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- 12. Karaca et al. Use and effectiveness of prothrombin complex concentrates vs fresh frozen plasma in gastrointestinal hemorrhage due to warfarin usage in the ED. American Journal of Emergency Medicine. 32 (6) (pp 660-664), 2014
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 - 14. Steiner T, Poli S, Griebe M, et al. Fresh frozen plasma versus prothrombin complex concentrate in patients with intracranial haemorrhage related to vitamin K antagonists (INCH): a randomised trial. Lancet Neurol. 2016;15(6):566-573.

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3. GIB: PCC vs FFP for reversal of VKA

3. GIB: Reversal of vitamin K antagonist with PCC vs FFP

P: Patient with GIB currently taking warfarin

620 I: PCC

621 C: FFP

622 O: **CRITICAL:** Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

Note: PCC is also referred as factor IX complex in older publications

Note: Co-interventions have not been explicitly stated: holding VKA treatment; vitamin K administration

Note: The panel made an *a priori* decision to not address the issue of the comparison between 3F-PCC and 4F-PCC. In the description of the (non-comparative) studies, we made the distinction between 3F-PCC and 4F-PCC when this information is provided in the publications. However, in our recommendations the intervention is referred as "PCC".

• A SRMA (Voils_ TR 2012 ¹⁰) that conducted a literature search in Nov 2011, did not identify any study (not even observational) that compared head-to-head 3F-PCC and 4F-PCC in any patient population.

• Our main literature search in patients with GI bleeding, did not identify any comparative studies either.

• Our backwards (snowballing) citation searching for evidence in previous guidelines identified one comparative observational study: Jones JTT 2016 ¹¹. This was a propensity matched cohort study in warfarin reversal in severe bleeding. It did not find a difference in the primary outcome of INR ≤ 1.4 between the two treatments. However, the study was underpowered. Most importantly, the planned propensity marching failed due to the small numbers of patients: 5% of patients in 3F-PCC group received FFP vs. 52% in the 4F-PCC group. Therefore, no safe conclusion can be derived from this study.

The 2018 American Society of Hematology (ASH) guideline ¹ refers only to 4F-PPC as intervention without any mention of 3F-PCC.

Our review of previous guidelines (CPGs) revealed the American Society of Hematology (ASH) 2018 guidelines (Witt_ ASH CPG_ Blood Adv 2018)¹ that addressed a PICO that was similar to PICO 2 of our guideline (although the ASH PICO included cessation of VKA and IV vitamin K as concomitant treatment for both the intervention and the comparator arms):

- "Question: For patients with life-threatening bleeding during VKA treatment of VTE, should 4-factor PCC vs FFP be used, in addition to cessation of VKA and IV vitamin K?
- Recommendation 17. For patients with <u>life-threatening</u> bleeding during VKA treatment of VTE who have an <u>elevated INR</u>, the ASH guideline panel suggests using <u>4-factor prothrombin complex concentrates (PCCs)</u> rather than fresh-frozen plasma (FFP) <u>as an addition to cessation of VKA and IV</u> vitamin K (conditional recommendation based on very low certainty in the evidence about effects)" ¹

The team of the 2018 ASH CPG ¹ did comprehensive literature searches (up to March 2017) and reported detailed evidence profiles https://guidelines.gradepro.org/profile/e58f8d6b-8fd6-4164-b9da-2c62605e845c

- They identified a SRMA (Chai-Adisaksopha_ TH 2016)² that included 2 "eligible" RCTs ^{3,4}, and identified an additional RCT ⁵ when they updated the search. All three RCTs had already been identified by our literature search and our backwards citation searching of previous papers.
- However, in our opinion, one of these RCTs (Boulis_ NS 1999) ³ was **not eligible**. It was a small RCT 13 in 13 patients with intracranial hemorrhage and PT > 17 seconds <u>compared FFP</u> (n=8) vs <u>FFP supplemented by PCC</u> (n=5). This comparator is different from the comparators in the PICOs of the ASH guideline (or our guideline). It only provides indirect evidence favoring the combination of FFP plus PCC: there were 0/5 complications with FFP plus PCC; 5/8 experienced significant complications of fluid overload with FFP alone.
- A Cochrane SRMA (Johansen_ CDSR 2015) ⁶ that compared PCC vs any control treatment for reversal of VKA treatment in bleeding and non-bleeding patients, did not identify any additional trials
- None of the SRMAs or older CPGs that we assessed had included any additional RCTs, not even RCTs in non-GI bleeding. Also, they did not includ any additional comparative observational studies in GI bleeding.
- We performed our own focused updated search (bleeding, not limited to GI patients) from 2017 to 2020 in PubMed only on February 11, 2020. ("prothrombin complex concentrate*" OR PCC) AND (plasma OR FFP) AND (warfarin OR coumadin OR "vitamin K antagonist*" OR VKA OR VKAs) AND (bleed* or re-bleed* or rebleed* or hemorrhag* or haemorrhag*). This search did not reveal any new RCTs published since 2017.

RCTs

- 11. **Sarode Circulation 2013** (Sarode et al. Efficacy and safety of a 4-factor prothrombin complex concentrate in patients on vitamin K antagonists presenting with major bleeding: A randomized, plasma-controlled, phase IIIb study. Circulation 2013) ⁴
 - a. 202 patients on VKA with INR ≥2.0 (within 3 hours before study treatment) and major bleeding
 - b. included 113 patients with GI bleeding (see suppl material). Dosing of PCC and FFP weight based and varied by initial INR

682	i. 4F-PCC: 55 patients with GI bleeding
683	ii. FFP: 58 patients with GI bleeding
684	c. Outcomes:
685	i. "hemostatic efficacy" at 24 hours
686	1. assessed by a blinded, independent Endpoint Adjudication Board: assigned a poor/none hemostatic efficacy
687	rating if the management required administration of any hemostatic products other than study product or
688	packed red blood cells within 24 hours after the start of study product infusion.
689	2. separate results for patients with GI bleeding (in suppl Table 6, in suppl material in that paper)
690	ii. thrombotic events at 45 days (the investigators recorded all thrombotic events up to day 10; from day 11 to day 45,
691	they only recorded the thrombotic events that qualified as serious adverse events)
692	1. all patients: 8/103 (PCC) vs 7/109 (FFP)
693	no separate results for patients with GI bleeding
694	iii. Mortality at 30 days (mortality at 45 days was also reported)
695	1. all patients: 6/103 (PCC) vs 5/109 (FFP)
696	no separate results for patients with GI bleeding
697	iv. Fluid overload (unclear timing, either at 10 days or at 45 days)
698	1. all patients: 5/103 (PCC) vs 14/109 (FFP)
699	v. "Rapid INR reduction" (proportion of patients with INR ≤1.3 at 0.5 hour after the end of infusion)
700	1. all patients: 61/103 (PCC) vs 10/109 (FFP)
701	d. Indirectness issues: both arms received Vit K (5-10 mg) by slow IV infusion. More patients in the PCC group did not receive vit K
702	(4 vs. 2 in the FFP group). More patients in the PCC group received vit K by a non-intravenous route (8 vs. 3 in the FFP group).
703	Not reported if any of these involved the GIB subjects.
704	
705 706	42. Pafaci FAN 2047 / Pafaci et al. Facco Factor Poethoroushin Compular Computator Poethorous Time to Poethorous in Vitancia K Antonomiet
706 707	12. Refaai EMI 2017. (Refaai et al. Four-Factor Prothrombin Complex Concentrate Reduces Time to Procedure in Vitamin K Antagonist-
707	Treated Patients Experiencing Gastrointestinal Bleeding: A Post Hoc Analysis of Two Randomized Controlled Trials. Emerg Med Int
708	2017:ID8024356) ⁸
709 710	o <u>Overlapping study population</u> with Sarode Circulation 2013. A choice had to be made about which of the two studies should be
710 711	included, Refaai 2017 or Sarod 2013. We decided to include Sarode 2013 because it provided results for a larger number of
711	patients with GI bleeding.

712	0	Post hoc analysis of two RCTs (Goldstein 2015 and Sarode 2013) that evaluated the subset of patients at two US sites who had GI
713		bleeding in either of the trials. Of note, in the original publication of one of these trials (Goldstein Lancet 2015) there was no
714		mention of GI bleeding (it included patients needing urgent surgical or invasive interventions) and was not eligible for inclusion
715		in the evidence base for our guideline.
716	0	42 patients with GIB: 22 received 4F-PCC; 20 received plasma
717		 37 of the patients were derived from Sarode Circulation 2013 (already included) and 5 patients from Goldstein Lancet
718		2015
719	0	Outcomes: "infusion time", "infusion volume", "time to procedure", "hemostatic efficacy", "rapid INR reduction"
720	0	Indirectness issue: both arms received Vit K, protocol not standardized
721		
722		
723	13. Steine	r LN 2016. (Steiner T, Poli S, Griebe M, et al. Fresh frozen plasma versus prothrombin complex concentrate in patients with
724	intracr	anial haemorrhage related to vitamin K antagonists (INCH): a randomised trial. Lancet Neurol. 2016;15(6):566-573) ⁵
725	0	Serious indirectness: 50 patients with VKA related intracranial hemorrhage who presented within 12 h after symptom onset
726		with an INR of at least 2.0.
727	0	4F-PCC vs FFP
728		If the primary endpoint was not reached (INR ≤ 2.0 at 3 h after the start of treatment), additional PCC was given in both
729		arms.
730		 Dosing of PCC and FFP was weight based but not based on initial INR
731	0	All patients also received 10 mg of intravenous vitamin K
732	0	Thromboembolic events at 60 days
733		■ 7/27 (PCC) vs 2/23 (FFP)
734		■ Note: Ischemic stroke included as a thromboembolic event (1 in FFP only, 1 in FFP+PPC, 1 in PPC only). This risk may be
735		higher in a patient population with an intracranial bleed.
736	0	Mortality at 30 days
737		■ 3/27 (PCC) vs 8/23 (FFP)
738	0	Proportion of patients with an INR ≤ 2.0 at 3 h after the <u>start</u> of treatment:
739		■ 18/27 (PCC) vs 2/23 (FFP)
740	0	Proportion of patients with an INR \leq 1.2 at 30 min after the <u>start</u> of treatment (post hoc analysis)
741		■ 17/26 (PCC) vs 0/19 (FFP)
742	0	Transfusion related events: 1 transfusion related event (anaphylaxis) in the FFP group

Risk of bias as	ssessment of	RCTs					
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments
Sarode Circulation 2013 ⁴	ОК	ОК	Not blinded - However, outcomes were blindly adjudicated by an independent board.	ОК	OK	The choice of timing of the INR reduction outcome (0.5 hour after the end of infusion) would favor the FFP arm, because FFP infusions took longer to finish.	For hemostatic efficacy there is high risk of bias (performance bias) due to lack of blinding, even with the use of a blinded adjudication committee
Refaai EMI 2017 ⁸	ОК	OK	Not blinded - However, outcomes were blindly adjudicated by an independent board.	ОК	OK	Possible selection bias due to the post hoc decision to include patients from 2 sites	This study is a post hoc analysis two RCTs (Goldstein 2015 and Sarode 2013) that evaluated the subset of patients at two US sites who had GI bleeding in either of the trials (as bleeding events were not an inclusion criterion for the Goldstein 2015 study, such events were not systematically reported by all sites in that study). Together these 2 sites enrolled 30% of the total study population for the 2 studies. Goldstein 2015 was conducted in 33 sites (18 US sites), and Sarode was conducted in 36 sites in the US and Europe. This study cannot be pooled together with Sarode Circulation 2013 because of the largely overlapping populations For hemostatic efficacy there is high risk of bias (performance bias) due to lack of blinding, even with the use of a blinded adjudication committee

Steiner	OK	ОК	Not blinded	OK	OK	OK	Serious indirectness: patients with
			- However,				intracranial bleeding
			outcomes were				
			blindly assessed				
Low risk of bias							
Unclear risk of bi	ias						

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

Our SRMA of 2 RCTs of mixed populations (43% of the patients had GI bleeding, 28% had intracranial hemorrhage)

Mortality:

High risk of bias

	PCC	,	FFP			Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Sarode 2013	6	103	5	109	50.8%	1.27 [0.40, 4.03]	-
Steiner 2016	3	27	8	23	49.2%	0.32 [0.10, 1.07]	-
Total (95% CI)		130		132	100.0%	0.64 [0.17, 2.49]	
Total events	9		13				
Heterogeneity: Tau² = Test for overall effect:				P = 0.1	1); I² = 62	%	0.05 0.2 1 5 20 Favours PCC Favours FFP

763 Thrombotic events:

	PCC	,	FFP)		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Sarode 2013	8	103	7	109	69.2%	1.21 [0.45, 3.22]	
Steiner 2016	7	27	2	23	30.8%	2.98 [0.69, 12.96]	 •
Total (95% CI)		130		132	100.0%	1.60 [0.70, 3.62]	-
Total events	15		9				
Heterogeneity: Tau ² =	0.00; Ch	$i^2 = 1.0^4$	1, df = 1 (P = 0.3	2); $I^2 = 19$	6	
Test for overall effect: Z = 1.12 (P = 0.26)					0.05 0.2 1 5 20 Favours PCC Favours FFP		

INR "correction" at 30 min

	PCC	,	FFP)		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Sarode 2013	61	103	10	109	94.3%	6.46 [3.50, 11.90]	
Steiner 2016	17	26	0	19	5.7%	25.93 [1.66, 405.96]	
Total (95% CI)		129		128	100.0%	6.99 [3.61, 13.53]	•
Total events	78		10				
Heterogeneity: Tau ² =	0.02; Chi	$r^2 = 1.0$	2, df = 1 (P = 0.3	1); I ² = 29	6	0.05 0.2 1 5 20
Test for overall effect: Z = 5.77 (P < 0.00001)						Favours FFP Favours PCC	

Cohort studies with the control group needed for this PICO

- 14. **Karaka AJRM 2014.** (Karaca et al. Use and effectiveness of prothrombin complex concentrates vs fresh frozen plasma in gastrointestinal hemorrhage due to warfarin usage in the ED. American Journal of Emergency Medicine. 32 (6) (pp 660-664), 2014) ⁹
- Design unclear. The authors describe it as "prospective cohort study of consecutive patients alternately selected by the authors". It could also be considered a non-randomized clinical trial. The certainty of the evidence derived from this study is very low, regardless of the design assigned.

- 779
- Consecutive patients with INR > 2.1 due to warfarin use, and GI bleeding that received either PCC or FFP.
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- 20 each in the PCC and FFP groups.
- All patients had 10 mg vitamin K1 intravenously
- For the PCC group, the mean INR levels at 2 hours and 6 hours were lower than those for the FFP group.
- n=7 with active bleeding (Forrest 1) in the FFP group vs n=0 in the PCC group (based on Forrest; 35% vs 0%)
 - Note: the Forrest classification was reported as outcome, not as baseline characteristic, because "upper endoscopy was performed on patients after their INR reached an efficient level (INR <2.1)" "On average, upper endoscopy was performed 8 h (range: 6-12 h) after admission in the PCC group and 12 h (range: 8-24 h) in the FFP group"
- n=3 in the FFP group underwent invasive/surgical treatment (15% vs 0%)
- emergency department length of stay was also longer in FFP group (3.46 vs 1.62 days, P<.01), while total length of hospital stay was not different between the groups
- Thromboembolic outcomes were not mentioned

Study	Valid methods to ascertain exposure (exposures = PPC, FFP)	Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Karaka AJRM 2014 ⁹	OK	Unclear	OK	OK	OK	OK	Thrombotic events were not reported

Unclear risk of bias High risk of bias

> Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

• Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

Systematic reviews

 No additional studies were identified by reviewing the SRs that were identified by our primary literature search (listed below) or the SRs and previous guidelines (that included systematic reviews) that were identified by supplemental searches

- 1. Johansen et al. Prothrombin complex concentrate for reversal of vitamin K antagonist treatment in bleeding and non-bleeding patients. Cochrane Database of Systematic Reviews 2015
 - Out of 4 included RCTs, only one RCT that compared PCC vs. FFP (Sarode Circulation 2013) included some patients with GI bleeding. No separate results for patients with GI bleeding
- 2. Ostermann et al. Prothrombin complex concentrate for vitamin K antagonist reversal in acute bleeding settings: efficacy and safety. Expert Review of Hematology. 12 (7) (pp 525-540), 2019.
 - Included RCTs and observational studies
 - Identified one cohort study (Karaka AJEM 2014) that assessed GI bleeding (4F-CCC vs FFF). This study had already been captured by our search for primary studies.

Cost-effectiveness analyses

- 1. Guest CT 2010 (Guest et al. Modeling the Cost-Effectiveness of Prothrombin Complex Concentrate Compared with Fresh Frozen Plasma in Emergency Warfarin Reversal in the United Kingdom. Clinical Therapeutics. 32 (14) (pp 2478-2493), 2010) ¹⁰
 - This CE study concluded that a typical patient with GI bleeding who is treated with PCC instead of FFP would "gain 0.2 life-year, and the cost per life-year gained with PCC would be £2100. Additionally, a typical patient would gain 0.14 QALY and the cost per QALY gained with PCC would be £2900."

- This was based on the assumption that "use of PCC instead of FFP after a GI hemorrhage could increase survival from an estimated 97% to 98%". The three studies from which the authors extracted evidence were: Wilcox CM et al. Am J Med 1988;84:683–690, Rubin TA, et al. Gastrointest Endosc 2003;58:369 –373, and Thomopoulos KC, et al. World J Gastroenterol 2005;11:1365–1368. However, those 3 studies simply reported mortality rates in GI bleeding when FFP is used they did not assess or report mortality rates with PCC, and of course, did not report any comparative efficacy (or comparative resource use) between FFP and PCC. Therefore, the efficacy data for GI bleeding that were used in the CE model were guestimates ("expert opinion") made by 14 experts (none of which was gastroenterologist).
- Our SRMA (and previous SRMAs) on the efficacy of PCC vs FFP on mortality in patients with VKA-related bleeding has shown that there is uncertainty about the direction and magnitude of the effect on mortality. This evidence had not been published at the time of the CE analysis. Ideally, a CE should be conducted using that data.
- In conclusion, we have very low confidence in the results of this CE analysis.

861 Evidence profile, PICO 3

Patients with	GI bleeding: \	VKA reversal v	with PCC vs. FF	:P								
			C						Sum	mary of Finding	s	
			Certainty Asse	ssment				Events / participants Effect			fect	
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	PCC	FFP	Relative (95% CI)	Absolute (95% CI)	Comments
Further bleeding	at 7 days (critical	outcome)	l									
1 RCT (separate results for GI bleeding from Sarode Circulation 2013) ⁴	Moderately serious ^a		Very serious ^b	Serious ^c	None	⊕⊝⊝ VERY LOW		14/55	14/58	RR 1.05 (0.55 to 2.00)	Risk with FFP: 241 events per 1,000. With PCC: 12 more per 1,000 (from 108 less to 229 more)	
1 cohort study (Karaka AJEM 2014) ⁹	Not serious ^d	Serious ⁿ	Serious ^e	Serious ^f	None	⊕⊖⊖ VERY LOW		0/20	7/20	RR 0.07 (0.00, 1.09)	Risk with FFP: 350 events per 1,000. With PCC: 325 less per 1,000 (from 350 less to 13 more)	
Thrombotic even	ts within 30 days	(critical outcome	e)									
Our SRMA of 2 RCTs (Sarode Circulation 2013; ⁴ Steiner LN 2016 ⁵)	Not serious	Not serious	Serious ^g	Serious ^c	None	⊕⊝⊝⊝ VERY LOW	⊕⊝⊝ VERY LOW	15/130	9/132	RR 1.60 (0.70 - 3.62)	Risk with FFP: 68 events per 1,000. With PCC: 41 more per 1,000 (from 20 less to 178 more)	
Mortality within 3	30 days (importa	nt outcome, but r	not critical for dec	ision making)								
Our SRMA of 2 RCTs (Sarode Circulation 2013;4 Steiner LN 2016 5)	Not serious	Not serious	Very serious ^h	Serious ^c	None	⊕⊝⊝ VERY LOW		11/130	13/132	RR 0.64 (0.17 - 2.49)	Risk with FFP: 98 events per 1,000. With PCC: 35 less per 1,000 (from 81 less to 146 more)	

1 cohort study (Karaka AJEM 2014) ⁹	Not serious	Not applicable	Not serious	Very serious ⁱ	None	⊕⊝⊝ VERY LOW	1/20	1/20	RR 1.00 (0.07 - 14.90)	Risk with FFP: 50 events per 1,000. With PCC: the same, i.e., 50 per 1,000 (from 47 less to 695 more)	
Transfusion-relat	ed events (fluid	overload) within	7 days (important	outcome, not cri	tical for decis	ion making)					
1 RCT (Sarode Circulation 2013 ⁴)	Moderately serious ^j	Not applicable	Moderately serious ^k	Serious ^I	None	⊕⊕⊝⊝ LOW	5/103	14/109	RR 0.38 (0.14 - 1.01)	Risk with FFP: 128 events per 1,000. With PCC: 79 less per 1,000 (from 110 less to 1 more)	
Speed of INR corr in Steiner 2016) a	** *	•	_	. •		•					
Our SRMA of 2 RCTs (Sarode Circulation 2013;4 Steiner LN 2016 5)	Not serious	Not serious	Serious ^m	Not Serious	None	⊕⊕⊕⊝ MODERATE	78/129	10/128	RR 6.46 (3.50 - 11.90)	Risk with FFP: 78 events per 1,000. With PCC: 427 more per 1,000 (from 195 more to 850 more)	Desirable outcome

863 Footnotes

^a Moderately serious risk of bias. The certainty of evidence was rated done by **half** level. For the outcome of "hemostatic efficacy", there is moderate risk of bias (performance bias) due to lack of blinding, despite the use of a blinded adjudication committee.

^b Very serious indirectness. The certainty of evidence was rated done by **one and a half** level. The outcome of "hemostatic efficacy" as defined in the study, was very different from the target outcome of this guideline, i.e., further bleeding. Furthermore, this outcome was only measured at 24 hours. The fact that vitamin K was administered in all patients (both study arms) raises further indirectness concerns (this would bias the results towards the null), but did not further rate down this outcome for this reason.

^c Serious imprecision. Lower and upper bounds of the 95% CI for the anticipated absolute effect include important benefit and important harm

^d It was unclear whether prognostic factors (other than exposure of interest) were similar among cohorts. However, the certainty of evidence was not rated down for this.

^e Serious indirectness. The outcome of active bleeding at upper endoscopy as defined in the study was very different from our target outcome of further bleeding. Furthermore, the timing of the outcome was at 6 to 24 hours. The fact that vitamin K was administered in all patients (both study arms) raises some indirectness concerns (this would bias the results towards the null), but did not further rate down this outcome for this reason.

- For Serious imprecision. Lower and upper bounds of the 95% CI for the anticipated absolute effect include important benefit and important harm. Also, very small number of events.
- 878 g Serious indirectness for the outcome of thrombotic events. Mixed population: 43% of the patients had GI bleeding; 28% had intracranial hemorrhage.
- Patients with intracranial hemorrhage or other types of major bleeding may be very different from the target population for our guideline with regards to their risk for thrombotic events. Thrombotic events were assessed at 45 days ⁴ and at 60 days ⁵.
- h Very serious indirectness for the outcome of mortality. Mixed population: 43% of the patients had GI bleeding; 28% had intracranial hemorrhage. Patients with Intracranial hemorrhage are very different from the target population of this guideline, especially with regards to the mechanisms leading to death and the effect of rapid VKA reversal on the mechanisms leading to death..
- Very serious imprecision. Lower and upper bounds of the 95% CI for the anticipated absolute effect include important benefit and important harm. Also, very small number of events, only one event per study arm.
- Moderately serious risk of bias. The certainty of evidence was rated done by **half** level. For the outcome of fluid overload, there is moderate risk of bias (performance bias) due to lack of blinding, despite the use of a blinded adjudication committee.
- k Moderately serious indirectness for the outcome of fluid overload. The certainty of evidence was rated done by **half** level. Mixed population: 56% of the patients had GI bleeding, while the remaining patients had intracranial, "visible", or musculoskeletal bleeding. It is possible but not certain that this indirectness of the population could have affected the outcome. The timing of outcome assessment is unclear (either at 10 days or at 45 days).
- Serious imprecision. Lower and upper bounds of the 95% CI for the anticipated absolute effect include important benefit and negligible effect. Also, small number of events.
 - m Serious indirectness of the outcome, because the speed of INR correction is a surrogate outcome and not a clinical outcome. We did not further downrate the certainty of evidence for indirectness of population, despite the fact that the population (mixed population: 43% of the patients had GI bleeding; 28% had intracranial hemorrhage) was different from the target population of this guideline. The guideline panel felt that it was unlikely that INR correction at 30 min would be expected to differ according to the type of bleed. The type of bleed would have affected the importance of this outcome (likely more important for intracranial bleed compared to GI bleed) but not the direction or the magnitude of the effect. With regards to the differences between the two studies, Sarode 2013 and Steiner 2016, the target INR was very similar (1.2 and 1.3 respectively), while the timing was different: it was 30 after the end of infusion in Sarode 2013 vs. 30 min after the start of infusion in Steiner 2016. In Sarode 2013, the timing of the outcome would favor the FFP arm, because FFP infusions took longer to finish, therefore it is reasonable to speculate that had the timing of the outcome been similar to the other trial, the effect size would have been even larger.
 - ⁿThere is inconsistency in the direction of effect between the two estimates

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Evidence to Decision Framework, PICO 3

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03. Reversal of vitamin K antagonist with PCC vs FFP

P: Patient with GIB currently taking warfarin

910 I: PCC

911 C: FFP

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

	Judgement (Panel's judgments highlighted <mark>in</mark> yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate Large O Varies O Don't know	See Evidence Profile Table. The <u>desirable</u> anticipated effects with PPC (compared to FFP) are: - reduced mortality: 35 less events per 1,000 patients - reduced fluid overload: 79 less events per 1,000 patients - increased speed of INR correction (post hoc outcome; proportion of patients who reached INR ≤1.2 or 1.3 in 30 min): 427 more per 1,000 patients	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large Moderate o Small o Trivial o Varies o Don't know	The <u>undesirable</u> anticipated effects with PCC (compared to FFP) are: - increased thrombotic events (critical outcome): 41 more events per 1,000 patients The anticipated effect in further bleeding (critical outcome) is unknown, because two streams of evidence from one RCT and one cohort study respectively, produced point estimates at opposite directions	
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	

Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? • Important uncertainty or variability • Possibly important uncertainty or variability • Probably no important uncertainty or variability • No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison (FFP) O Probably favors the comparison O Does not favor either the intervention or the comparison Probably favors the intervention (PCC) Favors the intervention O Varies O Don't know	PCC would lead to large desirable anticipated effects (reduced mortality, reduced fluid overload events, more reliable INR correction) vs. moderate undesirable effects (increased thrombosis) compared to FFP.	
Resources required	How large are the resource requirements (costs)? o Large costs Moderate costs o Negligible costs and savings o Moderate savings o Large savings o Varies o Don't know	Per Canada Blood Services, Octaplex and Beriplex are both priced at \$0.57 per IU Therefore, if the dosing regimen of Sarode 2013 is used (see table 2 in that paper), for a patient with a weight of 75 Kg and INR 2-4, the cost will be CAD 1,068 or approximately USD 1,500 The cost of FFR in Canada in 2018-19 was \$118 per Unit (300 ml)	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low Moderate o High o No included studies		

Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention (PCC) O Favors the intervention	One cost-effectiveness study was identified (Guest et al. Modeling the Cost-Effectiveness of Prothrombin Complex Concentrate Compared with Fresh Frozen Plasma in Emergency Warfarin Reversal in the United Kingdom. Clinical Therapeutics. 32 (14) (pp 2478-2493), 2010), but as explained above, the guideline panel had very low confidence in the conclusions of this CE analysis.	
	No included studies		
Acceptability	Is the intervention (PCC) acceptable to key stakeholders? O No O Probably no Probably yes O Yes O Varies O Don't know		
Feasibility	Is the intervention (PCC) feasible to implement? O No O Probably no O Probably yes Yes O Varies O Don't know		

Conclusions

 PICO 03. For patients on warfarin who are hospitalized or under observation with acute GIB (upper and/or lower) should PCC be administered compared to FFP?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

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Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention 6/6 votes: 100%	Strong recommendation for the intervention
	0	0	0	•	0
Recommendation	· · · · · · · · · · · · · · · · · · ·	-	or under observation with dation, very low certainty o	acute GIB, we suggest PCC adminis	stration compared
Justification					
Subgroup considerations	a subpopulation of particles following conditions massive block	atients with life-threaten is also met: od transfusion (which ma	ing GI bleeding could still b	eeding on warfarin do not require for treated with PCC, especially if on e to dilution of blood components) erapeutic range	e or more of the
Implementation considerations					
Monitoring and evaluation		d the physician talk to th and setting documented?	· ·	tions under which the intervention	should be used?
Research priorities				_	

References to PICO 3

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- 4. Sarode R, Milling TJ Jr, Refaai MA, et al. Efficacy and safety of a 4-factor prothrombin complex concentrate in patients on vitamin K antagonists presenting with major bleeding: a randomized, plasma-controlled, phase IIIb study. Circulation. 2013;128(11):1234-1243.
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- 9. Karaca et al. Use and effectiveness of prothrombin complex concentrates vs fresh frozen plasma in gastrointestinal hemorrhage due to warfarin usage in the ED. American Journal of Emergency Medicine. 32 (6) (pp 660-664), 2014
- 10. Guest et al. Modeling the Cost-Effectiveness of Prothrombin Complex Concentrate Compared with Fresh Frozen Plasma in Emergency Warfarin Reversal in the United Kingdom. Clinical Therapeutics. 32 (14) (pp 2478-2493), 2010

963 4. GIB: vitamin K for reversal of VKA

4. GIB: Reversal of vitamin K antagonist with vitamin K vs none

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P: Patient with GIB currently taking warfarin

966 P: Patient w 967 I: vitamin K

968 C: no reversal agents

969 O: **CRITICAL:** Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

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Our literature searches (including supplementary searches for SRMAs and previous guidelines with assessment of their references) identified only one eligible study, Moustafa TR 2018 ¹ (see below), that included patients with VKA-related major bleeding (some of which had GI bleeding) and reported clinical outcomes. None of the previous guidelines, even guidelines dedicated to reversal of anticoagulation, reported evidence profiles for their recommendations on vitamin K as VKA reversal treatment

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We decided to utilize indirect evidence: evidence on INR reduction (surrogate outcome), mortality and thromboembolic events in non-bleeding patients on VKA and elevated INR. The most recent, and by far the most rigorously conducted SRMA on vitamin K for reversal of excessive VKA anticoagulation in non-bleeding patients was Khadib Blood Adv 2019 ². See below.

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No SRMA quantified the risk of anaphylactic reaction with intravenous administration of vitamin K.

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Case-control studies with the comparator exposure required for this PICO

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1. **Moustafa TR 2018** (Moustafa et al. Management and outcome of major bleeding in patients receiving vitamin K antagonists for venous thromboembolism. Thrombosis Research. 171 (pp 74-80), 2018) ¹

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• Design: case-control and cohort study analyses conducted on a cohort from multi-institutional multi-national registry

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 The adjusted analyses relevant to this PICO were conducted by the authors as case-control analyses (reported as odds ratios)

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 The raw data were available too; however, we could not extract clean data for patients who only had vitamin K and did not have any other reversal agents) or patients who did not have any reversal agent

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N= 267 patients who had major bleeding while receiving VKA for VTE.

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o 78 patients had GI bleeding, but there were no separate results for the outcomes required for this PICO

- Multivariable analysis (case control analyses) showed that
 - o vitamin K use was associated with lower risk of mortality (OR: 0.47; 0.24–0.92)
- Indirectness concern: Neither the exposure or the non-exposure is direct in the analyses. The exposure was vitamin K but some of these patients have used other reversal agents, with the non-exposure was not "no reversal agent", as some these patients have also used reversal agents other than vitamin K. Furthermore, only 2 reversal agents were included in the multivariable analysis (see next comment).
- Serious concerns about the multivariable analysis
 - o The number of cases was too small (13 thrombotic events and 59 deaths) to allow for use of all variables.
 - Several variables were captured and proposed for inclusion, but the variables that were risk factors for thromboembolism or death were not reported exhaustively -only examples were presented.
 - The authors stated that "all variables achieving a significance level of ≤0.1 on univariate analysis were considered for inclusion in the logistic regression model". Only 5 variables achieved that significance level, and eventually those 5 plus 2 additional variables were included in the multivariable model. This approach excluded important confounders from the multivariable analysis.
 - The inadequate adjustment was evident when we calculated the unadjusted odds ratios from the raw data (table 4 in that paper) and found that the results were almost identical with the adjusted results in from the multivariable analysis (table 4 in that paper). For example, for the association of vitamin K use and risk of death
 - Unadjusted OR: 0.50, 95% CI 0.27 0.94
 - Adjusted OR: 0.47, 95% CI 0.24 0.92

Study	Cases and controls similar for risk of exposure (or adjusted adequately for confounders)	Methods to determine exposure valid and similar for cases and controls	Methods to ascertain outcome of interest valid and similar for cases and controls	Incomplete/ missing data addressed	Other bias	Comments
Moustafa TR 2018	Not similar, not adjusted adequately (see description of the study)	OK	OK	Unclear	OK	

High risk of bias

SRMAs in non-bleeding patients 1. Khatib BA 2019 (Khatib R et al. Vitamin K for reversal of excessive vitamin K antagonist anticoagulation: a systematic review and meta-analysis. Blood Adv 2019) SRMA of 5 RCTs Conducted by the highest methodological standards, including risk of bias assessments and GRADE assessments of the certainty of evidence Literature search: April 2018 Patients: Adults "using VKAs with a first episode of an elevated INR value (between 4.5 and 10) that required temporary VKA cessation and without bleeding. Patients who required VKA reversal for urgent surgery or because of bleeding were excluded. Studies were included with patients taking VKA for any indication". **Intervention**: Administration of vitamin K (oral, IV, or subcutaneous) at any dose. **Comparison**: Placebo or observation only Outcomes: All-cause mortality, major bleeding, thromboembolism, and proportion of patients reaching goal INR assessed at 24 hours and at 1 week of vitamin K administration **Results:** • nonsignificant increased risk of mortality (RR 5 1.42; 95% CI 0.62-2.47), bleeding (RR 5 2.24; 95% CI 0.81-7.27), and thromboembolism (RR 5 1.29; 95% CI 0.35-4.78) for vitamin K administration, with moderate certainty of the evidence resulting from serious imprecision as CIs included potential for benefit and harm. Patients receiving vitamin K had a nonsignificant increase in the likelihood of reaching goal INR (1.95; 95% CI 0.88-4.33), with very low certainty of the evidence resulting from serious risk of bias, inconsistency, and imprecision - see figure 4 in that paper (goal INR assessed at 24 hours)

SRMAs	Adequate	Allocation	Blinding	Incomplete	Free of selective	Free of other	Comments
	sequence	concealment		outcome	reporting	bias	
	generation			data			
				addressed			
Khatib 2019	ОК	Unclear	3 studies were	ОК	OK	ОК	Very serious indirectness: non-bleeding
(5 RCTs)			not blinded. For				patients
			another study it				
			was unclear if				
			the outcome				
			assessors were				
			blinded				

Low risk of bias
Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias

1074 Evidence profile, PICO 4

Patients with G	I bleeding: \	VKA reversal v	with vitamin K	vs no treatm	ent							
									Summar	y of Findings	;	
		(Certainty Asse	essment			Events / participants Effect			Effect	Commont	
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Vitamin K	No treatmen t	Relative (95% CI)	Absolute (95% CI)	Comment s
Further bleeding at	Further bleeding at 7 days (critical outcome)											
No studies						-		-	-	-	-	
Thrombotic events	within 30 days	(critical outcome	·)	<u> </u>								
1 SRMA ² of 2 RCTs in non- bleeding patients	Not serious ^a	Not serious	Very serious ^b	Very serious ^c	None	⊕⊝⊝ VERY LOW		5/392	4/409	RR 1.29 (0.35- 4.78)	Risk without treatment: 10 events per 1,000 patients. With vit K: 3 more per 1,000 (from 7 less to 38 more)	
Mortality within 30	days (importa	nt outcome, but r	not critical for dec	ision making)								
1 SRMA ² of 3 RCTs in non- bleeding patients	Not serious ^d	Not serious	Very serious ^e	Very serious ^c	None	⊕⊖⊝ VERY LOW	⊕⊝⊝ VERY LOW	16/421	13/439	RR 1.24 (0.62- 2.47)	Risk without treatment: 30 events per 1,000 patients. With vit K: 7 more per 1,000 (from 11 less to 44 more)	
1 case control study (Moustafa TR 2018) ¹	Serious ^f	Not applicable	Very serious ^g	Serious ^h	None	⊕⊝⊝ VERY LOW		-	-	Adjusted OR 0.47 (0.24- 0.92)	-	
INR correction (various (outcome included by				or decision making	7)							
1 SRMA ² of 5 RCTs in non- bleeding patients	Serious ¹	Serious ^j	Very serious ^k	Serious ¹	None	⊕⊖⊖ VERY LOW		218/507	90/518	RR 1.95 (0.88- 4.33)	Risk without treatment: 174 events per 1,000 patients. With vit K: 165 more per 1,000 (from 38 less	Beneficial outcome

												to 579 more)
1075												
1076	Footnotes											
1077	^a Neither of the 2	^a Neither of the 2 RCTs was at high risk of bias										
1078 1079	•	^b Very serious indirectness of population, because these patients did not have bleeding. The baseline risk for thrombotic events and the effect modification of that risk by vitamin K administration could be substantially different in hospitalized patients with a major bleed.										
1080	^c Very serious imp	precision, b	ecause 95% CI	s included pot	ential for large	e benefit a	nd large harm	n. Also, very	small number of	fevents		
1081	d One of the 3 RC	Ts was at hi	gh risk of bias,	but contribut	ed only 5% of	the weigh	t of the meta-	-analysis				
1082 1083	^e Very serious ind vitamin K adminis						_		or death and the	e effect mo	odification of	that risk by
1084	^f Serious risk of bi	ias because	of serious con	cerns about ir	nadequate adj	ustment fo	r confounder	s (see comm	ents in the desc	ription of	the study, al	ove)
1085 1086 1087	^g Very serious ind group also receiv Furthermore, onl	ed reversal	agents other t	han vitamin K	, and there wa	•	•		•	•		
1088	^h Serious imprecis	sion, due to	small number	of events.								
1089	i Serious risk of b	ias, mainly _l	performance b	ias and ascert	ainment bias	due to lack	of blinding ir	n most of the	e RCTs.			
1090	^j Serious inconsist	tency: high	heterogeneity									
1091 1092 1093 1094	^k Very serious indirectness of the outcome, because the speed of INR correction is a surrogate outcome and not a clinical outcome. The certainty of evidence was further downrated for indirectness of population, i.e., non-bleeding patients did not have GI bleeding. The panel felt that the INR correction with and without vitamin K administration could follow a different course in patients with substantial loss of blood (often requiring blood transfusions) from a major GI bleeding											
1095	Serious imprecis	ion, becaus	e 95% CIs incl	uded potentia	l for large ben	efit and sm	nall harm.					
1096												
1097												
1098												

Evidence to Decision Framework, PICO 4

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1101 04. Reversal of vitamin K antagonist with vitamin K

1102 P: Patient with GIB currently taking warfarin

1103 I: Vitamin K

C: no treatment

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

	Judgement (Panel's judgments highlighted <mark>in</mark> yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large	See Evidence Profile Table. Most of the evidence is derived from research on non-bleeding patients. The <u>desirable</u> anticipated effects with vitamin K use (compared to no treatment) are: • INR correction (post hoc outcome, not critical outcome) in a larger proportion of patients compared to no treatment	Pros/cons of route of administration were discussed
ă	● Varies ○ Don't know	The experts noted that the effect on INR varies based on baseline INR. The panel considered the INR correction as a desirable effect (i.e., surrogate for reduced further bleeding), and did not consider the INR correction as a big concern for thrombotic risk	
ects	How substantial are the undesirable anticipated effects? o Large o Moderate • Small o Trivial	acutely- however, this also depends on the patient's indication for antithrombotics. However, vitamin K can lead to increased time to return to an anticoagulated state if given liberally. This may lead to an increased time of bridging and/or hospitalization to get the patient back to a therapeutic level.	
Undesirable Effects	o Varies o Don't know	The <u>undesirable</u> anticipated effects with vitamin K use (compared to no treatment) are: • increased risk of thromboembolism (critical outcome): 3 more events per 1,000 patients It is not possible to estimate the direction (let alone the magnitude) of the effect of vitamin K (vs. no vitamin K) on other clinical outcomes Also, the risk of anaphylaxis could not be systematically quantified.	

Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability O Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison Probably favors the comparison Does not favor either the intervention or the comparison Probably favors the intervention Favors the intervention (vitamin K) Varies Don't know	Variable desirable anticipated effects (INR correction) vs. small undesirable anticipated effects (increased thrombosis). Very low certainty of evidence. Given that patients place high disutility on thrombosis, the guideline panel judged that the balance probably favors the comparison.	
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings O Moderate savings C Large savings O Varies O Don't know	Negligible cost	Mode of use increases cost (personnel needed for IV/ pump)

Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? O Very low O Low Moderate O High O No included studies	
Cost effectiveness Ce	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies	
Acceptability	Is the intervention (vitamin K) acceptable to key stakeholders? O No O Probably no O Probably yes Yes O Varies O Don't know	
Feasibility	Is the intervention (vitamin K) feasible to implement? O No O Probably no O Probably yes Yes O Varies O Don't know	

Conclusions

PICO: 04. For patients on warfarin who are hospitalized or under observation with acute GIB (upper and/or lower) should vitamin K administered (compared to not)?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

1	1	16	
1	1	17	

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	•	0	0	0
Recommendation			or under observation with ation, very low certainty of	acute GIB (upper and/or lower) we evidence)	e suggest against
Justification					
Subgroup considerations	administration of vita and the INR is suprati	min K. However, a mino	rity of patients should be gi ation where vitamin K could	h acute GI bleeding on warfarin wi ven vitamin K, especially when GI k I be used, is when the intention is	oleeding is severe
Implementation considerations	Route of administrati	on considerations.			
Monitoring and	Quality indicators: Di	d the physician talk to th	e patient or elicit the condi	tions under which the intervention	should be used?
evaluation	Was this discussion a	nd setting documented?			
Research priorities					

References, PICO 4 1. Moustafa et al. Management and outcome of major bleeding in patients receiving vitamin K antagonists for venous thromboembolism. Thrombosis Research. 171 (pp 74-80), 2018 2. Khatib R et al. Vitamin K for reversal of excessive vitamin K antagonist anticoagulation: a systematic review and meta-analysis. Blood Adv 2019. 5. GIB: idarucizumab for dabigatran reversal 5. GIB: Reversal of dabigatran with idarucizumab P: Patient with GIB currently taking dabigatran I: idarucizumab C: no reversal agent O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus) IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, infusion-related events within 7 days

1152	Cohort studies with the comparator cohort needed for this PICO
1153	
1154	1. Singh AJCD 2019 (Singh et al. Real World Outcomes Associated with Idarucizumab: Population-Based Retrospective Cohort Study.
1155	American Journal of Cardiovascular Drugs. 2019) ¹
1156	Retrospective cohort study in the US
1157	 Included patients hospitalized for dabigatran-associated major non-traumatic GI bleeding or intracranial bleeding
1158	 Analyzed separately the 1283 patients with GI bleeding
1159	 N= 159 patients on dabigatran with GIB who received idarucizumab
1160	 N= 1124 patients on dabigatran with GIB who did not receive idarucizumab
1161	Mortality:
1162	• idarucizumab: 9 deaths/159 (5.7%)
1163	• no idarucizumab: 37 deaths/1124 (3.3%)
1164	• Adjusted OR 1.39, 95% CI 0.51–3.45
1165	 Venous thromboembolism:
1166	• idarucizumab: 2/159 (1.3%)
1167	• no idarucizumab: 47/1124 (4.2%)
1168	• Adjusted OR 0.35, 95% CI 0.08–1.58
1169	Did not report results on further bleeding or infusion AEs
1170	 Multiple mistakes with inversed groups in the manuscript
1171	 See discussion about <u>higher</u> mortality in the idarucizumab group, including the FDA rationale for dropping the requirement for
1172	a phase IV cohort study with "comparator" arm
1173	https://www.accessdata.fda.gov/drugsatfda_docs/nda/2015/761025Orig1s000SumR.pdf
1174	
1175	Cohort studies <u>without</u> the comparator cohort needed for this PICO
1176	1. Pollack NEJM 2017 (Pollack et al. Idarucizumab for Dabigatran Reversal - Full Cohort Analysis. N Engl J Med. 2017;377(5):431-441) ²
1177	Single cohort study (RE-VERSE AD study)

• Patients on dabigatran who had uncontrolled bleeding (group A) or were about to undergo an urgent procedure (group B)

1179	All patients received 5 g of intravenous idarucizumab
1180	 No comparator cohort (i.e., no cohort receiving another treatment or no treatment)
1181	 Group A included 137 patients GI bleeding, for which <u>separate</u> safety outcomes were reported:
1182	• 30-day mortality: 11.1% (15/137)
1183	• 30-day thrombotic events (figure 2 in the article): (3.6%) 5/137 (note: van der Wall 2019 reported 4/137)
1184	 Reported "bleeding cessation" within 24 hours, but not separately for GI bleeding
1185	• Reported hypersensitivity events in the whole cohort (N=503): 3 events, all classified as "potential": 1 rash; 1 vomiting and loss
1186	of consciousness; 1 hypotension
1187	 Reported surrogate outcomes (not separate for patients with GI bleeding):
1188	• For the whole group A (301 patients): reversal of the anticoagulant effect of dabigatran before and up to 24 hours after
1189	the administration of idarucizumab, on the basis of the diluted thrombin time or ecarin clotting time. See Figure 1 in that
1190	article.
1191	• For the whole cohort (group A and B together: 503 patients) concentration of unbound dabigatran before and up to 24
1192	hours after the administration of idarucizumab (see Figure 1C in that paper)
1193	 Note that there was recurrent elevation in diluted thrombin time and in unbound dabigatran concentration at 12 and 24
1194	hours in some patients. The suggested explanation was the redistribution of unbound dabigatran from the extravascular
1195	to the intravascular compartment. "Unbound-dabigatran concentrations remained below 20 ng per milliliter for 24 hours
1196	in the majority of patients; however, reappearance of levels above 20 ng per milliliter was observed in 114 of 497
1197	patients (23.0%), mainly after 12 hours, with 67 patients having elevated levels only at the 24-hour measurement. These
1198	recurrent elevations were associated with recurrent or continued bleeding in 10 patients in group A and in no patients in
1199	group B"
1200	• Of note, this recurrent elevation was noted 2 years earlier in Pollack NEJM 2015 ³ (preliminary report of 90 patients) but
1201	there was no modification of the sampling protocol to collect data beyond 24 hours and assess the shape of the curves
1202	beyond 24 h. How long do these elevations last, do they raise higher after 24 hours?
1203	 Suppl Figure 3 in van der Wall 2019 ⁴ shows that in patients (with renal insufficiency) the "12 to 24 hour
1204	elevations" of dTT and dabigatran concentration were sustained well beyond 24 hours. See below.
1205	Also, see Idarucizumab_ Center of Drug Evaluation review_ 2015
1206	https://www.accessdata.fda.gov/drugsatfda_docs/nda/2015/761025Orig1s000SumR.pdf

1208 1209 1210 2. Pollack NEJM 2015 (Pollack et al. Idarucizumab for dabigatran reversal. New England Journal of Medicine. 373 (6) (pp 511-520), 2015) 3 1211 Interim analysis of the RE-VERSE AD study. 1212 No comparator cohort (i.e., no cohort receiving another treatment or no treatment) • The full report has already been published (Pollack NEJM 2017², see above) 1213 1214 It does not report additional info compared to the full report 1215 1216 3. van der Wall Circulation 2019 (Van der Wall et al. Idarucizumab for Dabigatran Reversal in the Management of Patients with 1217 Gastrointestinal Bleeding. Circulation. 139 (6) (pp 748-756), 2019) 4 Separate analyses on the 137 GI bleeding patients from the RE-VERSE AD study² 1218 No comparator cohort (i.e., no cohort receiving another treatment or no treatment) 1219 84% was adjudicated as major or life-threatening, 48 (35.0%) was upper GI tract in origin, 43 (31.4%) was lower GI in origin, 4 1220 1221 patients (2.9%) had both upper and lower GI bleeding, and the bleeding site remained unidentified in 42 patients (30.7%). 1222 Many patients had additional comorbidities, including 114 (83.2%) with hypertension, 68 (49.6%) with chronic heart failure, and 1223 39 (28.5%) with prior stroke or transient ischemic attack. 1224 Gastric and duodenal ulcers were common in those with upper GI bleeding (25.0%), whereas polyps and diverticular disease 1225 were identified frequently in patients with lower GI bleeding (9.3% and 16.3%, respectively). Newly diagnosed luminal GI cancer 1226 was reported in at least 2 patients in source documentation, 1 patient with a GI stromal tumor, and 1 patient with pancreatic 1227 carcinoma involving the stomach. This information reporting was not mandatory and may represent underreporting; it was also 1228 not captured in the clinical database. 1229 Complete reversal of dabigatran was observed in 118 of 121 patients (97.5%) with an elevated diluted thrombin time at 1230 presentation and 95 of 131 patients (72.5%) with an elevated ecarin clotting time and was similar for upper and lower GI 1231 bleeding. 1232 Post-reversal rebleeding: 10/137. However, the reporting is not clear on this. It reads: "A re-elevation of dTT above the ULN occurred in 25 patients (20.7%) within 12 hours and in 50 patients (41.3%) within 24 hours. In 10 of these 50 patients (20.0%), 1233 1234 rebleeding was reported within 48 hours after idarucizumab administration." Unclear if there were any rebleeds among the 1235 remaining 87 patients 1236 Time to bleeding cessation was as assessed by the treating physician and defined by stabilization of pulse, blood pressure, or 1237 hemoglobin values or, if the site was endoscopically evaluable, visible determination. Bleeding cessation occurred in 9.5% of

patients at time points >25 hours and could not be confirmed in 14.3% of patients. In the upper GI location, 82.6% were assessable within 24(+1) hours, with a median time of 2.7 hours (IQR, 1.5–9.6 hours); 4.3% of patients stopped bleeding >25 hours; and 13.0% were not assessable. In patients with lower GI bleeding, 76.2% were assessable within 24(+1) hours, with a time of 2.1 hours (IQR, 1.3–7.9 hours) to bleeding cessation. In patients with an unknown location of GI bleed, 52.4% were assessable within 24(+1) hours, with a median of 3.2 hours (IQR, 2.0–6.5 hours); 14.3% stopped bleeding at times >25 hours; and 33.3% were not confirmed. In patients with >1 location, bleeding cessation occurred within 24(+1) hours in 100% of patients after 6.4 hours (IQR, 0.8–16.0 hours).

- A total of 117 patients (85.4%) in this cohort also received blood products: 113 (82.5%) received packed red blood cell transfusions; 6 (4.4%) received PCCs; 2 (1.5%) received activated PCCs; and 1 received recombinant activated factor VII (0.7%).
- A total of <u>6 patients (4.4%)</u> experienced <u>7 thromboembolic events during the 90-day follow-up period</u>, <u>4 of which occurred within 30 days (2.9%; Table 2)</u>. Five of these events in <u>4 patients occurred in the absence of anticoagulation</u>. Overall, patients were discharged from hospital after a median of <u>7 days</u> (IQR, 4–12 days).
- The <u>30-day and 90-day mortality was 15 patients and 20 patients</u>, respectively, including myocardial infarction (3 patients), and hemorrhage (2 patients).
 - The high mortality (10.9% at 30 days) was discussed in the accompanying editorial (Siegal_ Circulation 2019)
- Suppl Figure 3 in this article shows the surrogate outcomes (dTT and dabigatran concentration) in 3 patients who rebled and had repeat idarucizumab infusions. These patients had a creatinine clearance at enrollment of 26, 43, and 29 mL/min. The shaded (missing) areas been the two parts if the graphs were 38, 17 and 1.5 hours respectively for the 3 patients. These graphs show that in these patients (with renal insufficiency) the "12 to 24 hour elevations" of dTT and dabigatran concentration were sustained well beyond 24 hours.

Study	Valid methods to ascertain exposure (exposure = idarucizumab)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Singh AJCD 2019 ¹	ОК	No adjustment for severity of GI bleeding, treatments for GI bleeding, or PCC use.	OK	ОК	OK	ОК	
Pollack NEJM 2017 ²	OK	No comparative cohort	ОК	Outcome detection methods valid, but there was no comparative cohort	Follow up complete but there was no comparative cohort	OK	
van der Wall_ Circulation 2019 ⁴	OK	No comparative cohort	ОК	Outcome detection methods valid, but there was no comparative cohort	Follow up complete but there was no comparative cohort	OK	Sub-analysis of Pollack NEJM 2017

Low risk of bias

Unclear risk of bias

High risk of bias

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• Modifi

• Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

• Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

1278 Evidence profile, PICO 5

Patients with GI bleeding: Dabigatran reversal with idarucizumab vs. no idarucizumab												
Containty Accessment								Summary of Findings				
Certainty Assessment								Events / participants		Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Idarucizumab	No idarucizu mab	Relative (95% CI)	Absolute (95% CI)	Comments
Further bleeding at	Further bleeding at 7 days (critical outcome)											
1 cohort study without comparator cohort (van der Wall 2019) ⁴	Serious ^a	Not applicable	Moderately serious ^b	Very serious ^c	None	⊕⊖⊖⊖ VERY LOW		10/50 (20%)	-	-	-	
Thrombotic events	within 30 days	(critical outcome)									-
1 cohort study (Singh 2019) ¹	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊝⊝ VERY LOW		2/159 (1.3%)	47/1124	Adjusted OR 0.35 (0.08 - 1.58) Adjusted RR ⁸ 0.36 (0.08 - 1.54)	Risk without idarucizumab: 42 events per 1,000. With idarucizumab: 26 less per 1,000 (from 39 less to 23 more)	
1 cohort study without comparator cohort (van der Wall 2019) ⁴	Serious ^a	Not applicable	Not serious	Very serious ^c	None	⊕⊖⊝ VERY LOW	⊕⊝⊝⊝ VERY LOW	15/137 (10.9%)	-	-	-	
Mortality within 30	days (importa	nt outcome, but r	ot critical for dec	ision making)								
1 cohort study (Singh 2019) ¹	Serious ^d	Not applicable	Not serious	Serious ^f	None	⊕⊝⊝⊝ VERY LOW		9/159	37/1124	Adjusted OR 1.39 (0.51 - 3.45) Adjusted RR ^g 1.37 (0.52 - 1.51)	Risk without idarucizumab: 33 events per 1,000. With idarucizumab: 12 more per 1,000 (from 16 less to 17 more)	

1 cohort study without comparator cohort (van der Wall 2019) ⁴	Serious ^a	Not applicable	Not serious	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW	4/137	-	-	-	
Infusion-related eve	ents within 7 c	lays (important o	utcome, not critic	al for decision ma	king)						
1 cohort study without comparator cohort (van der Wall 2019) ⁴	Serious ^a	Not applicable	Not serious	Very serious ^c	None	⊕⊖⊖⊖ VERY LOW	3/137	-	-	-	
	Correction of diluted thrombin time (measured up to 24 hours) (outcome included by post hoc decision; important, but not critical for decision making)										
1 cohort study without comparator cohort (Pollack NEJM 2017; van der Wall 2019) 1,4	Serious ^a	Not applicable	Serious ^h	Serious ⁱ	None	⊕⊝⊝ VERY LOW	Visual presentation (graphs)	-	-	-	-

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Footnotes

- ^a Serious risk of bias, because the study did not have comparator cohort with no use of idarucizumab.
- 1282 b Moderately severe indirectness of the outcome. The outcome of post-reversal rebleeding was reported for 48 hours and only among the 50/137 patients with 1283 "a re-elevation of dTT above the ULN within 24 hours of the outcome".
- 1284 ^cVery serious imprecision, even for the event rate in the intervention group, due to small number of events. The comparative efficacy cannot be calculated.
- d Serious risk of bias because of no adjustment for severity of GI bleeding, treatments for GI bleeding, or PCC use.
- 1286 ^e Serious indirectness of the outcome, because only venous (not arterial) thrombotic events were reported
- 1287 ^f Serious imprecision. Lower and upper bounds of the 95% CI for the anticipated effect include important benefit and important harm
- 1288 g The RR was calculated from the adjusted OR (https://clincalc.com/stats/convertor.aspx) using the following formula

$$RR = \frac{OR}{(1-P_{ref})+(P_{ref}*OR)} = 1.368$$

$$RR = \text{risk ratio; OR = odds ratio} \\ P_{\text{ref}} = \text{Prevalence of the outcome in the reference group}$$

h Serious indirectness of the outcome, because the correction of diluted thrombin time (or unbound dabigatran concertation) is surrogate outcome and not a clinical outcome.

¹ Serious imprecision, due to the rebound of diluted thrombin time in a proportion of patients: "Unbound-dabigatran concentrations remained below 20 ng per milliliter for 24 hours in the majority of patients; however, reappearance of levels above 20 ng per milliliter was observed in 114 of 497 patients (23.0%), mainly after 12 hours, with 67 patients having elevated levels only at the 24-hour measurement" ²

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Evidence to Decision Framework, PICO 5

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05. Reversal of dabigatran with idarucizumab

1300 P: Patient with GIB currently taking dabigatran

1301 I: idarucizumab

1302 C: no idarucizumab

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, infusion-related events within 7 days

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	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Effects	How substantial are the desirable anticipated effects? O Trivial	See Evidence Profile Table. The <u>desirable</u> anticipated effects with idarucizumab use (compared to no idarucizumab) are:	
Desirable Eff	o Small o Moderate o Large	dTT correction in a proportion of patients (post hoc outcome, not critical outcome), but the duration of effect is unclear The guideline panel and the decide on the direction of the effect of the intervention on 20.	
Des	○ Varies • Don't know	The guideline panel could not decide on the direction of the effect of the intervention on 30-day thrombotic events (critical outcome): one comparative cohort study that did not adjust for confounders showed a reduction in thrombotic events (26 less events per 1,000 patients), but a single-arm cohort study found a concerningly high rate of thrombosis (11%) with the use of the intervention	
Undesirable Effects	How substantial are the undesirable anticipated effects? O Large		
Undes	o Moderate ● Small o Trivial		

	o Varies o Don't know	The <u>undesirable</u> anticipated effects with idarucizumab use (compared to no idarucizumab) are: • increased 30-day mortality : 12 more events per 1,000 patients It is not possible to estimate the direction (let alone the magnitude) of the relative effect of idarucizumab (vs. no idarucizumab) on further bleeding or infusion reactions	
Certainty of evidence	What is the overall certainty of the evidence of effects? ● Very low ○ Low ○ Moderate ○ High ○ No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability Possibly important uncertainty or variability Probably no important uncertainty or variability No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? o Favors the comparison o Probably favors the comparison o Does not favor either the intervention or the comparison o Probably favors the intervention o Favors the intervention o Varies Don't know	There were small undesirable effects (increased mortality). Given that the direction of desirable effects was unclear, the guideline panel could not decide on the balance between desirable and undesirable effects.	

Resources required	How large are the resource requirements (costs)? Large costs Moderate costs Negligible costs and savings Moderate savings Large savings Varies Don't know	Cost of idarucizumab treatment in the US: around \$3,500 per 2 g dose (but varies considerably based on pharmacy coverage, insurance co-pays, government or privately paid insurance etc.)	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low • Moderate o High o No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies		
Acceptability	Is the intervention acceptable to key stakeholders? o No o Probably no o Probably yes Yes o Varies o Don't know		

Feasibility	Is the intervention feasible to implement? O No O Probably no O Probably yes Yes	Widely available; however, some institutions may not stock it because of its cost
	o Varies o Don't know	

13101311 Conclusions

 PICO: 05. For patients on dabigatran who are hospitalized or under observation with acute GIB (upper and/or lower) should idarucizumab administered compared to not?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, infusion reactions

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention				
	0	•	0	0	0				
Recommendation	· .	•		tion with acute GIB we sugges ry low certainty of evidence)	t against the				
Justification	Large cost of the in intervention or the		y about whether the bala	ance between desirable and ef	fects favors the				
Subgroup	Practically, this con	Practically, this conditional recommendation against the intervention means that most patients with GI bleeding on							
considerations	dabigatran should not be given idarucizumab. However, idarucizumab could be used in a minority of patients with								
	life threatening GI	pleeding who had take	n dabigatran within the រុ	oast 24 hours, after considerin	g potential				
	thrombotic risk ass	ociated with underlyin	g condition and cost of ir	nfusion.					

Implementation considerations	
Monitoring and	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should
evaluation	be used? Was this discussion and setting documented?
Research priorities	

References, PICO 5

- 1. Singh et al. Real World Outcomes Associated with Idarucizumab: Population-Based Retrospective Cohort Study. American Journal of Cardiovascular Drugs. 2019
- 2. Pollack et al. Idarucizumab for Dabigatran Reversal Full Cohort Analysis. N Engl J Med. 2017 Aug 3;377(5):431-441
 - 3. Pollack et al. Idarucizumab for dabigatran reversal. New England Journal of Medicine. 373 (6) (pp 511-520), 2015
 - 4. Van der Wall et al. Idarucizumab for Dabigatran Reversal in the Management of Patients with Gastrointestinal Bleeding. Circulation. 139 (6) (pp 748-756), 2019.

1335	6. GIB: andexanet alfa for rivaroxaban/apixaban reversal
1336 1337	6. GIB: Reversal of rivaroxaban/apixaban with andexanet alfa
1338 1339	P: Patient with GIB currently taking factor Xa inhibitor (rivaroxaban or apixaban)
1340	I: andexanet alfa
1341	C: no reversal agent
1342 1343	O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)
1344	IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, infusion-related events within 7 days
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1346	Cohort studies without the comparator cohort required for this PICO
1347 1348	 Connolly NEJM 2019 (Connolly et al. Full study report of andexanet alfa for bleeding associated with factor Xa inhibitors. New England Journal of Medicine. 380 (14) (pp 1326-1335), 2019) ¹
1349	ANNEXA-4 trial
1350 1351	• It is an interesting epistemological question whether this study should be regarded a prospective single-group cohort study (as the authors call it) or a single arm interventional trial: the certainly of the evidence is very low with either approach.
1352 1353	• 352 patients who had acute major bleeding within 18 hours after administration of a factor Xa inhibitor: rivaroxaban (n=128), apixaban (n=194), enoxaparin (n=20), or edoxaban (n=10)
1354	 227 patients with intracranial bleeding
1355	 35 patients with other (non-GI, non-intracranial) bleeding
1356 1357	 90 patients with GIB. All 90 contributed to the "safety group". 62 patients contributed to the "efficacy group" (those with baseline anti-Xa activity of at least 75 ng per milliliter and confirmed major bleeding)
1358	 Intervention: Andexanet. All patients received andexanet. There was no comparator cohort/arm.

1359	Follow up: 30 days
1360	 Report outcomes for GIB separately only for hemostatic efficacy at 12 hours, and infusion reactions
1361	Two co-primary efficacy outcomes:
1362 1363	 percent change from baseline in anti-factor Xa activity after andexanet treatment (see Figure 1A and 1B in this paper)
1364 1365	 Apixaban group: the median anti-factor Xa activity decreased from 149.7 ng/ml to 11.1 ng/ml (92% reduction; 95% Cl 91 to 93)
1366 1367	 Rivaroxaban group: the median value decreased from 211.8 ng/ml to 14.2 ng/ml (92% reduction; 95% CI 88 to 94).
1368 1369 1370	 percentage of patients with excellent or good hemostatic efficacy 12 hours after the andexanet infusion, with hemostatic efficacy assessed by an independent adjudication committee on the basis of prespecified criteria (see comments below)
1371	 Excellent or good hemostatic efficacy occurred in 204 of 249 patients (82%) who could be evaluated
1372	■ For the GIB "efficacy" group (n=62): excellent or good hemostatic efficacy at 12 hours: 85% (95% CI 76 to 94)
1373	 No report on recurrent GIB
1374	Infusion reactions
1375	 All patients: 2 non-severe reactions (2/352)
1376	o GIB patients: none (0/90)
1377	Thrombotic events
1378	o All patients: 34/352 (9.7%)
1379	 GIB patients: no separate results
1380	Mortality
1381	 All patients: 49/352 (14%)
1382	 GIB patients: no separate results
1383	• Concerns

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- The clinical outcome ("hemostatic efficacy at 12 hours") was neither adequately relevant ("indirectness", 12 hours is too short; of note, it was changed from 24 to 12 hours by a post hoc decision), nor specific and nor sensitive for assessment of a GI bleeding
 - Hemostatic efficacy for GIB was evaluated based on corrected hemoglobin and hematocrit <u>at 12 hours</u> compared to baseline, with "excellent" hemostasis having a < 10% decrease and "good" hemostasis with a ≤ 20% decrease (correction done by subtracting 1 g/dL from the hemoglobin or 3% from the hematocrit for each unit of packed red blood cells given); and no more than 2 additional units of coagulation intervention required.</p>
- o ITT results for efficacy outcomes were not reported. In clinical practice, baseline anti-Xa activity of at least 75 ng/ml will not be determined for patients who have taken their last dose of factor Xa inhibitor within 18 hours
- Unclear criteria for volume resuscitation and use of inotropes these would affect hemoglobin values
- Unclear etiology of GIB; unclear if /when the patients were scoped and what were the findings
- No description of co-interventions: PPIs, somatostatin analogs, endoscopic hemostasis (banding, thermocoagulation, clips, injection treatment), interventional radiology, surgery
- Surprisingly, "there was no significant relationship between hemostatic efficacy and a reduction in anti-factor Xa activity during andexanet treatment (Fig. 3)". Of note, andexanet alpha was approved by FDA and Health Canada based on evidence of reduction in anti-factor Xa activity with andexanet treatment in volunteers. In other words, this study attempted to validate this surrogate endpoint, but unfortunately failed to do so. This means that either "hemostatic efficacy" is not a valid outcome measure, or the reduction in anti-factor Xa activity is not a valid surrogate endpoint (or both are not valid).
- There will be a post-marketing RCT, but it will <u>not</u> include GIB patients:

 https://clinicaltrials.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://clinicaltrials.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/NCT03661528 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/nct1 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/nct1 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/nct1 A Randomized Clinical Trial of Andexanet Alfa [Andexanet Alfa for Injection] in Acute https://injection.gov/ct2/show/nct1 A Randomized Clinical Trial of Andexanet Alfa

Risk of bias assessment of Cohort studies										
Study	Valid methods to ascertain exposure (exposure = andexanet)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments			
Connolly NEJM 2019 ¹	ОК	No comparative cohort	ОК	Outcome detection methods valid, but there was no comparative cohort	Follow up complete but there was no comparative cohort	OK				

Low risk of bias Unclear risk of bias High risk of bias

- Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.
- Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

1434 Evidence profile, PICO 6

Patients with GI bleeding: rivaroxaban/apixaban reversal with andexanet vs. no andexanet												
		6-	utaint. Ass					Summary of Findings				
		Ce	ertainty Asso	essment				Events / participants		Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Andexanet	No andexanet	Relative (95% CI)	Absolute (95% CI)	Comments
Further bleeding at	7 days (critical	outcome)										
1 cohort study without comparator cohort (Connolly NEJM 2019) ¹	Serious ^a	Not applicable	Very serious ^b	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW		9/62	-	-	-	
Thrombotic events	within 30 days	(critical outcome	e)		l					L		
1 cohort study without comparator cohort (Connolly NEJM 2019) ¹	Serious ^a	Not applicable	Serious ^d	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW		34/352	-	-	-	
Mortality within 30	days (importa	nt outcome, but r	not critical for dec	ision making)								
1 cohort study without comparator cohort (Connolly NEJM 2019) ¹	Serious ^a	Not applicable	Serious ^e	Very serious ^c	None	⊕⊝⊝ VERY LOW	⊕⊖⊝⊖ VERY LOW	49/352	-	-	-	
Infusion-related ev	ents within 7 d	lays (important o	utcome, not critic	al for decision ma	ıking)							
1 cohort study without comparator cohort (Connolly NEJM 2019) ¹	Serious ^a	Not applicable	Not serious f	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW		2/352 (for GI bleeding patients: 0/90)	-	-	-	
	Change from baseline in anti-factor Xa activity (outcome included by post hoc decision; important, but not critical for decision making)											
1 cohort study without comparator	Serious ^a	Not applicable	Very serious h	Not serious	None	⊕⊖⊝⊖ VERY LOW		Apixaban users: 92% (91% - 93%) reduction	-	-	-	-

cohort (Connolly				Rivaroxaban users:		
NEJM 2019) ¹				92% (88% - 94%)		
				reduction		
				Also, visual		
				presentation		
				(graphs)		

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Footnotes

- ^a Serious risk of bias, because the study did not have comparator cohort with no use of andexanet.
- b Very serious indirectness of the outcome. The outcome of not having "excellent or good hemostatic efficacy 12 hours after the andexanet infusion" is very different from and does not correspond well to the definitions of further bleeding in studies in patients with GI bleeding. Furthermore, the timing of outcome measurement (12 hours after the andexanet infusion) is much shorter than the desired timing at 7 days. Also, there is indirectness of the population: ITT results were not reported. This is the "efficacy population" of 69% (62/90) of the patients with GI bleeding, i.e., "those with baseline anti-Xa activity of at least 75 ng/ml and confirmed major bleeding". In real life, patients with major GI bleeding while on rivaroxaban or apixaban will not be tested for "baseline anti-Xa activity of at least 75 ng/ml". Finally, 20/352 patients were in treatment with enoxaparin.
- 1444 °Very serious imprecision, even for the event rate in the intervention group, due to small number of events. The relative efficacy cannot be calculated.
- d Serious indirectness of the population. Mixed population: only 25.6% patients had GI bleeding; 64.5% had intracranial hemorrhage; and the remaining had other bleeds. Patients with intracranial hemorrhage are very different from the target population of this guideline, especially with regards to their risk for thrombotic events. Also, 20/352 patients were in treatment with enoxaparin.
 - e Serious indirectness of the population. Mixed population: only 25.6% patients had GI bleeding; 64.5% had intracranial hemorrhage; and the remaining had other bleeds. Patients with intracranial hemorrhage are very different from the target population of this guideline, especially with regards to the mechanisms leading to death and the influence of the speed of rapid anticoagulant reversal on the mechanisms leading to death. Also, 20/352 patients were in treatment with enoxaparin.
- 1452 ^f There was no serious indirectness, even if the total results (for total, mixed study population) are included. It is not plausible that the risk of infusion reactions will differ according to the type of bleed.
- h Very serious indirectness of the outcome, because the change from baseline in anti-factor Xa activity is surrogate outcome and not a clinical outcome. Also, there is indirectness of the population: ITT results were not reported. This is the "efficacy population" of 69% (62/90) of the patients with GI bleeding, i.e., "those with baseline anti-Xa activity of at least 75 ng/ml and confirmed major bleeding". In real life, patients with major GI bleeding while on rivaroxaban or apixaban will not be tested for "baseline anti-Xa activity of at least 75 ng/ml".

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Evidence to Decision Framework, PICO 6

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1462 06. Rivaroxaban or apixaban reversal with andexanet

P: Patient with GIB currently taking rivaroxaban or apixaban

1464 I: andexanet alfa

1465 C: no andexanet alfa

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein

thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, infusion-related events within 7 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large o Varies • Don't know	See Evidence Profile Table. No comparative results for clinical outcomes. The <u>desirable</u> anticipated effects with andexanet alfa use (compared to no andexanet alfa) are: • reduction of anti-factor Xa activity (post hoc outcome, not critical outcome), but the duration of effect is unclear It is not possible to estimate the direction (let alone the magnitude) of the relative effect of andexanet alfa (vs. no andexanet alfa) on any of the clinical outcomes of interest for this	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial o Varies Don't know	guideline	

Certainty of evidence	What is the overall certainty of the evidence of effects? ◆ Very low ○ Low ○ Moderate ○ High ○ No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability O Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention (andexanet alfa) O Favors the intervention O Varies Don't know	Unknown magnitude of desirable anticipated effects vs. unknown magnitude of undesirable anticipated effects. Very low certainty of evidence.	
Resources required	How large are the resource requirements (costs)? • Large costs • Moderate costs • Negligible costs and savings • Moderate savings • Large savings • Varies • Don't know	"Treatment with the high dose would cost \$49 500 for the drug alone. The low-dose regimen would cost half as much" ²	

	T	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of	
nce	resource requirements (costs)?	
ride sou	o Very low o Low	
F E	• Moderate	
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aint qui		
ert	O No included studies	
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	Does the cost-effectiveness of the	
	intervention favor the intervention or the	
ν	comparison?	
nes	 Favors the comparison Probably favors the comparison	
ive	o Does not favor either the intervention or	
Cost effectiveness	the comparison	
e e	o Probably favors the intervention	
ပိ	o Favors the intervention	
	o Varies	
	No included studies	
	Is the intervention (andexanet alfa)	
	acceptable to key stakeholders? o No	
>-	o Probably no	
li≣i	o Probably yes	
Acceptability	• Yes	
e cce		
- ▼	o Varies	
	O Don't know	
	Is the intervention (andexanet alfa) feasible	
	to implement?	
ج ا	○ No	
Di i	o Probably no	
Feasibility	o Probably yes ● Yes	
- E	100	
	o Varies	
	o Don't know	
L	l	

Conclusions

PICO: 06. For patients on rivaroxaban or apixaban who are hospitalized or under observation with acute GIB (upper and/or lower) should and exanet alpha administered compared to not?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, infusion reactions

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention						
	0	•	0	0	0						
Recommendation	· ·	•	·	nder observation with acute Gation, very low certainty of evi							
Justification	_	Large cost of the intervention; uncertainty about whether the balance between desirable and effects favors the intervention or the comparator									
Subgroup considerations	rivaroxaban or apix	raban should not be givet et alpha could be used	ren andexanet alpha.	ion means that most patients with life threatening GI bleed ondition and cost of infusion.	-						
Implementation considerations			, 0								
Monitoring and evaluation	•	Did the physician talk t		e conditions under which the i	ntervention should						
Research priorities											

References, PICO 6 1. Connolly et al. Full study report of andexanet alfa for bleeding associated with factor Xa inhibitors. New England Journal of Medicine. 380 (14) (pp 1326-1335), 2019 2. Anonymous. Andexxa: an antidote for apixaban and rivaroxaban. JAMA 2018;60:99-100. 7. GIB: PCC for DOAC reversal 7. GIB: Reversal of DOAC with PCC P: Patient with GIB currently taking any DOAC I: PCC C: no reversal agent O: CRITICAL: Further bleeding within 7 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus) IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related event (congestive heart failure, pulmonary edema) within 7 days Overall remarks: The main literature search identified only 2 cohort studies that had comparator arms, Schulman TR 2017 ¹ and Smythe JTT 2015², both of which have very serious limitations (see descriptions below). Though our main literature search, and well as through the additional searches (not confined to GI bleeding) of systematic reviews (SRs) and guidelines, we identified several SRs (such as refs 3 and 4) that assessed the role of PPC in patients on DOACs, but none had identified any additional comparative cohort studies. A SR 5 that was published after the formal date of our search, confirmed the low quality of these single arm cohort studies that do not allow the reader to draw any conclusions about whether PCC improved or worsened clinical outcomes.

- 1511 The most recent guideline that used the GRADE approach and reported detailed evidence profiles was the ASH 2018 guideline ⁶, the authors of
- 1512 which conducted their own SR in March 2017 and were only able to identify 8 non-comparative cohort studies (see
- 1513 https://dbep.gradepro.org/profile/2ec6099d-9b00-4bac-bc31-34653ee10737).
- We included 4 RCTs in healthy volunteers (see description below): one RCT ⁷ that assessed bleeding following punch biopsy and clotting assays,
- and three RCTs ^{8, 9, 13} that only assessed clotting assays. We need to emphasize that we did not conduct a formal systematic literature search for
- 1516 studies assessing surrogate outcomes on healthy volunteers. Our *a priory* decision was to only include comparative studies in patients with GI
- 1517 bleeding that reported our pre-determined clinical outcomes. The healthy volunteer studies were included by a post hoc decision, in a non-
- 1518 formal fashion, as examples of the underlying physiology and pharmacodynamics.
- 1519 We did not include RCTs that assessed the effect of (human) PPC on the reversal of DOACs in animal models, even those that measured clinical
- outcomes (for example two RCTs that used the rabbit kidney incision model 10, 11, and showed reversal of dabigatran anticoagulation and
- 1521 edoxaban anticoagulation) because of the critically serious indirectness of the population (non-human) and the outcomes (duration of minutes,
- 1522 limited similarity to the mechanism of GI bleeding)

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Cohort studies with the comparator cohort needed for this PICO

- 1. **Schulman TR 2017** (Schulman et al. Reversal of dabigatran-associated major bleeding with activated prothrombin concentrate: A prospective cohort study. Thrombosis Research. 152 (pp 44-48), 2017) ¹
 - N=14 (5 patients with GIB). Acute active major bleeding while on **dabigatran** and treated with <u>a</u>PCC (i.e., 4-Factor PCC that contains coagulation factors II, IX, and X, and **activated** VII (FVIIa))
 - Should not have received additional hemostatic agents (tranexamic acid was allowed).
 - <u>Compared to matched patients</u> (N=28) <u>from 5 phase III trials</u> ¹² ("cases suffering major bleeding on dabigatran in the phase III trials on treatment of venous thromboembolism or stroke prophylaxis in atrial fibrillation").
 - Majeed TR 2016 ¹²: Reports on 1034 individuals experiencing 1121 MBEs (696 on dabigatran, and 425 on warfarin) in 5 phase III randomized controlled trials were assessed independently by two investigators.
 - "After matching for type of bleed and age, it was not possible to find matches for sex for 4 of the 28 historical cases and this criterion was violated"
 - The "effectiveness" rating was assessed at 24 h by the treating physicians for GI bleeding ("The study staff <u>contacted</u> the treating physician for assessment of the effectiveness of the aPCC treatment <u>within 7 days</u> from the event"; however, the rating was assessed at 24 hours). The criteria were not necessarily clinically relevant for patients with GIB (see suppl material)

1539 1540 1541 1542 1543 1544 1545 1546 1547 1548 1549	 Good: ≤10% decrease in both Hb/Hct at 24 hours compared to baseline (initial correction of decrease in Hb with PRBCs, with a transfusion trigger of a Hb ≤80 ±1 g/L [i.e. transfuse PRBCs if the Hb ≤80 ±1 g/L]) Moderate: >10 to ≤20% decrease in both Hb/Hct at 24 hours compared with baseline (initial correction of decrease in Hb with PRBCs, with a transfusion trigger of a Hb ≤80 ±1 g/L [i.e. transfuse PRBCs if the Hb ≤80 ±1 g/L]) Poor/None: >20% decrease in both Hb/Hct at 24 hours compared to baseline (initial correction of decrease in hemoglobin with PRBCs, with a transfusion trigger of a Hb ≤80 ±1 g/L [i.e. transfuse PRBCs if the Hb ≤80 ±1 g/L]) For the 5 GIB patients Effectiveness: 4 good, 1 moderate ("not good" = 20%). In the matched historical cohort: 9 good, 1 moderate ("not good" = 101%) P=0.6 (the paper reports P=1.0, but we calculated it as 0.6) 30-day mortality: 0/5 (for the whole study: 1/14 on aPCC vs 7/28) 30-day venous arterial thromboembolic events: 0/5 (for the whole study: 0/14 on aPCC vs 1/28)
1550	
1551 2. 1552	Smythe JTT 2015 (Smythe MA, et al. Dabigatran versus warfarin major bleeding in practice: an observational comparison of patient characteristics, management and outcomes in atrial fibrillation patients. J Thromb Thrombolysis. 2015;40(3):280-287) ²
1553 1554 1555 1556 1557 1558 1559 1560 1561 1562 1563 1564 1565 1566 1567	 28 patients with GIB on dabigatran 2 received 4F PCC, and both (100%) died within 30 days Among the remaining 26 patients who did not receive PCC, it is not clear how many died. at best 0/27 died during the index admission at worse 3/27 (11.1%) died during the index admission). Results not adjusted for confounders. The comparison is at very high risk of bias because of confounding by (severity of) indication.

Ct. I	37 12 1 11 1						2 1 /6 1
Study	Valid methods	Prognostic factors	Demonstration	Outcome detection	Follow up complete	Free of	Results/Comments
	to ascertain	(other than exposure	that outcome	methods valid and	and similar among	other	
	exposure	of interest) similar	of interest was	similar among	cohorts	bias	
	(exposure =	among cohorts – or	not present at	cohorts			
	PCC)	cohorts were	the start of the				
		adjusted adequately	study				
		for confounders					
Schulman TR 2017	ОК	No. The comparative	ОК	Unclear	Unclear	OK	Reported separate outcomes for GIB
		cohort was historical					
		(collected from the					Indirectness issues:
		dabigatran arms of 5					- activated PCC
		previous RCTs).					- outcome of "effectiveness"
		The cohorts were not					
		adequately matched					
		or adjusted (they					
		were only matched					
		for age and type of					
		bleed)					
Smythe JTT 2015	ОК	No. The cohorts were	ОК	ОК	ОК	ОК	Reported separate outcomes for GIB
•		not adjusted for					' '
		confounders					

Low risk of bias

Unclear risk of bias

High risk of bias

Modified from the Newcast

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

RCTs in healthy volunteers

1. **Zahir Circulation 2015** (Zahir H, Brown KS, Vandell AG, et al. Edoxaban effects on bleeding following punch biopsy and reversal by a 4-factor prothrombin complex concentrate. Circulation 2015;131:82-90) ⁷

- - Single dose edoxaban, then "infusions were administered at the maximum rate of 210 IU/min, lasted 15 to 20 minutes, and were timed to end 2.25 hours after the dose of edoxaban".
 - The three doses of PCC were <u>not</u> administered randomly or in a blinded fashion they were administered consecutively in a dose-descending order. "Three doses of 4F-PCC (50, 25, and 10 IU/kg) were investigated in 3 separate cohorts in a dose-descending manner. Descending doses of 4F-PCC were studied until no reversal was observed."
 - Therefore results regarding the differences in efficacy between the 3 doses of PCC were unblinded, non-randomized, observational type data
 - A punch biopsy (5 mm diameter, 5 mm depth) on the back of the thigh, was performed 30 minutes after the end of 4F-PCC or placebo infusion.
 - The observation period was short, given that mean bleeding times were less than 20 min.
 - Primary outcome: bleeding duration

- Secondary outcomes: bleeding volume and clotting assays
- Conclusion of the authors: "The 4F-PCC dose-dependently reversed the effects of edoxaban (60 mg), with complete reversal of bleeding duration and endogenous thrombin potential and partial reversal of prothrombin time following 50 IU/kg"
- However, as seen in Figure 3 in the article, the 95% CI (which extend to an equal length downwards too) between placebo and PCC overlap widely for every dose of PCC.
- The effect on <u>endogenous thrombin potential</u> is shown in Figure 3C in this paper: 50 IU/Kg PCC was different (statistically significant) from placebo
- The effect of PCC on <u>prothrombin time</u> was not different from placebo.
- 2. **Eerenberg Circulation 2011** (Eerenberg ES, Kamphuisen PW, Sijpkens MK, Meijers JC, Buller HR, Levi M. Reversal of rivaroxaban and dabigatran by prothrombin complex concentrate: a randomized, placebo-controlled, crossover study in healthy subjects. Circulation. 2011;124:1573-1579) 8
 - placebo controlled RCT in healthy male volunteers (n=12) that assessed reversal of anticoagulation of rivaroxaban or dabigatran as measured by clotting assays.
 - Treated for 2.5 days with DOAC
 - Subjects were unblinded to the anticoagulant but blinded to placebo or 4F-PCC (50 IU/kg).
 - <u>Assessors were blinded</u> to the treatment administered.

1611	 "Rivaroxaban induced a significant prolongation of the <u>prothrombin time</u> (15.8±1.3 versus 12.3±0.7 seconds at baseline;
1612	P<0.001) that was immediately and completely reversed by PCC (12.8±1.0; P<0.001). The endogenous thrombin potential
1613	was inhibited by rivaroxaban (51±22%; baseline, 92±22%; P=0.002) and normalized with PCC (114±26%; P<0.001), whereas
1614	saline had no effect".
1615	 "Dabigatran increased the <u>activated partial thromboplastin time</u>, <u>ecarin clotting time</u>, and <u>thrombin time</u>. Administration of
1616	PCC did not restore these coagulation tests".
1617	
1618	3. Levi JTH 2014. (Levi M, Moore K, Castillejos C, Kubitza D, Berkowitz S, Goldhaber S, Raghoebar M, Patel, Weitz J, Levy J. Comparison of
1619	three- and four-factor prothrombin complex concentrates on the anticoagulant effects of rivaroxaban in healthy volunteers. J Thromb
1620	Haemost. 2014;12:1428–1436) ⁹
1621	• placebo controlled RCT in healthy volunteers (n=35) that assessed reversal of anticoagulation of rivaroxaban as measured by
1622	clotting assays.
1623	 4.5 days of rivaroxaban 20 mg twice daily to obtain supratherapeutic steady-state concentration
1624	 Randomized to saline or 3F-PCC (50IU/kg) or 4F-PCC (50 IU/kg).
1625	 Apparently not blinded
1626	Prothrombin time
1627	 According to the authors "Within 30 min, four-factor PCC reduced mean <u>prothrombin time</u> by 2.5–3.5 s, whereas
1628	three-factor PCC produced only a 0.6–1.0-s reduction". However, the study was not designed to compare 3F-PCC
1629	with 4F-PCC.
1630	 See Figures 2 and 3 in the article: only 4F-PCC had statistically significant differences in PT compared to saline, and
1631	only between 4 and 6 hours
1632	0
1633	Endogenous thrombin potential
1634	 According to the authors "In contrast, three-factor PCC reversed rivaroxaban- induced changes in thrombin
1635	generation [endogenous thrombin potential] more than four-factor PCC". However, the study was not designed to
1636	compare 3F-PCC with 4F-PCC.
1637	 See Figure 4 in the article: both 4F-PCC and 3F-PCC had non-significant (borderline significant) differences in ETP
1638	compared to saline, between 12 and 28 hours
1639	
1640	4. Song JTH 2017. Song JTH 2017 Song et al. Reversal of apixaban anticoagulation by four-factor prothrombin complex concentrates in

healthy subjects: a randomized three-period crossover study. J Thromb Haemost 2017; 15:2125-2137

clotting assays. three-period crossover study (11 day washout between periods) 3 days of apixaban 10 mg twice daily Randomized to i. saline ii. 4F-PCC: Cofact (50 IU/ kg) iii. 4F-PCC: Beriplex (50 IU/ kg), Not blinded Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from priors and the process of the pre-apixaban baseline levels 4 h after PCC infusion, versus 45 h		
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1645 • 3 days of apixaban 10 mg twice daily 1646 • Randomized to i. saline ii. 4F-PCC: Ofact (50 IU/ kg) 1649 iii. 4F-PCC: Beriplex (50 IU/ kg), 1650 • Not blinded 1651 • Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from proceedings of the process of the p	1643	clotting assays.
• Randomized to i. saline ii. 4F-PCC: Cofact (50 IU/ kg) iii. 4F-PCC: Beriplex (50 IU/ kg), 650 • Not blinded 651 • Outcomes ii. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from properties of the process	1644	 three-period crossover study (11 day washout between periods)
i. saline ii. 4F-PCC: Cofact (50 IU/ kg) iii. 4F-PCC: Beriplex (50 IU/ kg), Not blinded Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from properties and the properties of t	1645	3 days of apixaban 10 mg twice daily
ii. 4F-PCC: Cofact (50 IU/ kg) iii. 4F-PCC: Beriplex (50 IU/ kg), 1650 Not blinded Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from processed over the second over t	1646	Randomized to
iii. 4F-PCC: Beriplex (50 IU/ kg), Not blinded Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from proceedings of the process of the	1647	
Not blinded Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from potentials and potentials. ii. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from potentials. iii. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from potentials. iii. Both PCCs returned ETP to pre-apixaban baseline levels 4 h after PCC infusion, versus 45 h iii. Prothor PCCs, mean ETP peaked 21 h after PCC initiation, and then slowly decreased over See figure 2A in the paper iii. Prothrombin time: both 4F-PPCs were better than saline iiii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C		
Outcomes i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from proceedings of the process of the pro	1649	iii. 4F-PCC: Beriplex (50 IU/ kg),
i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from proceedings of the process of th	1650	Not blinded
4F-PPCs were better than saline • Both PCCs returned ETP to pre-apixaban baseline levels 4 h after PCC infusion, versus 45 h • For both PCCs, mean ETP peaked 21 h after PCC initiation, and then slowly decreased over See figure 2A in the paper 1657 ii. Prothrombin time: both 4F-PPCs were better than saline 1658 iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in the second of the second	1651	
 Both PCCs returned ETP to pre-apixaban baseline levels 4 h after PCC infusion, versus 45 h For both PCCs, mean ETP peaked 21 h after PCC initiation, and then slowly decreased over See figure 2A in the paper Prothrombin time: both 4F-PPCs were better than saline anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA) 660 1661 1662 1663 1664 1665 1666 		i. ETP (endogenous thrombin potential, measured with a thrombin generation assay) change from pre-PCC baseline: both
For both PCCs, mean ETP peaked 21 h after PCC initiation, and then slowly decreased over See figure 2A in the paper ii. Prothrombin time: both 4F-PPCs were better than saline iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1659 1660 1661 1662 1663 1664 1665 1666		
See figure 2A in the paper ii. Prothrombin time: both 4F-PPCs were better than saline iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in the see figure 3C in th		- Control of the spin section of the
ii. Prothrombin time: both 4F-PPCs were better than saline iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in 1 1659 1660 1661 1662 1663 1664 1665 1666		• For both PCCs, mean ETP peaked 21 h after PCC initiation, and then slowly decreased over the following 48 h.
iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in to 1659 1660 1661 1662 1663 1664 1665 1666		
1659 1660 1661 1662 1663 1664 1665 1666		
1660 1661 1662 1663 1664 1665 1666		iii. anti-FXa activity: neither of the 2 4F-PCCs had an effect on anti-FXa activity (AFA), see figure 3C in that paper
1661 1662 1663 1664 1665 1666	1659	
1662 1663 1664 1665 1666	1660	
1663 1664 1665 1666	1661	
1664 1665 1666	1662	
1665 1666	1663	
1666	1664	
	1665	
1667	1666	
	1667	

Risk of bias a	ssessment of R	CTs					
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments
Zahir 2015 ⁷	Unclear	Unclear	Blinded for the comparison of PCC vs placebo. However, note that the 3 doses of PCC were not administered randomly or blinded – they were administered consecutively in a dosedescending order.	ОК	ОК	ОК	
Eerenberg 2011	Unclear	Unclear	OK	ОК	ОК	OK	
Levi 2014	Unclear	Unclear	Apparently not blinded	ОК	ОК	ОК	
Song 2017	ОК		Not blinded				

Low risk of bias

Unclear risk of bias

High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

1679 Evidence profile, PICO 7

Patients with GI b	oleeding: DOA	C reversal w	ith PCC vs. no	ne									
		0							Sum	mary of Finding	gs		
		Cei	tainty Assess	ment				Events / p	articipants	Effect			
Studies	Risk of bias	Inconsisten cy	Indirectness	Imprecisio n	Other consider ations	Certainty of Evidence	Overall certainty of evidence	PCC	no reversal agents	Relative (95% CI)	Absolute (95% CI)	Comments	
Further bleeding at 7	days (critical outc	ome)											
1 cohort study (Schulman 2017) ¹ on dabigatran (only patients with GI bleeding)	Very serious ^a	Not applicable	Serious ^b	Very serious ^d	None	⊕⊖⊖ VERY LOW		1/5	1/10	RR 2.00 (0.16 - 25.75)	Risk without PCC: 100 events per 1,000. With PCC: 100 more per 1,000 (from 84 less to noncalculable more)	Remark: the direction of the effect is opposite of the theoretically predicted direction	
1 RCT (Zahir 2015) ⁷ on edoxaban (Healthy volunteers; bleeding following punch biopsy of skin)	Moderately serious ^e	Not applicable	Critically serious ^f	Serious ^g	None	⊕⊝⊝ VERY LOW		Continuo	us outcome	No statistically significant difference between PCC and placebo	Not calculable		
Thrombotic events w	ithin 30 days (criti	cal outcome)											
1 cohort study (Schulman 2017) ¹ on dabigatran (mixed population: GI and non-GI bleeding)	Very serious ^a	Not applicable	Serious ^g	Very serious ^d	None	⊕⊝⊝ VERY LOW	⊕⊝⊝ VERY LOW	0/14	1/28	RR 0.67 (0.03 - 15.40)	Risk <u>without</u> PCC: 36 events per 1,000. With PCC: 12 less per 1,000 (from 35 less to 518 more)	Remark: the direction of the effect is opposite of the theoretically predicted direction	
Mortality within 30 days (important outcome, but not critical for decision making)													
1 cohort study (Smythe 2015) ² on dabigatran (only patients with GI bleeding)	Very serious ^h	Serious ⁱ	Moderately serious ^j	Very serious ^k	None	⊕⊝⊝ VERY LOW		2/2	Worst case scenario 3/27	From 28.00 (1.57 - 500.53)	Risk <u>without</u> PCC: 0 to 111 events per 1,000. With PCC: 444 to noncalculable more per 1,000		

								Best case scenario 0/27	(1.17 - 21.39)	(from 19 more to noncalculable more)	
1 cohort study (Schulman 2017) ¹ on dabigatran (only patients with GI bleeding)	Very serious ^a		Serious ¹	Very serious ^d	None	⊕⊝⊝ VERY LOW	1/14	7/28	RR 0.33 (0.04 - 2.48)	Risk without PCC: 250 events per 1,000. With PCC: 167 less per 1,000 (from 245 less to 370 more)	
Transfusion-related e	vents (fluid overl	oad) within 7 da	ays (important ou	tcome, not cri	tical for decis	sion making)				1	
No comparative studies						-	-	-	-	-	
Correction of anticoag											
4 RCTs in healthy volunteers on direct Xa inhibitors (rivaroxaban ^{8, 9} , edoxaban ⁷ , apixaban ¹³)	Moderately serious ^e	Serious ^m	Very serious ⁿ	Serious °	None	⊕⊖⊖⊖ VERY LOW	Endogenou potential	in time s outcome) us thrombin s outcome)	4F-PCC better than saline 8, 9, 13 4F-PCC not different from saline 7 3F-PCC not different from saline 9 4F-PCC better than saline 7, 8, 13 4F-PCC not different from saline 9	Not calculable	-
1 RCT in healthy volunteers on dabigatran ⁸	Moderately serious ^e	Serious ^m	Very serious ⁿ	Not serious ^p	None	⊕⊝⊝ VERY LOW	Activated p thrombopl ecarin clot thrombin t (continuou outcomes)	astin time; ting time; ime s	4F-PCC <u>not</u> different from saline ⁸	Not calculable	-

1680 Footnotes

- ^aVery serious risk of bias. The cohorts were only matched for age (and type of bleeding, but in the analysis for "further bleeding" we only included patients
- 1682 with GI bleeding), without adjustment for confounders. Very serious confounding by severity (of indication) is expected in this study design and in this setting.
- b Serious indirectness of the outcome. The outcome of "effectiveness in 24 h", as defined in this study, is very different from the target outcome of this
- guideline, i.e., further bleeding in 7 days. Also, the intervention was activated PCC. Note: the population had been taking dabigatran (no other DOACs)
- 1685 ^c Very serious imprecision. Very few events. Very wide 95% CI that include both large benefit and large harm.
- d Very serious risk of bias. The cohorts were only matched for age and type of bleeding, without adjustment for confounders. Very serious confounding by
- severity (of indication) is expected in this study design and in this setting.
- 1688 ^e Moderately serious risk of bias for the comparisons between <u>each dose</u> of PCC and placebo (unclear sequence generation, unclear allocation concealment).
- 1689 Two of the RCTs (Song 2017, Levi 2014) were not blinded, but the outcomes were relatively objectively measured.
- 1690 f<u>Critically</u> serious indirectness overall. Very serious indirectness of the population. These were healthy volunteers who only took a single dose of edoxaban.
- 1691 Furthermore, the type of bleeding (punch biopsy of the skin) and the outcome (bleeding duration, with a timeframe of minutes) are only marginally relevant to
- the pathophysiology of GI bleeding and the predetermined outcome of clinically severe further bleeding within 7 days. Remark: the population took edoxaban
- 1693 (no other DOACs)
- 1694 §Serious indirectness of the population. Only 27% of patients had GI bleeding. Also, the intervention was activated PCC. Remark A: We could not extract
- 1695 comparative results for the subpopulation with GI bleeding. The only comparative results available referred to the total study population. Remark B: the
- population had been taking dabigatran (no other DOACs)
- 1697 h Very serious risk of bias. The cohorts were not matched or adjusted for confounders. Very serious confounding by severity (of indication) is expected in this
- study design and in this setting.
- 1699 Serious inconsistency between the results of the two studies
- 1700 Moderately serious indirectness: the intervention was activated PCC. Remark: the population had been taking dabigatran (no other DOACs)
- 1701 kVery serious imprecision. Very few events. Very high fragility index for the comparative results. Wide range of possible event rates in the comparator cohort.
- 1702 Serious indirectness of the population. Only 27% of patients had GI bleeding. Remark: We could not extract comparative results for the subpopulation with GI
- 1703 bleeding. The only comparative results available referred to the total study population. Note: the population had been taking dabigatran (no other DOACs)
- 1704 m Inconsistent results among the three studies
- 1705 Nery serious indirectness of the population. Healthy volunteers who only took a few doses of DOAC. Not experiencing spontaneous serious bleeding. Remark:
- the population took rivaroxaban (no other DOACs)
- 1707 °Serious imprecision. Small number of participants. The 95% CI widely overlap for most time-points for most comparisons between PCC and saline.

1708 P No serious imprecision. The results are precise enough to rule out a clinically important difference between PCC and saline.

Evidence to Decision Framework, PICO 7

07. DOAC reversal with PCC vs none

1717 P: Patient with GIB currently taking DOAC

1718 I: PCC

1719 C: no reversal agents

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

	Judgement (Panel's judgments highlighted <mark>in</mark> yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large o Varies Don't know	See Evidence Profile Table. In patients on direct thrombin inhibitors (dabigatran): The desirable anticipated effects with PPC (compared to no treatment are: Reduced thrombotic events (critical outcome): 12 less per 1,000 patients. The undesirable anticipated effects with PPC (compared to no treatment) are: Increased further bleeding (critical outcome): 100 more per 1,000 patients. It is not possible to estimate the direction of the effect of PPC on the other clinical	Dabigatran: The two cohort studies reported diametrically opposed results for mortality.
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial o Varies Don't know	outcomes (mortality, transfusion related events) PCC had an inconsistent effect on clotting assays (surrogate outcome, post-hoc outcome) In patients on Xa inhibitors (apixaban, edoxaban, or rivaroxaban): PCC may have trivial effect on further bleeding. It is not possible to estimate the direction of the effect of PPC on other clinical outcomes (further bleeding, mortality, transfusion related events)	

		It is not possible to estimate the magnitude of the effect of PPC on clotting assays (surrogate outcome, post-hoc outcome).	
Certainty of evidence	What is the overall certainty of the evidence of effects? Very low Low Moderate High No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability Probably no important uncertainty or variability No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? o Favors the comparison o Probably favors the comparison o Does not favor either the intervention or the comparison o Probably favors the intervention o Favors the intervention o Varies Don't know	Patients on direct thrombin inhibitors (dabigatran): the balance between desirable and undesirable effects is unknown Patients on Xa inhibitors (apixaban, edoxaban, or rivaroxaban): the balance between desirable and undesirable effects is unknown	

Resources required	How large are the resource requirements (costs)? o Large costs Moderate costs o Negligible costs and savings o Moderate savings o Large savings o Varies o Don't know	Per Canada Blood Services, Octaplex and Beriplex are both priced at \$0.57 per IU Table 2. Dose of Study Treatment per Baseline INR 4F-PCC Dose, IU of Factor Plasma Dose, mL per kg Baseline INR IX per kg Body Weight* Body Weight* 2 to <4 25 10 4-6 35 12 >6 50 15 4F-PCC indicates 4-factor prothrombin complex concentrate; and INR, international normalized ratio. *Dose calculation based on 100 kg body weight for patients weighing >100 kg. Maximum dose ≤5000 IU of factor IX (4F-PCC) or ≤1500 mL (plasma). Therefore, if the dosing regimen of Sarode 2013 is used, for a patient with a weight of 75 Kg and INR 2-4, the cost will be CAD 1,068 or approximately USD 1,500
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low • Moderate o High o No included studies	
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies	

Acceptability	Is the intervention (PCC) acceptable to key stakeholders? o No o Probably no o Probably yes • Yes o Varies o Don't know	
Feasibility	Is the intervention (PCC) feasible to implement? o No o Probably no o Probably yes • Yes o Varies o Don't know	

1727 Conclusions

 PICO 07. For patients on DOACs who are hospitalized or under observation with acute GIB (upper and/or lower) should PCC be administered compared to no reversal agents?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days; transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	•	0	0	0

Recommendation	For patients on DOACs who are hospitalized or under observation with acute GIB, we suggest against PCC administration (Conditional recommendation, very low quality of evidence)
Justification	
Subgroup considerations	Practically, this conditional recommendation against the intervention means that most patients with GI bleeding on DOACs should not be given PCC. However, PCC could be used in a minority of patients with life threatening GI bleeding, after considering potential thrombotic risk associated with underlying condition and cost of infusion.
Implementation considerations	, , , , , , , , , , , , , , , , , , ,
Monitoring and evaluation	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should be used? Was this discussion and setting documented?
Research priorities	

References for PICO 7

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1782 8. GIB: platelet transfusion for antiplatelet reversal 8. GIB: Reversal of antiplatelet with platelet transfusion 1783 1784 1785 P: Patients with GIB currently taking antiplatelet agents 1786 I: platelet transfusion 1787 C: no platelet transfusion 1788 O: CRITICAL: Further bleeding within 7 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, 1789 deep vein thrombosis, pulmonary embolus) 1790 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related event (congestive heart failure, pulmonary edema) within 7 days 1791 1792 1793 Overall comments: through our main literature search, supplementary searches of non-GI systematic reviews and older guidelines, and backward and forward citation searching, we identified two cohort studies: Zakko CGH 2017 ¹ and Victor CCM 2014 ². 1794 A 2012 SR by Razzaghi & Barkun³, did not identify any study specifically assessing patients with active GI bleeding. 1795 1796 A 2020 SR by Maida et al ⁴, identified only Zakko CGH 2017. A 2019 narrative review by Nagalla et al 5, that was not restricted to GI bleeding, identified Zakko CGH 2017 and two RCTs in patients with 1797 1798 intracranial hemorrhage (ICH): 1799 • Li JNS 2013 ⁶. We did not include this RCT (on patients with acute hypertensive basal ganglia hemorrhage undergoing craniotomy), because only the patients on ASA therapy who based on a platelet aggregation test were "ASA-sensitive" entered the randomized part 1800 of the study (randomized to 2 regimens of platelet transfusions or no transfusion) 1801 • Baharoglu Lancet 2016 ⁷. See below. 1802 The cohort study by Ramos et al 8, reported platelet transfusions in inpatients who underwent endoscopy within 24 hours of presentation for 1803 1804 overt GIB with a platelet count of 20-50 × 103/mL, but did not assess how platelet transfusions were associated with subsequent clinical 1805 outcomes.

1806 1807 1808 Cohort studies with the comparator cohort required for this PICO 1809 1. Zakko CGH 2017 (Zakko L, Rustagi T, Douglas M, Laine L. No Benefit from Platelet Transfusion for Gastrointestinal Bleeding in Patients 1810 Taking Antiplatelet Agents. Clin Gastroenterol Hepatol. 2017 Jan;15(1):46-52) 1 Retrospective **cohort study** (it is not case control study as other articles have described it; it is a **matched cohort study**) 1811 Included patients on antiplatelets, with GIB, with platelet counts higher than 100 x10⁹/L. 1812 1813 i. GIB that developed in patients already hospitalized was excluded. 1814 • **204** patients received platelet transfusions 1815 204 matched (on age, sex and GIB location) did not receive platelet transfusions Reported clinical outcomes (multivariable analyses and sensitivity analyses of the multivariable analyses) during hospital 1816 1817 admission. See Table 4 in that paper. Note: mortality was the only outcome with an effect size that increased after adjustment – all other outcomes had effect sizes 1818 that decreased after adjustment 1819 As the authors stated "this difference in mortality could be due to residual bias from unmeasured and unknown factors and 1820 reflect the increased severity of GIB in patients receiving platelet transfusion. On the other hand, the adjusted ORs for mortality 1821 1822 (4.5–6.8 with different sensitivity analyses) are large, increasing the likelihood of a cause-and-effect relationship." 1823 Interesting indirect evidence, as stated by the authors: "Platelet transfusions have also been reported to increase bleeding and 1824 mortality in other settings. A retrospective analysis of data collected in double-blind placebo-controlled trials of patients 1825 undergoing coronary artery bypass graft surgery showed more bleeding and higher mortality in patients receiving platelet transfusions than in those not receiving platelets (Kaufman RM, et al. Platelet transfusion: a clinical practice quideline from the 1826 1827 AABB. Ann Intern Med 2015;162:205–213). Subsequent analysis by using propensity scoring revealed the OR for death with platelet transfusion to be 4.76 (1.65–13.73)". 1828 1829 1830 2. Victor CCM 2014 (Victor N, Umakanthan J, Gandhi A, et al. Role of platelet transfusion in gastrointestinal bleeding in patients on 1831 antiplatelet therapy. Crit Care Med 2014;42:A1461) ²

1832

Retrospective cohort study.

			,					
1834		 Included pati 	ents on antiplatelets	s, with GIB (non	-variceal upper GIE	3, or lower GIB) and	normal p	platelet count.
1835	35 patients received platelet transfusions.							
1836	 48 patients did not receive platelet transfusions. 							
1837	 No adjustment was mentioned. 							
1838	 None of the outcomes of interest for this guideline were reported; therefore, it cannot be included in the Evidence 							
1839		Profile.						
1840		 Duration of b 	leeding and length o	of stay in ICU w	ere significantly lor	nger in the platelet	transfuse	d group.
1841	 No statistically significant difference was found in the total length of stay, amount of packed red cells, hemoglobin levels at 							
1842	8, 16 and 24 hours, or diagnostic and therapeutic procedures.							
1843								
	Risk of bias a	assessment of Cohort s	studies					
	Study	Valid methods	Prognostic factors	Demonstration	Outcome detection	Follow up complete	Free of	Results/Comments
		to ascertain	(other than exposure	that outcome	methods valid and	and similar among	other	
		exposure	of interest) similar	of interest was	similar among	cohorts	bias	
		(exposure =	among cohorts – or	not present at	cohorts			

• Published only as conference abstract.

Study	Valid methods to ascertain exposure (exposure = platelet transfusion)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Zakko CGH 2017	ОК	The cohorts were matched (for age, sex, and GIB location) and statistically adjusted for confounders (with sensitivity analyses on the selection of confounders)	OK	OK	OK	ОК	
Victor CCM 2014	Unclear	The cohorts were not adjusted for confounders	Unclear	Unclear	Unclear	Unclear	Available as abstract only

Low risk of bias
Unclear risk of bias
High risk of bias

1844

1845

1833

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid

methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

RCTs in patients with non-GI bleeding

- 1. **Baharoglu Lancet 2016** (Baharoglu MI, Cordonnier C, Al-Shahi Salman R, de Gans K, Koopman MM, Brand A, et al. Platelet transfusion versus standard care after acute stroke due to spontaneous cerebral haemorrhage associated with antiplatelet therapy (PATCH): a randomised, open-label, phase 3 trial. Lancet 2016;387:2605–13) ⁷
 - RCT, multicentre, open-label, masked-endpoint
 - 190 patients with intracerebral haemorrhage while antiplatelet therapy
 - Randomized to either standard care (n=93) or standard care with platelet transfusion (n=97) within 90 min of diagnostic brain imaging.
 - The odds of "death or dependence" at 3 months were higher in the platelet transfusion group than in the standard care group (adjusted common odds ratio 2.05, 95% CI 1.18–3.56).
 - 40 (42%) participants who received platelet transfusion had a serious adverse event during their hospital stay, vs 28 (29%) who received standard care.
 - Mortality during hospital stay: 23/97 (24%) participants assigned to platelet transfusion vs 16/93 (17%) assigned to standard care
 - Thromboembolism (at 3 months): 4/97 vs 1/93

Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments
Baharoglu 2016 ⁷	OK	ОК	The outcome assessors were masked; therefore, the study is at low risk for detection bias for the outcomes of death and thromboembolism. However, the clinicians treating the patients were not masked; therefore, the study is at high risk for performance bias.	ОК	ОК	ОК	Indirectness: patients with ICH

Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

1893 Evidence profile, PICO 8

		iii aiitipiateiet	is: piateiet tra	nsfusion vs no	ne							
			C	-					Summar	y of Findings	5	
		,	Certainty Asse	ssment				Events / participants			Effect	
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	platelet transfusion	none	Relative (95% CI)	Absolute (95% CI)	- Comment s
Further bleeding a	t 7 days (critica	l outcome)										
1 cohort study (Zakko 2017) ¹	Not serious	Not applicable	Not serious	Serious ^a	None	⊕⊖⊖ VERY LOW		29/204	16/204	Adjusted OR 1.47 (0.73-3.05)	Risk without platelet transfusion: 78 events per 1,000 patients. With platelet transfusion: 33 more per 1,000 (from 20 less to 95 more)	
Thrombotic event	within 30 days	(critical outcome	2)			_				1	Ţ	
1 cohort study (Zakko 2017) ¹	Not serious	Not applicable	Serious ^b	Serious ^a	None	⊕⊝⊝ VERY LOW	⊕⊝⊝ VERY LOW	47/204	26/204	Adjusted OR 1.35 (0.74-2.49)	Risk without platelet transfusion: 78 events per 1,000 patients. With platelet transfusion: 38 more per 1,000 (from 29 less to 102 more)	
1 RCT (Baharoglu 2016) ⁷	Serious ^c	Not applicable	Very serious ^d	Very serious ^e	None	⊕⊝⊝ VERY LOW		4/97	1/93	RR 3.84 (0.44- 33.68)	Risk without platelet transfusion: 31 events per 1,000 patients. With platelet transfusion: 88 more per 1,000 (from 17 less to inestimable more)	

1 cohort study (Zakko 2017) ¹	Not serious	Not applicable	Not serious	Serious ^f	None	⊕⊝⊝⊝ VERY LOW	14/204	3/204	Adjusted OR 5.57 (1.52-27.1)	Risk without platelet transfusion: 15 events per 1,000 patients. With platelet transfusion: 52 more per 1,000 (from 7 more to 273 more)	
1 RCT (Baharoglu 2016) ⁷	Serious ^c	Not applicable	Very serious ^g	Serious ^h	None	⊕⊖⊝ VERY LOW	23/97	16/93	RR 1.38 (0.78-2.44)	Risk without platelet transfusion: 31 events per 1,000 patients. With platelet transfusion: 65 more per 1,000 (from 103 less to 183 more)	

1894

1895

Footnotes

- ^a Serious imprecision, because 95% CIs included potential for small benefit and large harm. Also, small number of events
- b Serious indirectness of the outcome. Venous thromboembolism was not included. This study assessed included MACE (myocardial infarction, stroke, arterial thromboembolic event, or cardiovascular death) during the hospital admission. Of note, all but one of the MACE events were myocardial infarction.
- 1899 ^c Serious risk of bias (performance bias)
- d Very serious indirectness of population, because these patients had intracranial bleeding. The baseline risk for thrombotic events and the effect modification of that risk by platelet transfusions could be substantially different in patients with GI bleeding. Also, the timeframe for assessment of the outcome was 3 months.
- 1903 ^e Very serious imprecision, because 95% CIs included potential for large benefit and large harm. Also, very small number of events
- 1904 ^f Serious imprecision, due to small number of events
- 1905 g Very serious indirectness of population, because these patients had intracranial bleeding. The baseline risk for death, the mechanism of death and the effect modification of that risk by platelet transfusions could be substantially different in patients with GI bleeding.

1907

Evidence to Decision Framework, PICO 8

1909 1910

1917

1918 1919

1911 **08.** Reversal of antiplatelet with platelet transfusion vs none

- 1912 P: Patient with GIB currently taking antiplatelet
- 1913 I: platelet transfusion
- 1914 C: none
- O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)
 - IMPORTANT, BUT NOT CRITICAL: mortality within 30 days, transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate O Large O Varies O Don't know Not applicable (all clinical effects were undesirable; no desirable effects)	See Evidence Profile Table.	
Undesirable Effects	How substantial are the undesirable anticipated effects? Large Moderate Small Trivial Varies Don't know	The <u>undesirable</u> anticipated effects of platelet transfusion are: Increased further bleeding (critical outcome): 33 more events per 1,000 patients. Increased thrombotic events (critical outcome): 38-88 more events per 1,000 patients. Increased mortality: 52-65 more events per 1,000 patients.	

Certainty of evidence	What is the overall certainty of the evidence of effects? Very low Low Moderate High No included studies	See Evidence Profile Table.
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability O Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? Favors the comparison Probably favors the comparison Does not favor either the intervention or the comparison Probably favors the intervention Probably favors the intervention Favors the intervention (platelet transfusion) Varies Don't know	Large undesirable effects vs. no desirable effects
Resources required	How large are the resource requirements (costs)? o Large costs • Moderate costs o Negligible costs and savings o Moderate savings o Large savings o Varies o Don't know	2018—2019 Blood Component Cost Per Unit Summary Blood Component Unit of Measure Cost Per unit Red Blood Cells Unit \$422 Apheresis Platelets Dose \$504 Apheresis Platelets Dose \$504 Apheresis Plasma for Transfusion Unit (500ml) \$449 Pooled Platelets Dose \$178 Frozen Plasma Unit (300ml) \$108 Cryosupernatant Plasma Unit (280ml) \$108 Concurrent Plasma Unit (250ml) \$114 Cryoprecipitate Unit \$122

Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low • Moderate o High o No included studies	
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies	
Acceptability	Is the intervention (platelet transfusion) acceptable to key stakeholders? O NO O Probably no O Probably yes Yes O Varies O Don't know	
Feasibility	Is the intervention (platelet transfusion) feasible to implement? o No o Probably no o Probably yes Yes o Varies o Don't know	

Conclusions

PICO 08. For patients on antiplatelets who are hospitalized or under observation with acute GIB (upper and/or lower) should platelet transfusions be administered compared to none?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days; transfusion-related events (congestive heart failure, pulmonary edema) within 7 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	•	0	0	0
Recommendation	<u> </u>	•	zed or under observation very low certainty of evidence	with acute GIB, we suggest against ce)	platelet
Justification					
Subgroup considerations					
Implementation considerations					
Monitoring and evaluation	1 T	d the physician talk to the nd setting documented?	e patient or elicit the condi	tions under which the intervention	should be used?
Research priorities					

References for PICO 8

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- 1951 8. Ramos GP, Binder M, Hampel P, et al. Outcomes of endoscopic intervention for overt GI bleeding in severe thrombocytopenia.

 1952 Gastrointest Endosc 2018;88:55-61.

1963 9. GIB: Hold ASA vs continue ASA 1964 9. GIB: Hold ASA vs continue ASA 1965 P: Patient with GIB currently taking cardiac ASA (81 - 325 mg/day) for secondary prevention 1966 1967 I: hold ASA 1968 C: continue ASA 1969 O: CRITICAL: Further bleeding within 7 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, 1970 deep vein thrombosis, pulmonary embolus) 1971 **IMPORTANT, BUT NOT CRITICAL:** mortality within 30 days 1972 Overall comments about the evidence: 1973 We did not identify any comparative study (RCT or observational) that addressed the question of whether cardiac ASA should be continued or 1974 interrupted in patients with GI bleeding for the period that it is uncertain if hemostasis has been achieved or not. The landmark RCT by Sung et al (Sung AIM 2010) ¹ covers the period that responds to PICO question #10, i.e., the period after confirmation of 1975 1976 hemostasis. 1977 PICO #9 covers the period that spans from admission to (endoscopic) confirmation of hemostasis, i.e., during the period of active bleeding, which may last from a few hours to few days, usually less than 3 days. 1978 1979 We included one cohort study (Cheung CJG 2009)² with indirectness of the population, that did not provide adjusted results (see description 1980 below) 1981 We sought indirect evidence from observational studies on the incidence of thrombosis when cardiac ASA is held for 1 to 3 days (in lieu of the 1982 question that we cannot answer: what is the relative risk of thrombosis in bedridden inpatients with GI bleeding when cardiac ASA is held for 1 to 3 days vs. when it is continued?). However, not even the "simple" question of the incidence of thrombosis when cardiac ASA is held for 1 to 3 1983 days could be answered with the evidence that we identified (see discussion regarding Burger JIM 2005 3) 1984 As explained in the ACCF/ACG/AHA 2008 Expert Consensus Document 8: "Hemodynamic instability and hemostatic changes induced by acute 1985 1986 bleeding may further increase the risk of thrombosis in the absence of antiplatelet therapy. On the other hand, continuation of ASA in the setting 1987 of acute ulcer bleeding may provoke recurrent bleeding".

However, as explained in Sung AIM 2010 ¹: "despite rapid clearance of aspirin from the circulation, the antiplatelet effects of aspirin last for at least a few days because of the permanent inactivation of the platelets' cyclooxygenase activity on prostaglandin synthase 1 and synthase 2."

Cohort studies with the comparator cohort needed for this PICO

- 1. **Cheung CJG 2009** (Cheung et al. Acetylsalicylic acid use in patients with acute myocardial infarction and peptic ulcer bleeding. Canadian Journal of Gastroenterology. 23 (9) (pp 619-623), 2009) ²
 - Retrospective cohort study

- Indirectness of the population: included patients (n= 104) with acute myocardial infarction who <u>subsequently</u> (at least 24 hours later) developed peptic ulcer bleeding during the same hospitalization
- The study aimed to assess predictors of the primary outcome of "continued ASA use during PUD bleeding"
- Reported further bleeding and mortality for patients who had ASA discontinued or continued: unadjusted results (see table 4 in the paper)
 - The authors did not attempt to assess these comparisons; they acknowledged the selection bias, and the lack of power to assess this comparison (a much larger sample would have been required to perform multivariable adjustment for confounders)
 - We did not include the rate of <u>recurrent</u> MI in lieu of thrombotic events because this was a population who had a recent MI already.

Risk of bias assess	Risk of bias assessment of Cohort studies									
Study	Valid methods to ascertain exposure (exposure = cardiac ASA)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments			
Cheung CJG 2009	ОК	The cohorts were not matched or adjusted for the outcomes of interest for this guideline	OK	Unclear	OK	OK				

Unclear risk of bias

High risk of bias

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

Observational studies and SRMA of observational studies on the risk of thrombosis after ASA discontinuation

- 1. Sibon Neurol 2004 (Sibon I et al. Antiplatelet drug discontinuation is a risk factor for ischemic stroke. Neurology. 2004;62:1187) 9
 - Case series
 - In 11/289 patients with an ischemic stroke, a discontinuation of aspirin was reported during the month before the stroke episode.
 - In 6 cases, the disruption was ordered by the patient's physician in charge before a surgical operation.
 - In 5 patients, the disruption was performed by the patients or the patients' physicians out of negligence or because they thought that this treatment was without clinical relevance.

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- The delay range between the treatment disruption and the cerebral infarct was remarkably narrow, between 6 and 8
 days in all 11 patients.
- 2. **Burger JIM 2005** (Burger et al. Low-dose aspirin for <u>secondary</u> cardiovascular prevention cardiovascular risks after its perioperative withdrawal versus bleeding risks with its continuation review and meta-analysis. JIM 2005) ³
 - This SRMA did not search for studies in patients with GI bleeding, but it can provide indirect evidence on the cardiovascular risk after ASA discontinuation (page 400-401)
 - "Randomized studies or observational retrospective or prospective studies comparing the cardiovascular risks of preprocedural aspirin withdrawal directly against aspirin continuation were not obtained". "However, we found three retrospective studies reporting on the frequency of aspirin withdrawal preceding acute cardiovascular syndromes in consecutive series of patients".
 - 1. These studies were case series of patients all of whom had the cardiovascular outcome. The studies reported the proportion of patients who had discontinued aspirin prior the event among those who experienced the event. They did not report what proportion of patients had the event among those who discontinued aspirin, therefore we cannot extract relative or absolute risks
 - Burger et al "also found four case reports covering a total of 38 patients, who, after discontinuation of low dose aspirin, experienced cerebrovascular events (n = 29), myocardial infarctions (n = 8), or an arterial embolus (n = 1). Five of these patients died" ⁴⁻⁷
 - Burger et al summarized the time interval between aspirin discontinuation and the cardiovascular event in figure 1 in the paper.
 - 1. acute peripheral vascular event 25.8 \pm 18.1 days (mean \pm standard deviation)
 - 2. acute coronary syndromes 8.5 ± 3.6 days
 - 3. acute cerebral events 14.3 ± 11.3 days after withdrawal of aspirin

In this Box we provide the **information Dr. Laine has put together** for the time after aspirin withdrawal for the studies from Burger and from Biondi-Zoccai SRs that provided information on specific number of days after withdrawal. Also included Sibon et al). In addition, he determined the days for bleeding and death after randomization in the Sung et al study of re-introduction of aspirin in Annals.

Burger et al:

Reference 3 Collet JP, Himbet F, Steg PG. Myocardial infarction after aspirin cessation in stable coronary artery disease patients. Int J Cardiol 2000; 76: 257–8. retrospective analysis, we reviewed 475 consecutive patients admitted for acute myocardial infarction (MI) between December 1992 and September 1996, and found 11 patients who had discontinued aspirin therapy within 15 days prior to admission (Table 1). All patients had been on chronic aspirin for symptomatic coronary artery disease.

11 events; 1 at day 3, 1 day 6, 1 day 7; others 9-15 days

Ref 4 (abstract): 1236 patients with coronary syndromes were hospitalized in our center. Among these, 51 occurred less than 1 week after aspirin withdrawal. This represents an incidence of 4.1% of all hospitalized coronary events.

Subsequently published: Ferrari E, et al. Coronary syndromes following aspirin withdrawal: A special risk for late stent thrombosisJACC 2005;45:456-9

During the 32-month study-period, 1,236 patients with coronary syndrome (non–ST-segment elevation or ST-segment elevation) were hospitalized in our center. Among these, 383 (31%) were known coronary disease patients and, consequently, should have been taking aspirin regularly. Fifty-one new coronary events occurred <1 month after aspirin withdrawal. These 51 cases represent 4.1% (51 of 1,236) of all patients hospitalized for a coronary event, and 13.3% (51 of 383) of those who relapsed.

The coronary history that had required the prescription of aspirin in these patients consisted of a previous myocardial infarction in 15 cases (29%) and stable angina in 36 cases (71%). Mean delay between diagnosis of the initial coronary disease requiring aspirin prescription and the recurrent coronary event after aspirin withdrawal was 4.1 ± 1.2 years. Coronary syndrome following aspirin withdrawal involved ST-segment elevation coronary syndrome in 19 cases (37%) and non–ST-segment elevation coronary syndrome in 32 cases (63%). Mean delay between aspirin withdrawal and the acute coronary event was 10 ± 1.9 days (range 4 to 17 days).

Ref 7 Bachman DS. Discontinuing chronic aspirin therapy: another risk factor for stroke? Ann Neurol 2002; 51: 137 – 8:

Over the last 3 years, I have prospectively noted 11 patients with cerebrovascular events occurring within a few weeks of stopping chronic aspirin intake (Table). One of my colleagues saw 2 additional cases during the same time period1 (A and B in Table). The indication for chronic aspirin use is included in the table under the heading of additional diagnoses, if indeed there was any specific indication. Unfortunately, the dosage of aspirin that was being taken by each patient was not recorded.

13 patients off aspirin developed TIA or CVA: 1 at 2 days (CVA), 1 5days (TIA), 1 "several days" (CVA), 2 "recent" (CVAs); others, 1 11 days (CVA), 6 2-3 weeks, 1 6-8 wks.

Ref 8 Kovich O, Otley CC. Thrombotic complications related to discontinuation of warfarin and aspirin therapy perioperatively for cutaneous operation. J Am Acad Dermatol 2003; 48: 233–7.:

Our aim was to present a large case series of thrombotic complications resulting from this practice and to estimate the incidence of these events. Methods: A total of 504 members of the American College of Mohs Micrographic Surgery and Cutaneous Oncology were surveyed regarding thrombotic complications when blood thinners were withheld perioperatively to ascertain the frequency of these complications and to describe associated morbidity and mortality.

46 valid case reports of patients experiencing thrombotic events. Of thrombotic events, 54% (25/46) occurred when warfarin was withheld, 39% (18/46) when aspirin was withheld, and 4% (2/46) when both aspirin and warfarin were withheld. Aspirin was withheld for a median of 7 days (range, 3-14 days) and was resumed at a median of 2 days postoperatively (range, 1-30 days). Thrombotic complications resulting from cessation of aspirin therapy occurred postoperatively at a median of 2.5 days (range, 0-30 days).

CAN'T TELL NUMBER OF DAYS WITHHELD—HAD TO BE MINIMUM OF 3 DAYS BUT PROBABLY HIGHER.

Ref. 9 Matsuzaki K, Matsui K, Haraguchi N, Nagano I, Okabe H, Asou T. Ischemic heart attacks following cessation of aspirin before coronary artery bypass surgery: a report of two cases. Ann Thorac Cardiovasc Surg 1999; 5: 121–2.

We couldn't get the full text

Ref. 10 Mitchell SM, Sethia KK. Hazards of aspirin withdrawal before transurethral prostatectomy. BJU Int 1999; 84: 530.:

5 case reports of people having aspirin stopped before TURP. 1) aspirin was stopped 10 days preoperatively. Two days before his admission he developed unilateral weakness and dysphasia which recovered within 24h (Dx TIA): **?8 days**

2) stopped 10 days before an uneventful TURP. On the first postoperative day he developed an acutely painful right arm. A 6cm thrombus was removed at brachial embolectomy. 11 days. 3) Stopped aspirin 10 days preop. CVA 7 days after TURP: 17 days; 4) MI and death, but don't say duration of withdrawal; 5) Aspirin stopped 8 days before admission; day after admission—CVA and death. 9 days

Biondi-Zoccai GGL, et al. A systematic review and meta-analysis on the hazards of discontinuing or not adhering to aspirin among 50 279 patients at risk for coronary artery disease. Eur Heart J 2006;27:2667-74

Whereas this work was not designed to address this topic (already extensively covered by Burger et al.13), pooling available data showed that on an average 10.66 (95% CI 10.25–11.07) days elapsed between drug withdrawal and thrombotic events. These results appear in line with the half-life of platelets, and suggest that in case of mandatory aspirin discontinuation for highly invasive interventions in patients at high risk of bleeding, the drug should be resumed well before that 8–10 days have elapsed. **References from this SR are below**

Collet JP, et al. Impact of Prior Use or Recent Withdrawal of Oral Antiplatelet Agents on Acute Coronary Syndromes. Circulation 2004;110:2361-7

Among ACS admissions: Recent withdrawers were admitted 11.9±0.8 days after OAA cessation (aspirin, n=70; ticlopidine, n=3) Don't give individual times.

Mangano DT et al. Aspirin and mortality from coronary bypass surgery. NEJM 2002;347:1309-17. At 70 centers in 17 countries, we prospectively studied 5065 patients undergoing coronary bypass surgery, of whom 5022 survived the first 48 hours after surgery. We gathered data on 7500 variables per patient and adjudicated outcomes centrally. The primary focus was to discern the relation between early aspirin use and fatal and nonfatal outcomes.

Results: During hospitalization, 164 patients died (3.2 percent), and 812 others (16.0 percent) had nonfatal cardiac, cerebral, renal, or gastrointestinal ischemic complications. Among patients who received aspirin (up to 650 mg) within 48 hours after revascularization, subsequent mortality was 1.3 percent (40 of 2999 patients), as compared with 4.0 percent among those who did not receive aspirin during this period (81 of 2023, P<0.001). Aspirin therapy was associated with a 48 percent reduction in the incidence of myocardial infarction (2.8 percent vs. 5.4 percent, P<0.001), a 50 percent reduction in the incidence of stroke (1.3 percent vs. 2.6 percent, P=0.01), a 74 percent reduction in the incidence of renal failure (0.9 percent vs. 3.4 percent, P<0.001), and a 62 percent reduction in the incidence of bowel infarction (0.3 percent vs. 0.8 percent, P=0.01). Risk in CABG increased with 48 hrs interruption.

McFadden P, et al. Late thrombosis in drug-eluting coronary stents after discontinuation of antiplatelet therapy. Lancet 2004;364:23-9 four cases of angiographically-confirmed stent thrombosis that occurred late after elective implantation of polymer-based paxlitaxel-eluting (343 and 442 days) or sirolimus-eluting (335 and 375 days) stents, and resulted in myocardial infarction. All cases arose soon after antiplatelet therapy was interrupted. 4, 5, 7, 14 days after aspirin stopped

Albaladejo P, et al. Aspirin withdrawal and acute lower limb ischemia. Anesh Analg 2004;99:440-3.

Among a retrospective cohort of 181 patients admitted for acute lower limb ischemia for 4 yr, we studied 11 patients who had recently stopped taking aspirin. Aspirin was administered for vascular event prevention. The median duration of aspirin treatment without vascular events was 12 mo (range, 6-60 mo). The median time between aspirin withdrawal and lower limb ischemia was 23 days (range, 7-60 days)

Sibon I, Orgogozo JM. Antiplatelet drug discontinuation is a risk factor for ischemic stroke. Neurology 2004;62:1187-9. The survey was carried out in three periods of 1.5 month each in the three neurologic wards of the University Hospital (CHU) Pellegrin in Bordeaux, France. All patients hospitalized for a TIA or an ischemic or hemorrhagic stroke during each period were included. When an APD treatment had been modified before stroke onset, we recorded the delay between treatment change and stoke onset, In 13 (4.5%) patients with an ischemic stroke, a discontinuation of APD was reported during the month before the stroke episode. Clinical characteristics of these patients are summarized in the table. ASA was the most frequent (n = 11; 85%) of the APD discontinued before a stroke. In the 11 with aspirin alone: 7, 9, 8, 6, 8, 6, 7, 8, 8, 6, 6 days. 6 days was shortest interruption before a stroke.

Sung AIM 2010: Placebo deaths: ACS: days 1 and 7; recurrent CVA day 12; CHF days 20, 39. Perforated ulcer: days 15, 16; bleeding day 2. Aspirin 1 death: died of CHF at day 30 after recurrent bleeding DU with successful hemostasis.

FROM FIGURE LOOKS LIKE REPEAT BLEEDING IN ASA GROUP AT DAY 2, 2, 3, 4, AND 6—5 OF 8 CONFIRMED REBLEEDS THUS IN 1ST 6 DAYS AND 3 OF 8 IN FIRST 3 DAYS. IN PLACEBO GROUP ONLY 1 RECURRENT IN 1ST WEEK—APPEARS TO BE DAY 1.

We probably want to discuss issue of primary prevention and secondary prevention. There is a recent SRMA, but I tend not to like it for 2 reasons: 1) many people feel that patients in modern studies are very different than patients in studies in the past (e.g., statins, better BP control, less smoking, etc). In addition, 3 very large high-quality studies were recently published (2 in NEJM, one in Lancet) regarding primary prevention and I thought a priori it is more reasonable to pool them together but separately from old studies (although it turns out the difference is quite similar in my SRMA of these 3 and the old Berger meta-analysis) and also because the newer SRMA got one of the recent study's data wrong [...] I was able to get the correct data by personal communication).

Berger JS et al. Aspirin for the prevention of cardiovascular events in patients without clinical cardiovascular disease: A meta-analysis of randomized trials. Am Heart J 2011;162:115-24.

The number needed to treat to prevent 1 MCE over a mean follow-up of 6.9 years was 253 (95% CI 163-568), which was offset by the number needed to harm to cause 1 major bleed of 261 (95% CI 182-476). For every 1,000 subjects treated with aspirin over a 5-year period, aspirin would prevent 2.9 MCE and cause 2.8 major bleeds. 0.06% absolute risk/yr NNT 1yr = 1667

3 recent more modern RCTs (ARRIVE, ASCEND, ASPREE) that I like to look at separately. But when I do my own meta-analysis, I find RR=0-.92, 0-.85-1.00 for reduction in CV events (p=0.04) with annual risk difference 0.07% and **NNT 1429**.

Meta-analysis of serious GIB (transfusion, hospitalization, death): RR=1.53, 1.30-1.82 Pooled increase annually 0.09%, **NNH 1112** (GIB <50% of all major bleeding events).

The SRMA from Oxford group (Lancet 2009;373:1849) I generally quote for **secondary prevention** is somewhat old perhaps but it shows the following:

ARR 1.49% per yr; annual NNT=67.

I did want to share the recent SRMA from JAMA about primary prevention. I mentioned some problems with it—and also that it didn't have the number exactly right for GI bleeding in the ARRIVE study. In addition, here are 2 letters to the editor about other shortcomings:

Shah R. Meta-analysis of Aspirin for Primary Prevention of Cardiovascular Events. JAMA 2019 32:2244.

authors excluded the Prevention and Progression of Arterial Disease and Diabetes (POPADAD) trial and the Thrombosis Prevention Trial (TPT) from the estimate of the pooled effect for the composite cardiovascular outcome. Although POPADAD, TPT, the Japanese Primary Prevention of Atherosclerosis With Aspirin for Diabetes (JPAD) trial, and the Aspirin for Asymptomatic Atherosclerosis (AAA) trial did not report the event rate for the composite cardiovascular outcome, it seems that for the JPAD and AAA trials, Dr Zheng and Mr Roddick calculated the composite outcome by adding events for individual outcomes (stroke/cardiovascular death, nonfatal myocardial infarction, and nonfatal stroke). The POPADAD and TPT investigators also reported event rates for

individual outcomes, which the authors could have added to calculate the composite outcome. To maintain consistency, the authors either should have used only trials that reported event rates for the composite outcome or should have included all trials by calculating the composite outcome from individual outcome events in the trials that did not report a composite outcome. Their selective use of data from some trials while excluding other relevant data could have introduced bias and potentially compromised the validity of their analysis and conclusions

Syn NL, Wee IJY. Meta-analysis of Aspirin for Primary Prevention of Cardiovascular Events. JAMA 2019 32:2243-4.

The investigators required randomized trials to enroll at least 1000 participants to be eligible for inclusion in the analyses. The basis for imposing such a study eligibility criteria was not explicitly justified, but a plausible motivation could be related to the perception that small randomized trials are neither adequately powered nor generalizable.

The exclusion of studies based on small sample size has been clarified in a meeting presentation by the Cochrane Collaboration.2 During a poll of statisticians and methodologists from the Cochrane Statistical Methods Group, 26 of 26 representatives voted that is it inappropriate to exclude studies simply on the basis of sample size.2 Intentionally excluding studies because of small sample size may exacerbate the "file drawer" problem and introduce publication bias. In addition, including only large or representative studies in meta-analyses defeats the very purpose of a meta-analysis, which is to aggregate evidence where it is lacking or where clinical questions have not been clearly answered because of small sample sizes within individual studies.

The Cochrane Statistical Methods Group also recommended that small studies could be excluded in sensitivity analyses because smaller studies are often at higher risk of bias.

For some reason I thought we were looking for study to give risk with prior UGIB in low-dose aspirin users. Here is such a study from NEJM:

Lanas A et al. Nitrovasodilators, Low-Dose Aspirin, Other Nonsteroidal Antiinflammatory Drugs, and the Risk of Upper Gastrointestinal BleedingN Engl J Med 2000;343;834-9 Risk of UGIB in low-dose aspirin users with hx of UGIB: adjusted OR = 6.5, 2.0-21.2

<u>Finally, a study about anti-platelet activity</u>: It suggests activity returns more quickly than measures of thromboxane synthesis that are typically used to suggest 5-7 days to hold aspirin: **Santilli G, et al. Platelet Cyclooxygenase Inhibition by Low-Dose Aspirin Is Not Reflected Consistently by Platelet Function Assays. Implications for Aspirin "Resistance". J Am Coll Cardiol 2009;53:667—77**

Recovery of platelet function by optical aggregation and VerifyNow Aspirin followed first-order kinetics and reached approximately 70% of the relative function at day 3 post-aspirin. Whereas values obtained with platelet functional assays had largely recovered by day 3 post-aspirin, day 3 TXB2 values still average 45% of baseline; full recovery occurred by day 7 post aspirin. Virtually complete suppression of the biosynthetic capacity of platelets is required to have a measurable impact on TX-dependent platelet function. Inhibition of platelet COX activity, explored both on and off treatment, was nonlinearly related to inhibition of TX-dependent platelet function, leading to faster functional recovery following aspirin withdrawal than predicted by the rate of platelet turnover. Thus, 3 days after stopping aspirin, AA-induced platelet aggregation and VerifyNow Aspirin had recovered approximately 60% and 80% of baseline values, respectively. This finding may have clinical implications for the adequacy of recommended timing of drug withdrawal before surgical/invasive procedures in aspirin-treated patients (30,31). The nonlinearity of the relationship between inhibition of the TX biosynthetic capacity andinhibition of TX-dependent platelet function enables some recovery of platelet function at 48 hours after drug withdrawal, a phenomenon that may be substantial in some subjects because of the interindividual variability.

2059 Evidence profile, PICO 9

Patients with a	ctive GI blee	eding: hold AS	A vs continue	ASA								
			Contointe Acco					Summary of Findings				
	Certainty Assessment –								Events / participants Effect			
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Hold ASA	Continue ASA	Relative (95% CI)	Absolute (95% CI)	Comments
Further bleeding at	7 days (critica	l outcome)										
1 cohort study (Cheung 2009) ²	Critically serious ^a	Not applicable	Serious ^b	Serious ^c	None	⊕⊝⊝⊝ VERY LOW		10/64	4/38	RR 1.48 (0.50-4.41)	Risk with continued ASA: 105 events per 1,000 patients. Held ASA: 50 more per 1,000 (from 52 less to 358 more)	Remark: the direction of the effect is opposite of the theoretically predicted direction
Thrombotic events	within 30 days	(critical outcome)								,	
No studies						-		-	-	-	-	
Mortality within 30	days (importa	nt outcome, but r	not critical for dec	ision making)			0000					
1 cohort study (Cheung 2009) ²	Serious ^a	Not applicable	Serious ^b	Serious ^c	None	⊕⊝⊝⊝ VERY LOW	VERY LOW	10/64	4/38	RR 1.48 (0.50-4.41)	Risk with continued ASA: 105 events per 1,000 patients. Held ASA: 50 more per 1,000 (from 52 less to 358 more)	

Footnotes

^a Critically serious risk of bias. Further bleeding was not a main outcome of the study; the authors did not attempt to adjust for confounders. However, for this research question it is certain that the decision to hold ASA and the outcome of further bleeding were strongly confounded by severity of indication (severity of index bleeding).

2065	^b Serious indirectness of the population. All patients had already had a recent MI and developed GIB subsequently as in-patients. Minor issue: further bleeding
2066	was reported at 30 days, not 7 days.

^c Serious imprecision, because 95% CIs included potential for large benefit and large harm. Also, small number of events.

d Serious risk of bias. Mortality was not a main outcome of the study; the authors did not attempt to adjust for confounders.

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Evidence to Decision Framework, PICO 9

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09. Patient with active GI bleeding: hold ASA vs continue ASA

2074 P: Patient with GIB currently taking cardiac ASA (81 - 325 mg/day) for secondary prevention

2075 I: hold ASA

2076 C: continue ASA

O: CRITICAL: Further bleeding within 7 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein

thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

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	Judgement (Panel's judgments highlighted <mark>in</mark> yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large o Varies o Don't know • Not applicable (all clinical effects were undesirable; no desirable effects)	See Evidence Profile Table. Intervention: hold ASA Comparator: continue ASA The <u>undesirable</u> anticipated effects with holding ASA (compared to continuing ASA) are: - Increased further bleeding (critical outcome): 12 more events per 1,000 patients Increased mortality: 12 more events per 1,000 patients. It is not possible to estimate the direction of the effect of holing ASA (compared to continuing ASA) on the risk of thrombosis.	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large Moderate o Small o Trivial		

	T	T	1
	o Varies o Don't know		
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability O Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison Probably favors the comparison Does not favor either the intervention or the comparison Probably favors the intervention Favors the intervention (holding ASA) Varies Don't know	The intervention (holding ASA) would lead to moderate undesirable effects and no known desirable effects. Very low certainty of evidence.	
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings Moderate savings Large savings O Varies O Don't know	Aspirin is inexpensive, so holding it for a few days means negligible savings.	

Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low o Moderate • High	
Certain Requ	o No included studies	
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention	
	VariesNo included studies	
Acceptability	Is the intervention (holding ASA) acceptable to key stakeholders? O NO O Probably no O Probably yes Yes O Varies O Don't know	
Feasibility	Is the intervention (holding ASA) feasible to implement? O No O Probably no O Probably yes Yes O Varies O Don't know	

PICO 09. For patients with GI bleeding on cardiac ASA, should ASA be held until (endoscopic) confirmation of hemostasis or should ASA be continued (without interruption)?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	commendation <mark>recommendation</mark> recommen against the against the eithe		Conditional recommendation for the intervention	Strong recommendation for the intervention			
	0	•	0	0	0			
Recommendation	For patients with GI bleeding on cardiac aspirin for secondary prevention, we suggest that aspirin is not held. (Conditional recommendation, very low certainty of evidence) Note: In the final guideline document the wording was reversed to avoid double negative wording: "We suggest that patients who present with GIB while taking low-dose aspirin for secondary cardiovascular protection continue rather than interrupt their aspirin"							
Justification								
Subgroup considerations	This recommendation does not apply to patients with GI bleeding while on cardiac aspirin for primary prevention (cardiology guidelines make it clear that if patient has a risk factor for GIB, primary ASA prevention should not be used)							
Implementation considerations								
Monitoring and evaluation								
Research priorities								

References for PICO 9

1. Sung JJY et al. Continuation of low-dose aspirin therapy in peptic ulcer bleeding: a randomized trial. Ann Intern Med 2010;152(1):1-9

- 2. Cheung et al. Acetylsalicylic acid use in patients with acute myocardial infarction and peptic ulcer bleeding. Canadian Journal of Gastroenterology. 23 (9) (pp 619-623), 2009
- 3. Burger et al. Low-dose aspirin for secondary cardiovascular prevention cardiovascular risks after its perioperative withdrawal versus bleeding risks with its continuation review and meta-analysis. Journal of Internal Medicine 2005; 257: 399–414
- 4. Bachman DS. Discontinuing chronic aspirin therapy: another risk factor for stroke? Ann Neurol 2002; 51: 137–8.

- 5. Kovich O, Otley CC. Thrombotic complications related to discontinuation of warfarin and aspirin therapy perioperatively for cutaneous operation. J Am Acad Dermatol 2003; 48: 233–7.
 - 6. Matsuzaki K, Matsui K, Haraguchi N, Nagano I, Okabe H, Asou T. Ischemic heart attacks following cessation of aspirin before coronary artery bypass surgery: a report of two cases. Ann Thorac Cardiovasc Surg 1999; 5: 121–2.
 - 7. Mitchell SM, Sethia KK. Hazards of aspirin withdrawal before transurethral prostatectomy. BJU Int 1999; 84: 530.
- 8. Bhat et al. ACCF/ACG/AHA 2008 Expert Consensus Document on Reducing the Gastrointestinal Risks of Antiplatelet Therapy and NSAID
 Use. Am J Gastroenterol 2008;103:2890–2907
 - 9. Sibon I et al. Antiplatelet drug discontinuation is a risk factor for ischemic stroke. Neurology. 2004;62:1187

2122	10. Post-GIB: when to resume ASA					
2123	10. Post-GIB: resume ASA same day as hemostasis is confirmed endoscopically vs 1-7 days later					
2124						
2125	P: Patient with GIB currently taking cardiac ASA (81 mg or 325 mg/day) for secondary prevention					
2126	I: Resume same day as endoscopic hemostasis is confirmed endoscopically					
2127	C: Resume 1 to 7 days after endoscopic hemostasis					
2128 2129	O: CRITICAL: Further bleeding within 7 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)					
2130	IMPORTANT, BUT NOT CRITICAL: mortality within 30 days					
2131						
2132	RCTs					
2133	1. Sung AIM 2010 (Sung et al. Continuation of low-dose aspirin therapy in peptic ulcer bleeding: a randomized trial. Annals of Internal					
2134	Medicine 2010 Jan 5;152(1):1-90) ¹					
2135	Double-blind RCT					
2136	• Patients (N=156)					
2137	i. PU bleeding (active bleeding, NBVV, adherent clots) successfully treated with endoscopic therapy (and IV PPI)					
2138	ii. continuing indication for low-dose ASA for secondary prevention					
2139	 Randomized (after endoscopy) to aspirin (n=78), 80 mg/d or placebo (n=78) for 8 weeks 					
2140	 All patients took oral pantoprazole 40 mg OD for 8 weeks 					
2141	Results: ASA vs. placebo:					
2142	 30-day <u>confirmed</u> recurrent ulcer <u>bleeding</u> (see Figure 2 in the paper): 					
2143	8/78 (10.3%) vs. 4/78 (5.4%); difference 4.9 percentage points (95% CI - 3.6 to 13.4)					
2144	•					
2145						
2146	8-week all-cause mortality:					
2147	1/78 (1.3%) vs. 10/78 (12.9%); difference 11.6 percentage points (95% CI 3.7 to 19.5)					

2148 2149	•	30-day all-cause mortality : 1/78 (1.3%) vs 7/78 (9%)
2150	•	8-week mortality attributable to cardiovascular, cerebrovascular, or GI complications (see Figure 3 in the paper):
2151		1.3 % vs. 10.3 %; difference 9 percentage points (95% CI 1.7 to 16.3)
2152	•	Six nonfatal, recurrent acute ischemic events were reported (2 in the aspirin group and 4 in the placebo group)
2153		

Risk of bias assessment of RCTs								
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments	
Sung 2010 ¹	ОК	ОК	ОК	OK	ОК	OK	Indirectness of the compactor intervention: duration of 8 weeks	

Low risk of bias
Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

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Observational studies on the risk of thrombosis after ASA discontinuation

- 1. Sibon Neurol 2004 (Sibon I et al. Antiplatelet drug discontinuation is a risk factor for ischemic stroke. Neurology. 2004;62:1187) 4
 - Case series
 - In 11/289 patients with an ischemic stroke, a discontinuation of aspirin was reported during the month before the stroke episode.
 - In 6 cases, the disruption was ordered by the patient's physician in charge before a surgical operation.
 - In 5 patients, the disruption was performed by the patients or the patients' physicians out of negligence or because they thought that this treatment was without clinical relevance.

2168 The delay range between the treatment disruption and the cerebral infarct was remarkably narrow, between 6 and 8 2169 days in all 11 patients. 2170 2171 2172 Cohort studies without the comparator needed for this PICO Cohort studies that compared a cohort of patients who continued ASA following GIB vs a cohort who discontinued ASA and did not resume ASA 2173 2174 for years, were not included. • The study by Derogar et al (Derogar CGH 2013) ² 2175 2176 Retrospective cohort study, Sweden 2177 Patients (n=118) with PU bleeding while receiving low-dose ASA therapy Median follow up 2 years post-discharge 2178 Outcome: death or acute cardiovascular events 2179 2180 Results (adjusted for confounders): Among patients with baseline cardiovascular comorbidities, those who discontinued ASA at discharge vs. those who 2181 2182 continued ASA at discharge: HR: 6.9, 95% CI 1.4-34.8 2183 Among patients without baseline cardiovascular comorbidities: no such association Figure 2 from that article shows the Kaplan-Maier curve for the whole study population (with or without baseline 2184 2185 cardiovascular comorbidities). There is a separation of the two curves at the start of the study, but it is not possible to 2186 extract accurate results for the first 1-7 days (the timeframe of interest for this guideline). 2187 The study by Chan et al (Chan Gastro 2016) 3 had a follow up of 5 years following lower GIB. Furthermore, the two cohorts were not ASA 2188 users vs. non-users. Instead "Study subjects were allocated to 1 of 2 groups according to their cumulative duration of aspirin use: <20% 2189 of the follow-up period (nonuser group) vs \geq 50% of the observation period (aspirin group)". Kaplan-Meier curves were reported in this 2190 2191 article too, but they cannot be interpreted, given that it is unclear when along the x-axis each patient was on or off ASA. 2192 2193 Also, please see Box in PICO#9 with the relevant information provided by Dr. Laine

2195 Evidence profile, PICO 10

Patients with GI bleeding: resume ASA on day of hemostasis vs 1-7 days later												
			Coutointo A						Summary	of Findings		
	Certainty Assessment							Events / participants Effect		Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Resume ASA on day of hemostasis	Resume ASA 1-7 days after hemostasis	Relative (95% CI)	Absolute (95% CI)	Comments
Further bleeding at	7 days (critical	outcome)										
1 RCT (Sung 2010) ¹	Not serious	Not applicable	Serious ^a	Very serious ^b	None	⊕⊖⊝ VERY LOW		8/78	4/78	RR 2.00 (0.63-6.37)	Risk with delayed resumption: 51 events per 1,000 patients. ASA on day of hemostasis: 51 more per 1,000 (from 29 less to 274 more)	
Thrombotic events	within 30 days	(critical outcome)									
1 RCT (Sung 2010) ¹	Not serious	Not applicable	Very serious ^c	Very serious ^b	None	⊕⊝⊝ VERY LOW	⊕⊖⊝ VERY LOW	3/78	9/78	RR 0.33 (0.09-1.19)	Risk with delayed resumption: 130 events per 1,000 patients. ASA on day of hemostasis: 87 less per 1,000 (from 118 less to 3 more)	Fatal and non-fatal cardiovascul ar complication s
Mortality within 30	days (importa	nt outcome, but r	ot critical for dec	ision making)	I							
1 RCT (Sung 2010) ¹	Not serious	Not applicable	Very serious ^d	Very serious ^b	None	⊕⊖⊝ VERY LOW		1/78	7/78	RR 0.14 (0.02-1.13)	Risk with delayed resumption: 90 events per 1,000 patients. ASA on day of hemostasis: 77 less per 1,000 (from 88 less to 12 more)	Note: some of the deaths were also included in the analysis of thrombotic events

2196	Footnotes							
2197	^a Serious indirectness of the comparator interv	^a Serious indirectness of the comparator intervention. The study held ASA for 8 weeks as opposed to the duration of 1-7 days defined in this PICO question.						
2198	^b Very serious imprecision, because 95% Cls in	^b Very serious imprecision, because 95% Cls included potential for large benefit and large harm. Also, very small number of events.						
2199 2200 2201	,	^c Very serious indirectness of the comparator intervention. The study held ASA for 8 weeks as opposed to the duration of 1-7 days defined in this PICO question and this would have substantially increased the difference in cardiovascular complications between the two treatments in the study as opposed to the interventions required for this PICO question.						
2202 2203 2204	^d Very serious indirectness of the comparator intervention. The study held ASA for 8 weeks as opposed to the duration of 1-7 days defined in this PICO question and this would have substantially increased the difference in deaths (esp. cardiovascular deaths) between the two treatments in the study as opposed to the interventions required for this PICO question.							
2205 2206								
2207 2208	Evidence to Decision Framework, PICO 10							
2209 2210	10. Post-GIB: resume ASA same day as endos	copic hemostasis vs 1-7 days later						
2211	P: Patient with GIB currently taking cardiac AS	A (81 mg or 325 mg/day) for secondary prevention						
2212	I: Resume same day as hemostasis is confirme	d endoscopically						
2213	C: Resume 1 to 7 days after hemostasis is conf	irmed endoscopically						
2214	O: CRITICAL: Further bleeding within 7 days; the	rrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myo	cardial infarction, deep vein					
2215	thrombosis, pulmonary embolus)							
2216	IMPORTANT, BUT NOT CRITICAL: mortality within 30 days							
2217								
		Research evidence						
	Judgement (Panel's judgments highlighted		Additional considerations					

in yellow color)

Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate Large O Varies O Don't know	See Evidence Profile Table. Intervention: Resume ASA on day of hemostasis Comparator: Resume ASA 1-7 days after hemostasis The desirable anticipated effects with resuming ASA on day of hemostasis (compared to resuming ASA 1-7 days after hemostasis) are: - Reduced thrombotic events (critical outcome): 87 less events per 1,000 patients Reduced mortality: 77 less events per 1,000 patients.	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large Moderate o Small o Trivial o Varies o Don't know	The <u>undesirable</u> anticipated effects with resuming ASA on day of hemostasis (compared to resuming ASA 1-7 days after hemostasis) are: - Increased further bleeding (critical outcome): 51 more events per 1,000 patients.	
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability Probably no important uncertainty or variability No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	

Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison Probably favors the intervention Favors the intervention O Varies O Don't know	The intervention (resuming ASA on the day hemostasis is endoscopically confirmed) would lead to large desirable effects and moderate undesirable effects. Very low certainty of evidence.	
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings O Moderate savings O Large savings O Varies O Don't know	Aspirin is inexpensive, so resuming it early means negligible costs.	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low o Moderate High o No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies		

Acceptability	Is the intervention (resuming ASA on day of hemostasis) acceptable to key stakeholders? O No O Probably no O Probably yes Yes O Varies O Don't know	
Feasibility	Is the intervention (resuming ASA on day of hemostasis) feasible to implement? O No O Probably no O Probably yes • Yes O Varies O Don't know	

2220 Conclusions

PICO 10. For patients with GI bleeding on cardiac ASA, whose ASA treatment has been held (since admission), should ASA be resumed on the same day as hemostasis is (endoscopically) confirmed compared to later?

O: CRITICAL: Further bleeding within 7 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong Conditional recommendation against the against the intervention intervention		Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention 6/6 votes: 100%	Strong recommendation for the intervention	
	0	0	0	O	0	
Recommendation For patients with GI bleeding on aspirin for secondary cardiovascular prevention whose aspirin was held, we suggest the aspirin be resumed on the day hemostasis is confirmed endoscopically.						

Justification	
Subgroup considerations	In patients in whom endoscopy is not performed, clinical assessment of hemostasis may be sufficient.
Implementation	
considerations	
Monitoring and	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should be used?
evaluation	Was this discussion and setting documented?
Research priorities	

References for PICO 10

1. Sung et al. Continuation of low-dose aspirin therapy in peptic ulcer bleeding: a randomized trial. Annals of Internal Medicine 2010 Jan 5;152(1):1-90

2. Derogar M, et al. Discontinuation of low-dose aspirin therapy after peptic ulcer bleeding increases risk of death and acute cardiovascular events. Clin Gastroenterol Hepatol. 2013 Jan;11(1):38-42.

 Chan F.K.L. et al. Risks of Bleeding Recurrence and Cardiovascular Events With Continued Aspirin Use After Lower Gastrointestinal Hemorrhage. Gastroenterology. 151 (2) (pp 271-277), 2016.
 Sibon I et al. Antiplatelet drug discontinuation is a risk factor for ischemic stroke. Neurology. 2004;62:1187

B. MANAGEMENT OF ANTITHROMBOTIC AGENTS IN PATIENTS UNDERGOING 2248 **ELECTIVE ENDOSCOPY** 2249 2250 2251 *Patients undergoing elective/planned endoscopic procedures. This excludes patients at high-risk of thromboembolic events in whom 2252 elective procedures should be deferred. 2253 - Patients at high risk of thromboembolic event: 2254 1. Patients within 3 months of venous thromboembolism, pulmonary embolism, stroke or TIA. 2255 2. Patients within 3 months of ACS event, 12 months of drug eluting stent placement or 2 months of bare metal stent placement. 2256 2257 11. Continuous anticoagulation of Warfarin 2258 11. Continuous anticoagulation of Warfarin 2259 P: Patient on Warfarin (undergoing elective/planned endoscopic procedures) 2260 I: Continuous anticoagulation 2261 C: Temporary interruption of warfarin X up to 7 days O: CRITICAL: Bleeding within 30 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep 2262 2263 vein thrombosis, pulmonary embolus) 2264 **IMPORTANT, BUT NOT CRITICAL:** mortality within 30 days 2265 2266 2267 Cohort studies with the comparator cohort needed for this PICO 2268 2269 1. Ara et al. Prospective analysis of risk for bleeding after endoscopic biopsy without cessation of antithrombotics in Japan. Digestive 2270 Endoscopy 2015; 27:458-464 2271 Design: prospective cohort study 2272 Population: Consecutive patients who underwent upper GI endoscopic biopsy at a hospital in Japan, 2011-2014 2273 • Indirectness: the "exposure" was limited to endoscopic biopsies and also limited to Upper GI endoscopy 2274 Intervention: temporary interruption of warfarin

Outcomes: major bleeding within 4 weeks (no results on thrombotic events or mortality)

Comparator: no interruption of warfarin

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2277	Results:
2278	• Continued warfarin = 92; events (bleeding) = 0 (95% CI calculated with the rule of 3/n for zero events (Govani. AJG 2013
2279	108:1831) is 0%- 3.3%)
2280	 Interrupted warfarin = 19; events (bleeding) = 0 (95% CI 0% - 15.8%)
2281	• RR: not meaningful
2282	 only unadjusted results
2283	Notes regarding risk of bias:
2284	 The decision to interrupt or continue warfarin was made by the "prescribing physicians"
2285	• Only patients who had endoscopic biopsies taken were included in the study, i.e., it is unclear how many patients had
2286	UGI endoscopy without biopsies and how many patients had their biopsies avoided (or UGI endoscopy deferred
2287	altogether) because of their anticoagulation status
2288	• Therefore, the decision to interrupt or continue warfarin could have been influenced by the strength of indication for
2289	endoscopy and endoscopic biopsies, whereas the decision to scope could have been influenced by the comfort level of
2290	the physicians in interrupting warfarin for a specific patient, and the decision to biopsy could have been influenced by
2291	whether warfarin had been interrupted or continued.
2292	 Furthermore, the number of biopsies taken per procedure, the size of biopsy forceps and treatment of the biopsy site
2293	with thrombin spray were shown to have been influenced by whether antithrombotics had been interrupted or
2294	continued (no results were reported for patients on warfarin, but for the overall study population on any anticoagulant
2295	or any antiplatelet, number of biopsies per case was significantly lower in the patients who continued antithrombotic
2296	treatment (1.9 \pm 1.1 vs 2.4 \pm 1.6; P < 0.01); use of mini cup biopsy forceps (15.4% vs 3.7%; P < 0.01), and thrombin spray
2297	(19.6% vs 5.6%; $P < 0.01$) were significantly higher in the group that did not interrupt antithrombotics use than in the
2298	group that did).
2299	 Overall, the choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also
2300	influenced the risk of the outcomes of interest.
2301	The study also assessed an indirect comparator: 3364 patients undergoing diagnostic endoscopy without antithrombotics
2302	Events (bleeding) = 4 (0.12%)
2303	
2304	

2305	2. Gerson et al. Adverse events associated with anticoagulation therapy in the periendoscopic period. Gastrointestinal Endoscopy	
2306	2010;71:1211-7.	
2307	 Design: prospective cohort study (outcomes assessed by telephone questionnaire) 	
2308	Population: Consecutive patients (n=483) on warfarin who underwent GI endoscopic procedures at 13 US sites, 2004-2006	
2309	• Indirectness: several types of GI endoscopic procedures were included and pooled together. Colonoscopy = 347 (72%	
2310	Colonoscopic "polypectomy (snare, hot or cold biopsy)" = 161.	
2311	 Intervention (for PICO 11): no interruption of warfarin (n= 46) 	
2312	 Intervention (for PICO 12): Interrupted warfarin, heparin bridging (n = 114) 	
2313	 Comparator: interruption of warfarin without heparin bridging (n= 323) 	
2314	Outcomes: bleeding, thrombotic events, mortality	
2315	Results (no adjustment for confounders):	
2316	 Major bleeding (at 30-45 days), among all 483 patients, n=10 	
2317	• PICO 11:	
2318	 0/46 (0%) in patients who did not interrupt warfarin. 	
2319	 5/323 (1.5%) in patients who interrupted warfarin without bridging. We calculated the RR for not 	
2320	interrupting vs interrupting warfarin (without bridging): RR = 0.63 , 95% CI $0.04 - 11.15$	
2321	• PICO 12:	
2322	 5/114 (4.4%) among patients who interrupted warfarin with bridging with LMWH or enoxaparin. 	
2323	 5/323 (1.5%) in patients who interrupted warfarin without bridging. We calculated the RR for 	
2324	interrupting warfarin with bridging vs interrupting warfarin without bridging: RR = 2.83, 95% CI 0.84	
2325	9.6	
2326	 Major bleeding, among 161 who had colonoscopic polypectomy, 6/161= 3.7%. Of these, 2 patients held warfarin 	
2327	(without bridging) and 4 patients were bridged with LMWH or enoxaparin. However, denominators cannot be calculate	
2328	(how many of the 161 patients had held warfarin, and how many had been bridged; we only know the denominators for	
2329	the total study population).	
2330	• Thrombotic events, n=1 (fatal stroke). However, it was not clear which group this patient belonged to; therefore, no	

comparative results can be calculated.

2332	 Deaths, n=11 (timing ranged from 7 days to 8 months post procedure). However, it was not clear which group thes
2333	patients belonged to; therefore, no comparative results can be calculated.
2334	Notes regarding risk of bias:
2335	 Six of the patients received pre-procedure vitamin K, unclear how many in each group
2336	• The decision to interrupt or continue warfarin could have been influenced by the strength of indication for endosco
2337	and endoscopic interventions, whereas the decision to scope could have been influenced by the comfort level of the
2338	physicians in interrupting warfarin for a specific patient, and the decision to perform an intervention (and the type
2339	intervention) could have been influenced by whether warfarin had been interrupted or continued. This means that
2340	choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also influen
2341	the risk of the outcomes of interest.
2342	
2343	3. Yanagisawa et al. Post-polypectomy bleeding and thromboembolism risks associated with warfarin vs direct oral anticoagulants
2344	World Journal of Gastroenterology 2018;24:1540-1549
2345	
2346	Design: retrospective cohort study
2347	 PICO 11, PICO 12, PICO 13
2348	 Population: patients on warfarin on DOACs who underwent colonoscopic polypectomy at a center in Japan. 145 patients or
2349	warfarin
2350	 After polypectomy, patients routinely underwent prophylactic clipping
2351	 Apparently, all polypectomies were hot snare polypectomies: they used "(SnareMaster, Olympus Co.) [which is
2352	electrocautery snare], and electrosurgical device (ERBE ICC-350, Somo Technology Inc., Tokyo, Japan or ESG-100,
2353	Olympus Co.)".
2354	• Cohort 1: no interruption of warfarin (n=43)
2355	 Cohort 2: temporary interruption of warfarin without heparin bridging (n=19)
2356	 Cohort 3: temporary interruption of warfarin with heparin bridging (n=83)
2357	 Outcomes: bleeding (30 days), thrombotic events (timing unclear), mortality (30 days)
2358	Results (unadjusted):
2359	Bleeding
2360	No interruption of warfarin: 2/43 (4.7%)

2361 • Temporary interruption of warfarin (without heparin bridging): 0/19 (0%). For PICO 11, we calculated the RR (no 2362 interruption vs. temporary interruption of warfarin without heparin bridging): 2.27, 95% CI 0.11 – 45.20 2363 Temporary interruption of warfarin with heparin bridging: 18/83 (21.7%). For PICO 12, we calculated the RR 2364 (temporary interruption of warfarin with heparin bridging vs. temporary interruption of warfarin without 2365 heparin bridging): **8.81, 95% CI 0.55 – 140.1** • Thrombotic events 2366 2367 No interruption of warfarin: 0/43 (0%) 2368 Temporary interruption of warfarin (without heparin bridging): 1/19 (5.3%). For PICO 11, we calculated the RR 2369 (no interruption vs. temporary interruption of warfarin without heparin bridging): 0.15, 95% CI 0.01 – 3.56 2370 Temporary interruption of warfarin with heparin bridging: 1/83 (1.2%). For PICO 12, we calculated the RR (temporary interruption of warfarin with heparin bridging vs. temporary interruption of warfarin without 2371 2372 heparin bridging): 0.23, 95% CI 0.02 – 3.50 2373 2374 Mortality: no deaths in any group. Mortality for this PICO was 0% vs 0%. The RR is not meaningful. 2375 • No interruption of warfarin: 0/43 = 0% (95% CI 0% - 7%) Temporary interruption of warfarin (without heparin bridging): 0/19 = 0% (95% CI 0% - 15.8%) 2376 2377 Temporary interruption of warfarin with heparin bridging: 0/83 (95% CI 0% - 3.6%) 2378 Notes regarding risk of bias: the decision to interrupt or continue warfarin or use bridging could have been influenced by the 2379 strength of indication for endoscopy and polypectomy, whereas the decision to scope could have been influenced by the 2380 comfort level of the physicians in interrupting warfarin for a specific patient, and the decision to perform polypectomy (and the 2381 type of polypectomy) could have been influenced by whether warfarin had been interrupted or continued. This means that the 2382 choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also influenced the risk 2383 of the outcomes of interest. 2384 2385

Cohort studies without the comparator cohort needed for this PICO

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1. Horiuchi et al. Removal of small colorectal polyps in anticoagulated patients: a prospective randomized comparison of cold snare and conventional polypectomy. Gastrointest Endosc 2014;79:417-23

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- Design: this is an RCT that compared cold vs hot polypectomy for removal of colorectal polyps up to 10 mm in patients on
 warfarin, which was not interrupted in a center in Japan. For this PICO this paper provides cohort-type data on the risk of postpolypectomy bleeding when warfarin is not interrupted.
 - However, it is difficult to identify study sub-population with direct and unbiased results. The cold polypectomy group is the best choice, but is still indirect and biased. See below
- No comparator (patients on temporary interruption of warfarin were excluded from the study)
- Results:
 - Immediate bleeding (>30 sec): 2/35 (5.7%) with cold polypectomy vs. 8/35 (23%) with hot polypectomy
 - Delayed bleeding (within 14 days): 0/35 (0%, 95% Cl 0% 8.7%) with cold polypectomy vs. 5/35 (14%) with hot polypectomy
- Notes of risk of bias
 - The RCT (comparison of cold vs hot polypectomy) was of high risk of bias, because of lack of blinding of the endoscopist (performance bias for both outcomes and ascertainment bias for immediate bleeding), lack of blinding of assessors of delayed bleeding (ascertainment bias), lack of concealment of allocation (selection bias) for a proportion of the patients (with fixed block size of 4, and unblinded endoscopists, it was easy to predict the allocation of the last 1-2 patients in each block). Therefore, the results of each of the two groups could be biased.
 - The results on immediate bleeding and histology (injured arteries) may be indicators of the above-mentioned biases:
 - a. Other studies (although they had not included exclusively patients on warfarin) have consistently shown that immediate bleeding is more frequent with CSP compared to hot snare polypectomy. This study showed inverse results.
 - b. The presence of histologically demonstrated injured arteries in the submucosal layer with cold snare was significantly less than with conventional snare (22% vs 39%, P = 0.023). This is unlikely to be caused by the choice of snare, most likely reflecting selection bias or chance (favoring CSP)
 - As cohort study, there is serious selection bias (as expected when one of the arms of an RCT is used as cohort study, further exaggerated here because there was no flow diagram for participants: it is not known how many patients were excluded because they had interrupted warfarin or because of other reasons)

- 2. Arimoto J et al. Safety of Cold Snare Polypectomy in Patients Receiving Treatment with Antithrombotic Agents. Digestive diseases and sciences. 2019.
 - Design: The main study was a retrospective cohort study on 501 patients who underwent CSP (Cold Snare Polypectomy) for polyps up to 10 mm at a center in Japan. For this PICO, we extracted data from the cohort of patients on <u>uninterrupted warfarin</u> (the number of patients is unclear; the number of **polypectomies** in patients on warfarin is 23). In this center no antithrombotics were discontinued for CSP.
 - The comparator (patients to have not been taking any antithrombotics) is not the comparator required for this PICO. Therefore, this study is included as a **cohort study without comparator**
 - Results (unclear results per patient- reported results per polypectomy (more than one polypectomy per patient)):
 - <u>Delayed bleeding: 0/23 (0%) polypectomies</u> among for patients on uninterrupted warfarin (in fact it was 0% for the whole study population)
 - Immediate bleeding: 4/23 (17.4%) polypectomies among for patients on uninterrupted warfarin

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• Note: clipping was applied for "immediate bleeding" (clipping was applied in 13.9% of polypectomies in patients on uninterrupted antithrombotics (the results for patients on warfarin were not reported)

Risk of bias assessi	ment of Cohort	studies					
Study	Valid methods to ascertain exposure (here, exposure vs non-exposure is the difference in antithrombotic management between the intervention and the comparator for this PICO)	Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Ara. Dig End 2015 ¹	OK	No, the two cohorts were not similar for prognostic factors	OK	ОК	ОК	ОК	P: patients who had upper GI endoscopic biopsy DELAYED BLEEDING (30 days): • Continuous warfarin treatment:

		for bleeding: confounding was favoring the non- interruption group. No adjustment.					0/92 = 0% (0% - 3.3%) • interrupted warfarin: 0/19 = 0% (0% - 15.8%) • RR is not meaningful
Gerson. GIE 2010 ²	ОК	No, the two cohorts were not similar for prognostic factors for the outcomes of interest. No adjustment	OK	ОК	Unclear if follow up was complete. Unclear if no- response was non- differential among the two cohorts	OK	P: patients who had various GI procedures DELAYED BLEEDING (30-45 days) with continuous warfarin RR 0.63 (0.04 – 11.15) • Continuous: 0/46 = 0% (0% - 6.5%) • Interrupted: 5/323 (1.5%)
Yanagisawa. WJG 2018 ³	OK	No, the two cohorts were not similar for prognostic factors for the outcomes of interest. No adjustment for the comparison of interest for this PICO (whereas, other analyses were adjusted)	OK	ОК	OK	OK	P: patients who had hot snare colonic polypectomy DELAYED BLEEDING (30 days) with continuous warfarin: RR 2.27 (0.11 - 45.20) • Continuous warfarin: 2/43 = 4.7% (0% - 16.7%) • Interrupted: 0/19 (0%) THROMBOTIC EVENTS (timing unclear, likely 30 days), with continuous warfarin: RR 0.15 (0.006 – 3.56) • Continuous: 0/43 (0%) • Interrupted: 1/19 (5.3%) DEATHS (30 days; RR not meaningful) • Continuous: 0/43 = 0% (0% - 7%) • Interrupted: 0/19 = 0% (0% - 15.8%)
Horiuchi. GIE 2014	OK	Very serious risk of bias: no comparator (only patients who continued warfarin treatment were included)	OK	Very serious risk of bias: no comparator (only patients who continued warfarin treatment were included)	Very serious risk of bias: no comparator (only patients who continued warfarin treatment were included)		- Cohort type data (without the comparator that is required for this PICO) extracted from an RCT at high risk of bias Bias is further exaggerated when only the cold polypectomy group is considered (see notes in the description of the study) - Provides estimates for outcomes for the continuous warfarin cohort P: patients who had colonic polypectomy (≤ 10 mm) - We used the results for the cold snare cohort, the least indirect results (most

						applicable, given that nowadays such polyps (i.e., up to 10 mm) are usually removed with cold snare), instead of the results for both cohorts (cold and hot snare) pooled together. DELAYED BLEEDING (within 14 days): • Continuous warfarin, cold snare: 0/35 = 0% (0% - 8.7%)
Arimoto. DDS 2019 5	OK	Very serious risk of bias: no comparator of interest	ОК	Very serious risk of bias: no comparator of interest	Very serious risk of bias: no comparator of interest	- Cohort type data (without the comparator that is required for this PICO) extracted from a wider cohort study P: patients undergoing cold snare colonic polypectomy (≤ 10 mm) DELAYED BLEEDING (within 14 days): • Continuous warfarin, cold snare: 0/23 = 0% (0% - 13%). The denominator (n=23) is the number of polypectomies (each patient could have had 1 or more polypectomies)
Low risk of bias						

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

2448 Evidence profile for PICO 11

No interruption	n of warfarir	ı vs. temporaı	y interruptior	1											
Cartainty Assassment								Summary of Findings							
	Certainty Assessment								articipants	Ef	fect				
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Continuo us warfarin	Interrupt ed warfarin	Relative (95% CI)	Absolute (95% CI)	Comments			
Bleeding within 3	l O days (critical o	utcome)													
3 cohort studies with control cohort ¹⁻³	Serious ^a	Not serious ^b	Serious ^c	Very serious ^d	None	⊕⊝⊝⊝ VERY LOW		Not estimabl e ^e	Not timabl estimabl e^e $e^$		If continuous warfarin arms from all 5 studies are pooled:				
2 cohort studies without control cohort 4,5	Very serious ^f	Not serious	Serious ^{c, g}	Very serious ^h	None	⊕⊖⊝ VERY LOW		0/58 (0%, 95% CI 0%-5.2%)	-	-	-	0/239 events (95% CI 0% to 12.5%)			
Thrombotic event	t s within 30 days	(critical outcome)		1			,	1						
1 cohort study ³	Serious ^a	Not applicable	Not serious	Very serious	None	⊕⊝⊝ VERY LOW	⊕⊖⊝ VERY LOW	0/43 (0%)	1/19 (5.3%)	RR 0.15 (0.006- 3.56)	Risk with interrupted warfarin: 53 events per 1,000. With continuous warfarin: 45 fewer per 1,000 (from 53 fewer to 137 more)				
Mortality within 3	 30 days (importa	nt outcome, but r	ot critical for dec	ision making)											
1 cohort study ³	Serious ^a	Not applicable	Not serious	Very serious i	None	⊕⊝⊝⊝ VERY LOW		0/43 (0%, 95% CI 0% - 7%)	0/19 (0%, 95% CI 0% - 15.8%)	-	-				

2450	Footnotes:
2451 2452	^a Serious risk of bias, mainly because prognostic factors (other than exposure of interest) were not similar and not adjusted among the two cohorts (see risk of bias table, above)
2453	b Inconsistency between Gerson GIE 2010 and Yanagisawa WJG 2018, but this could be explained by the differences in population
2454 2455 2456 2457 2458 2459	c No study assessed outcomes in patients who were prospectively (i.e. at the time of procedure scheduling) instructed to continue vs. interrupt warfarin treatment, which is how decisions have to be made in clinical practice: before the endoscopic procedure, usually without knowledge of whether a diagnostic or therapeutic intervention will be required – and for many patients no intervention will be required. The populations of the included studies were different, in that the participants were the ones who had an intervention (e.g. biopsy or polypectomy); these studies excluded those who had the endoscopic procedure but who did not need an intervention and those who needed an intervention but the endoscopist deferred, or called off the intervention due to anticoagulation status.
2460	Also, large variability in the study populations (i.e. the endoscopic procedures the patients were undergoing: from simple biopsies to polypectomy to ERCP).
2461 2462	d Sample sizes were very small and events were very few (2 vs 6). Very fragile results with very wide confidence intervals compatible with both large benefit and large harm
2463	^e Included studies were too heterogeneous to be pooled via meta-analysis
2464	f Very serious risk of bias due to lack of comparator cohort
2465	g The outcome was measured at 2 weeks
2466	h Very small sample sizes (n=58 in total). Zero events overall.
2467 2468	ⁱ Very small sample size (n=62 in total). Only 1 event in total. Very fragile results with very wide confidence intervals compatible with both large benefit and large harm
2469	^j Very small sample size (n=62 in total). Zero events overall.
2470	
2471 2472	Evidence to Decision Table
2473	11. Continuous anticoagulation with Warfarin vs. temporary interruption
2474	P: Patient on Warfarin (undergoing elective/planned endoscopic procedures)
2475 2476	I: Continuous anticoagulation C: Temporary interruption of warfarin X up to 7 days
,0	or remporary interruption or warraring to 7 days

O: CRITICAL: Bleeding within 30 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small Moderate o Large o Varies o Don't know	See Evidence Profile Table The <u>desirable</u> anticipated effect with continued warfarin (compared to interrupted warfarin) are • reduced thromboembolic events (critical outcome): 45 fewer per 1,000 patients (from 53 fewer to 137 more) It is not possible to estimate the direction (let alone the magnitude) of the effect	We have don't conducted a formal literature search for non-GI ambulatory procedures. The guideline panel noted that of all the studies on non-GI procedures, the literature on cardiac device procedures provides the best indirect evidence in estimating bleeding/thrombotic risks for this PICO: it suggests that continued vs interrupted warfarin does not substantially impact
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial o Varies Don't know	uninterrupted warfarin (compared to interrupted warfarin) on bleeding (critical outcome) or mortality Our best estimate of the bleeding incidence with continued warfarin has an upper bound of 95% CI of 12.5%	bleeding or thrombosis risks; this has lent support to continuing warfarin for most "standard" cardiac device procedures. The panel acknowledged our inability to estimate bleeding risk for GI procedures: the bleeding risk is unknown and is a moving target due to heterogeneity in patient populations and type of procedures. The is no evidence for advanced procedures with high baseline risk of bleeding. Also, there is a difference in the consequences of the bleed between luminal and extra-luminal GI procedures. It was also noted that the incremental risk of bleeding with continued warfarin is unknown (the baseline risk may not be as relevant as the incremental risk of bleeding)

Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table. The certainty of the evidence from studies in patients undergoing GI endoscopic procedures is very low.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability O Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1. Review of the evidence of the value (disutility) that patients place on the outcomes of GI bleeding and thromboembolism, revealed both important uncertainty (due to limitations of the research and indirectness: different populations, less evidence on thromboembolism other than stroke) and important variability in patient values within each study. However, in general, patients placed more weight (more disutility) on stroke rather than GI bleeding (unless they had just had a GI bleed)	These are patients who have not bled yet. So, thrombosis has even higher disutility value (weight) than GI bleeding.
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison Probably favors the intervention (continuous anticoagulation) O Favors the intervention O Varies O Don't know		
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs O Negligible costs and savings Moderate savings Large savings O Large solvings O Varies O Don't know	More costly to interrupt than to continue. Cost of the process of restarting/lab tests/consultation etc	

Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low Moderate o High o No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies		
Acceptability	Is the intervention acceptable to key stakeholders? O No O Probably no O Probably yes Yes O Varies O Don't know	Continuing warfarin in acceptance to patients and HCP (it is inconvenient to stop and restart warfarin)	
Feasibility	Is the intervention feasible to implement? O No O Probably no O Probably yes Yes O Varies O Don't know		

Conclusions

PICO: 11. For patients on warfarin who are undergoing elective/planned endoscopic GI procedures, should warfarin be continued or temporarily interrupted for up to 7 days?

O: CRITICAL: Bleeding within 30 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention (continuous warfarin) 6/6 votes: 100%	Strong recommendation for the intervention
	0	0	0	•	0
Recommendation				c GI procedures, we suggest that w I recommendation, very low certai	
Justification					
Subgroup considerations	The (anticipated) type See grit in main-text	•	oaseline risk of thromboen	nbolism will influence the recommo	endation.
Implementation considerations					
Monitoring and	Quality indicators: Di	d the physician talk to th	e patient or elicit the condi	tions under which the intervention	should be used?
evaluation	Was this discussion a	nd setting documented?			
Research priorities					

References for PICO 11

- 1. Ara et al. Prospective analysis of risk for bleeding after endoscopic biopsy without cessation of antithrombotics in Japan. Digestive Endoscopy 2015; 27:458-464
- 2. Gerson et al. Adverse events associated with anticoagulation therapy in the periendoscopic period. Gastrointestinal Endoscopy 2010;71:1211-7.
- 3. Yanagisawa et al. Post-polypectomy bleeding and thromboembolism risks associated with warfarin vs direct oral anticoagulants. World Journal of Gastroenterology 2018;24:1540-1549

2497 2498	4. Horiuchi et al. Removal of small colorectal polyps in anticoagulated patients: a prospective randomized comparison of cold snare and conventional						
2498 2499	polypectomy. Gastrointest Endosc 2014;79:417-23 5. Arimoto J et al. Safety of Cold Snare Polypectomy in Patients Receiving Treatment with Antithrombotic Agents. Digestive diseases and sciences.						
2500	2019;64:3247–3255						
2501							
2502							
2503	12. Bridging anticoagulation for patients on warfarin						
2504	12. Bridging anticoagulation for patients on warfarin						
2505	P: Patients on warfarin whose warfarin is held peri-operatively						
2506	I: Use of peri-procedural low-molecular heparin or IV heparin (i.e., bridging anticoagulation)						
2507	C: No heparin/low-molecular weight heparin bridge						
2508	O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep						
2509	vein thrombosis, pulmonary embolus)						
2510	IMPORTANT, BUT NOT CRITICAL: mortality within 30 days						
2511							
2512	RCTs						
2513	• Douketis JD, et al. Perioperative bridging anticoagulation in patients with atrial fibrillation. N Engl J Med 2015;373:823-33						
2514	 RCT: bridging (LMWH) vs placebo in perioperative discontinuation of warfarin 						
2515	Double-blind study						
2516	 Arterial thromboembolism: very serious imprecision (high fragility, due to small number of events: 3 vs. 4 events with bridging 						
2517	vs no bridging)						
2518	 The results for minor bleeding (secondary outcome) are at the same direction as the results for major bleeding 						
2519	• Indirectness issues						
2520	 There isn't indirectness for the outcome of arterial thromboembolism 						
2521	 The indirectness affects the outcome of bleeding 						
2522	• <u>Did not report separate results</u> for patients who underwent GI procedures (n= 758, i.e. 44.0%) or separate results for the						
2523	outcome of GI bleeding, in the main article or the suppl appendix.						

• The vast majority (n= 748, i.e. 98.7%) of the GI procedures were "minor or low-bleeding risk procedures" (described as
"GI endoscopy with or without biopsy" = 748). There were 10 major GI procedures (described as "e.g., colonic polyp
resection", but the size of polyps is not known), i.e. 1.3% of the GI procedures.
Of note, another large RCT (Kovacs MJ et al. Double Blind Randomized Control Trial of Postoperative Low Molecular Weight Heparin Bridging
Therapy for Patients Who Are at High Risk for Arterial Thromboembolism (PERIOP 2). Blood (2018) 132 (Supplement 1): 424
https://doi.org/10.1182/blood-2018-99-109964) has only been available as an abstract publication, and as such it was not included in the
evidence profile. Nevertheless, this study showed results similar to Douketis NEJM 2015.
Takeuchi et al. Continuous Anticoagulation and Cold Snare Polypectomy Versus Heparin Bridging and Hot Snare Polypectomy in
Patients on Anticoagulants with Subcentimeter Polyps. Ann Intern Med 2019; 171:229-237
Population : N = 184 patients on anticoagulant therapy (warfarin or DOACs) requiring polypectomy for least 1 nonpedunculated
subcentimeter colorectal polyp. Undergoing hot-snare polypectomy (HSP) or cold-snare polypectomy (CSP).
Intervention: $n = 90$ patients: interruption of anticoagulation with heparin bridging (HB) <u>plus</u> hot snare polypectomy (HSP)
Comparator : $n = 92$ patients: continuous anticoagulation (CA) <u>plus</u> cold snare polypectomy (CSP)
Outcome: Polypectomy-related major bleeding (did <u>not</u> assess thromboembolic events)
Results: Polypectomy-related major bleeding occurred in 12% (n=10) in the HB+HSP group and 4.7% (n=4) in the CA+CSP group
Comments:
Sub-centimeter polyp resection during colonoscopy: 100%
Not blinded
 Provided separate results for warfarin and DOAC users for polypectomy-related major bleeding
Did not assess thromboembolic events
• Indirectness issues
• The comparator (continuous anticoagulation) is different from the comparator required for this PICO question
(interrupted anticoagulation without bridging): therefore, the study is included in a separate Evidence Profile
 The polypectomy technique was <u>different</u> among the two arms

- The study population is unusual. Patients "had at least 1 sub centimeter nonpedunculated polyp detected during colonoscopy in the past 3.5 years". The investigators had to know this information several days prior to the polypectomy. This means that the patients had previous colonoscopies during which the polyps were simply observed and documented without any action taken for up to 3.5 years. No explanation was provided for this unusual practice. Only a small proportion of patients (5 patients, 2.7%) were excluded post-randomization because the study colonoscopy showed different findings that the previous colonoscopy.
- Of note https://ashpublications.org/blood/article/132/Supplement%201/424/275575/Double-Blind-Randomized-Control-Trial-of

Risk of bias ass	Risk of bias assessment of RCTs											
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments					
Douketis NEJM 2015 ¹	OK	ОК	OK (double- blind)	OK	OK	OK						
Takeuchi AIM 2019 ²	OK	Unclear	Not blinded	OK	Did not report thromboembolic events	OK						

Low risk of bias
Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

Cohort studies with the comparator cohort needed for this PICO

- 2. Gerson et al. Adverse events associated with anticoagulation therapy in the periendoscopic period. Gastrointestinal Endoscopy 2010
 - Design: prospective cohort study (outcomes assessed by telephone questionnaire)
 - Population: Consecutive patients (n=483) on warfarin who underwent GI endoscopic procedures at 13 US sites, 2004-2006
 - Indirectness: several types of GI endoscopic procedures were included and pooled together. Colonoscopy = 347 (72%); Colonoscopic "polypectomy (snare, hot or cold biopsy)" = 161.

2569	 Intervention (for PICO 11): no interruption of warfarin (n= 46)
2570	 Intervention (for PICO 12): Interrupted warfarin, heparin bridging (n = 114)
2571	 Comparator: interruption of warfarin without heparin bridging (n= 323)
2572	Outcomes: bleeding, thrombotic events, mortality
2573	Results (no adjustment for confounders):
2574	 Major bleeding (at 30-45 days), among all 483 patients, n=10
2575	• PICO 11:
2576	• 0/46 (0%) in patients who did <u>not</u> interrupt warfarin.
2577	• 5/323 (1.5%) in patients who interrupted warfarin without bridging. We calculated the RR for not
2578	interrupting vs interrupting warfarin (without bridging): RR = 0.63 , 95% CI $0.04 - 11.15$
2579	• PICO 12:
2580	• 5/114 (4.4%) among patients who interrupted warfarin with bridging with LMWH or enoxaparin.
2581	• 5/323 (1.5%) in patients who interrupted warfarin without bridging. We calculated the RR for
2582	interrupting warfarin with bridging vs interrupting warfarin without bridging: RR = 2.83, 95% CI 0.84 -
2583	9.6
2584	 Major bleeding, among 161 who had colonoscopic polypectomy, 6/161= 3.7%. Of these, 2 patients held warfarin
2585	(without bridging) and 4 patients were bridged with LMWH or enoxaparin. However, denominators cannot be calculated
2586	(how many of the 161 patients had held warfarin, and how many had been bridged; we only know the denominators for
2587	the total study population.
2588	• Thrombotic events, n=1 (fatal stroke). However, it was not clear which group this patient belonged to; therefore, no
2589	comparative results can be calculated.
2590	• Deaths, n=11 (timing ranged from 7 days to 8 months post procedure). However, it was not clear which group these
2591	patients belonged to; therefore, no comparative results can be calculated.
2592	Notes regarding risk of bias:
2593	 Six of the patients received pre-procedure vitamin K, unclear how many in each group
2594	• The decision to interrupt or continue warfarin could have been influenced by the strength of indication for endoscopy
2595	and endoscopic interventions, whereas the decision to scope could have been influenced by the comfort level of the
2596	physicians in interrupting warfarin for a specific patient, and the decision to perform an intervention (and the type of

2597 2598 2599	intervention) could have been influenced by whether warfarin had been interrupted or continued. This means that the choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also influenced the risk of the outcomes of interest.
2600	
2601	3. Yanagisawa et al. Post-polypectomy bleeding and thromboembolism risks associated with warfarin vs direct oral anticoagulants.
2602	World Journal of Gastroenterology. 24 (14) (pp 1540-1549), 2018.
2603	Design: retrospective cohort study
2604	 PICO 11, PICO 12, PICO 13
2605	 Population: patients on warfarin on DOACs who underwent colonoscopic polypectomy at a center in Japan. 145 patients on
2606	warfarin
2607	 After polypectomy, patients routinely underwent prophylactic clipping
2608	 Apparently, all polypectomies were hot snare polypectomies: they used "(SnareMaster, Olympus Co.) [which is
2609	electrocautery snare], and electrosurgical device (ERBE ICC-350, Somo Technology Inc., Tokyo, Japan or ESG-100,
2610	Olympus Co.)".
2611	Cohort 1: no interruption of warfarin (n=43)
2612	 Cohort 2: temporary interruption of warfarin without heparin bridging (n=19)
2613	 Cohort 3: temporary interruption of warfarin with heparin bridging (n=83)
2614	 Outcomes: bleeding (30 days), thrombotic events (timing unclear), mortality (30 days)
2615	Results (unadjusted):
2616	Bleeding
2617	 No interruption of warfarin: 2/43 (4.7%)
2618	 Temporary interruption of warfarin (without heparin bridging): 0/19 (0%). For PICO 11, we calculated the RR (not
2619	interruption vs. temporary interruption of warfarin without heparin bridging): 2.27, 95% CI 0.11 – 45.20
2620	 Temporary interruption of warfarin with heparin bridging: 18/83 (21.7%). For PICO 12, we calculated the RR
2621	(temporary interruption of warfarin with heparin bridging vs. temporary interruption of warfarin without
2622	heparin bridging): 8.81, 95% CI 0.55 – 140.1
2623	Thrombotic events
2624	 No interruption of warfarin: 0/43 (0%)

2625	 Temporary interruption of warfarin (without heparin bridging): 1/19 (5.3%). For PICO 11, we calculated the RR
2626	(no interruption vs. temporary interruption of warfarin without heparin bridging): 0.15 , 95% CI $0.01-3.56$
2627	 Temporary interruption of warfarin with heparin bridging: 1/83 (1.2%). For PICO 12, we calculated the RR
2628	(temporary interruption of warfarin with heparin bridging vs. temporary interruption of warfarin without
2629	heparin bridging): 0.23, 95% CI 0.02 – 3.50
2630	
2631	 Mortality: no deaths in any group. Mortality for this PICO was 0% vs 0%. The RR is not meaningful.
2632	 No interruption of warfarin: 0/43 = 0% (95% CI 0% - 7%)
2633	 Temporary interruption of warfarin (without heparin bridging): 0/19 = 0% (95% CI 0% - 15.8%)
2634	 Temporary interruption of warfarin with heparin bridging: 0/83 (95% CI 0% - 3.6%)
2635	Notes regarding risk of bias: the decision to interrupt or continue warfarin or use bridging could have been influenced by the
2636	strength of indication for endoscopy and polypectomy, whereas the decision to scope could have been influenced by the
2637	comfort level of the physicians in interrupting warfarin for a specific patient, and the decision to perform polypectomy (and the
2638	type of polypectomy) could have been influenced by whether warfarin had been interrupted or continued. This means that the
2639	choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also influenced the risk
2640	of the outcomes of interest.
2641	
2642	4. Nagata N et al. Therapeutic endoscopy-related GI bleeding and thromboembolic events in patients using warfarin or direct oral
2643	anticoagulants: Results from a large nationwide database analysis. Gut 2018;67(10):1805-1812
2644	
2645	Design: retrospective cohort
2646	Population: N = 10,092 patients on warfarin or DOACs who underwent 13 types of high-risk endoscopic procedures Not applicable to a second but it as a second bu
2647 2648	 Not explicitly mentioned, but it seems that there were only 2 options: either bridging or temporary interruption; no patient remained on oral anticoagulants in the peri-procedural period
2649	Used unfractionated heparin for bridging
2650	 For the bridging group the typical protocol was provided, but the protocol for temporary interruption and assumption was not
2651	described
2652	 Propensity score matching for various factors (age category, sex, BMI category, 13 comorbidities, annual hospital volume for
2653	therapeutic endoscopy, 7 types of drugs used and 13 types of endoscopic procedures). Not matched for CHADS ₂ score. This

means that the choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also influenced the risk of the outcomes of interest.

- Indirectness concern: about 25% of the study population had endoscopy so as to have emergency endoscopic hemostasis (endoscopic variceal ligation, endoscopic injection sclerotherapy, upper GI hemostasis, lower GI hemostasis). These patients (who contributed disproportionally to the post-endoscopic events) are different from the population of this PICO question, because they could have post-endoscopic bleeding because of unsuccessful hemostasis, not because of the endoscopic intervention.
- The comparison of interest for this PICO question (temporary interruption of warfarin with heparin bridging vs. temporary interruption of warfarin without heparin bridging) was not assessed in this paper. All interventions were compared to "DOACs alone" which was the reference for all analyses (see Table 4 from this paper). We are able to calculate the odds ratios (ORs) of interest, but the 95% CIs cannot be easily estimated.

o Bleeding: OR 1.48

o Thromboembolism: OR 1.94

o Death: OR 1.42

5. Inoue T et al. Clinical features of post-polypectomy bleeding associated with heparin bridge therapy. Digestive Endoscopy. 26 (2) (pp 243-249), 2014.

Design: retrospective cohort study

Population: patients on anticoagulants undergoing colonoscopic polypectomy. 45 patients had been on warfarin

- Prophylactic clipping was made by each primary doctor individually to prevent PPB
- Hot snare polypectomy

Intervention: Interruption of warfarin with heparin bridging (unfractionated heparin continuous administration in-hospital), n=

Comparator: Interruption of warfarin without heparin bridging, n= 13

Outcome: post-polypectomy bleed (by 30 days). The outcomes of interest as only available as unadjusted results (other analyses were adjusted, but not these). This means that the choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also influenced the risk of the outcomes of interest.

- Interruption of warfarin with heparin bridging: 8/33 (24.2%)
- Interruption of warfarin without heparin bridging: 1/13 (7.7%)

 We calculated the RR (temporary interruption of warfarin with heparin bridging vs. temporary interruption of warfarin without heparin bridging): 3.15, 95% CI 0.44 -22.77

Our meta-analysis of cohort studies

Three of the above cohort studies (on GI procedures) could be pooled via meta-analysis for the outcome of bleeding. Nagata et al could not be pooled because neither the raw data, nor the 95% CI could be extracted or calculated. For the outcomes of thromboembolic events and death only one study reported granular data

Delayed bleeding:

Experimental		Conti	rol		Risk Ratio	Risk Ratio	
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Gerson 2010	5	114	5	323	63.4%	2.83 [0.84, 9.61]	
Inoue 2014	8	33	1	13	24.2%	3.15 [0.44, 22.76]	- •
Yanagisawa 2018	18	83	0	19	12.4%	8.81 [0.55, 140.08]	-
Total (95% CI)		230		355	100.0%	3.34 [1.26, 8.85]	•
Total events	31		6				
Heterogeneity: $Tau^2 = 0.00$; $Chi^2 = 0.65$, $df = 2$ $(P = 0.72)$; $I^2 = 0\%$							1 1 1
Test for overall effect	: Z= 2.43 (I	P = 0.01)				0.005 0.1 1 10 20 Favours Bridging Favours No Bridging

Risk of bias assess	ment of Cohort	studies					
Study	Valid methods to ascertain exposure (here, Exposure vs non-exposure is the difference in antithrombotic management between the intervention and the comparator for this PICO)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstration that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Comments
Gerson. GIE 2010 ³	ОК	No, the two cohorts were not similar for prognostic factors for the outcomes of interest. No adjustment.	ОК	ОК	Unclear if follow up was complete. Unclear if no- response was non-differential among the two cohorts	OK	P: patients who had various GI procedures DELAYED BLEEDING (30-45 days) Interrupted warfarin plus bridging: 5/114 (4.4%) Interrupted warfarin without bridging: 5/323 (1.5%) RR 2.83, 95% CI 0.84 - 9.6
Yanagisawa. WJG 2018 ⁴	OK	No, the two cohorts were not similar for prognostic factors for the outcomes of interest. No adjustment for the comparison of interest for this PICO (whereas, other analyses were adjusted)	ОК	ОК	OK	OK	P: patients who had hot snare colonic polypectomy DELAYED BLEEDING (30 days) • Interrupted warfarin plus bridging: 18/83 (21.7%) • Interrupted warfarin without bridging: 0/19 (0%) RR 8.81, 95% CI 0.55-140.1 THROMBOTIC EVENTS (timing unclear, likely 30 days) • Interrupted warfarin plus bridging: 1/83 (1.2%)

							• Interrupted warfarin without bridging: 1/19 (5.3%) RR 0.23, 95% CI 0.02-3.50 DEATHS (30 days; RR not meaningful) • Interrupted warfarin plus bridging: 0/83 (0%; 95% CI 0% - 3.6%) • Interrupted warfarin without bridging: 0/19 (0%; 95% CI 0% - 15.8%)
Nagata Gut 2018 ⁵	ОК	Incomplete adjustment. Propensity score matching for various factors, but not matched for CHADS2 score.	High risk of bias for the outcome of bleeding, because for 25% of the patients the outcome of interest was present at the start of the study (i.e. they were bleeding before the endoscopy)	ОК	ОК	ОК	P: patients on anticoagulants who underwent high-risk endoscopic procedures DELAYED BLEEDING (30 d) Interrupted warfarin plus bridging vs. interrupted warfarin without bridging: OR 1.48 (95% CI and absolute risk could not be estimated) THROMBOEMBOLISM (30 d) OR 1.94 Deaths (in-hospital) OR 1.42
Inoue DE 2014 ⁶ Low risk of bias	ОК	No, the two cohorts were not similar for prognostic factors for the outcomes of interest. No adjustment for the comparison of interest for this PICO (whereas, other analyses were adjusted)	ОК	OK	ОК	ОК	P: patients who had hot snare colonic polypectomy DELAYED BLEEDING (30 days) • Interrupted warfarin plus bridging: 8/33 (24.2%) • Interrupted warfarin without bridging: 1/13 (7.7%) RR 3.15, 95% CI 0.44-22.77

Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e. if one domain has unclear risk of bias, the study has unclear risk of bias overall. If one domain has high risk of bias, the study has high risk of bias overall.

Notes about the above tool: Modified Newcastle-Ottawa Scale (NOS). For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

2737 Evidence profile for PICO 12

Interrupted w	Interrupted warfarin with heparin bridging vs. interrupted warfarin without heparin bridging											
			Containty Assa					Summary of Findings				
		•	Certainty Asse	ssment				Events / pa	articipants	Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Interrupt ed warfarin and heparin bridging	Interrupt ed warfarin without heparin bridging	Relative (95% CI)	Absolute (95% CI)	Comments
Bleeding within 3	0 days (critical or	utcome)						0 0	0 0			
1 RCT (Douketis NEJM 2015) ¹	Not serious	Not applicable	Serious ^a	Serious ^b	None	⊕⊕⊝⊝ LOW		29/895	12/918	RR 2.48 (1.27 - 4.83)	Risk without heparin bridging: 13 events per 1,000. With heparin bridging: 19 more per 1,000 (from 4 more to 50 more)	
4 Cohort studies ³⁻⁶ (3 studies ^{3,4,6} could be pooled via meta- analysis)	Serious ^c	Not serious	Serious ^d	Serious ^e	None	⊕⊖⊖ VERY LOW	⊕⊕⊝⊝ Low	Meta- analysis: 31/230	Meta- analysis: 6/355	Meta-analysis: RR 3.34 (1.26 - 8.85) Nagata 2018: OR 1.48	Meta-analysis: Risk without heparin bridging: 17 events per 1,000. With heparin bridging: 40 more per 1,000 (from 5 more to 133 more)	
Thrombotic even	ts within 30 days	(critical outcome)									
1 RCT (Douketis NEJM 2015) ¹	Not serious	Not applicable	Not serious ^f	Very serious ^g	None	⊕⊕⊝⊝ LOW		3/895	4/918	RR 0.77 (0.17 - 3.43)	Risk without heparin bridging: 4 events per 1,000. With heparin bridging: 1 less per 1,000 (from 3 less to 11 more)	

2 Cohort studies ^{4,5}	Serious ^c	Not serious	Serious ^d	Very serious ^h	None	⊕⊖⊝⊝ VERY LOW		1/83 4	1/19 4	RR 0.23 (0.02-3.50) ⁴ OR 1.94 ⁵	Not calculable	
Mortality within 3	0 days (importa	nt outcome, but r	not critical for dec	ision making) –								
2 Cohort studies ^{4,5}	Springs Not springs Springs Vary Springs None							0/83 4	0/19 4	RR not meaningful ⁴ OR 1.42 ⁵	Not calculable	

Footnotes

^a Serious indirectness: No separate results for bleeding for GI procedures (44% of all procedures). Furthermore, the vast majority of GI procedures were low risk procedures such as endoscopy with/without biopsy); only 1.3% of the GI procedures may have had significant risk of bleeding (defined as "e.g., colonic polyp resection", but the size of polyps is not known; 4 procedures in the no bridging group, 6 procedures in the bridge group).

^c Serious risk of bias, mainly due to residual confounding (see table: risk of bias assessment for cohort studies)

^d Serious indirectness, because of the diversity of different GI endoscopic procedures that were included. Also, one study (Nagata 2028) included endoscopy for emergency endoscopic hemostasis.

^e Serious imprecision, due to small number of events: 31 vs. 6 events

f Reported arterial thromboembolism rates: no serious indirectness. Only 44% of the procedures were GI procedures, but this did not introduce serious indirectness. The outcome of arterial thromboembolism wouldn't have been substantially influenced by the type of procedure

^g Very serious imprecision, due to very small number of arterial thromboembolism events (3 vs. 4 events).

^h Very serious imprecision, due to very small number of thromboembolism events (1 vs. 1) in the only study ⁴ that allowed calculation of RR with 95% CI

¹ Serious indirectness, because of the diversity of different GI endoscopic procedures that were included. Also, one study (Nagata 2028) included endoscopy for emergency endoscopic hemostasis. One study (Nagata 2008) only measured in-hospital mortality.

^j Very serious imprecision, due to zero events in only study ⁴ that allowed calculation of RR with 95% CI

^b Serious imprecision, due to small number of events: 29 vs. 12 events

2766 Additional Evidence Profile for a PICO question related but different than what is required for recommendation #12

Discontinued	warfarin <u>wit</u> ł	n heparin brid	ging vs. contin	ued warfarin	(without l	neparin brid	dging)					
			autaintu Aasas					Summary of Findings				
	Certainty Assessment								rticipants	Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Interrupted warfarin and heparin bridging	Relative (95% CI)	Absolute (95% CI)	Comments	
Bleeding within 30	O days (critical or	utcome)										
1 RCT (Takeuchi 2019) ²	Serious ^a	Not applicable	Very Serious _b	Very serious ^c	None	⊕⊖⊝ VERY LOW	⊕⊝⊝ VERY LOW	3/25 [if DOACs users are included as well: 10/83]	0/30 [if DOACs users are included as well: 5/85]	RR 8.3 (0.5 -154.3) [if DOACs users are included as well: RR 2.0 (0.7-5.7)]	Risk with continued warfarin: 0 events per 1,000. With heparin bridging: 120 more per 1,000 (from 0 fewer to 880 more)	
Thrombotic event	s within 30 days	(critical outcome)								,	
No studies ^d	-	-	-	-	-	-		-	-	-	-	
Mortality within 3	0 days (importa	nt outcome, but n	ot critical for dec	ision making) –								
No studies ^e	÷	-	-	÷	-	-		-	-	-	-	

^a Serious risk of bias due to a lack of blinding.

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^b Very serious indirectness. Even if patients on DOACs are excluded, there was indirectness of intervention as a different technique of polypectomy was used amongst the two arms.

^c Very serious imprecision due to wide confidence intervals and very few events (very serious imprecision remains even if the DOACs patients are included)

^d This RCT (Takeuchi 2019) did not specifically assess or report thromboembolic events.

^e This RCT (Takeuchi 2019) did not specifically assess or report on mortality. One patient was lost to FU, so at worst case scenario there was one death but we do not know in which arm

Evidence to Decision Table

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2788 2789 2790 12. Bridging anticoagulation for patients on warfarin

2782 P: Patients on warfarin whose warfarin is held peri-operatively

I: Use of peri-procedural low-molecular heparin or IV heparin (i.e., bridging anticoagulation)

2784 C: No heparin/low-molecular weight heparin bridge

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? Trivial Small Moderate Large Varies Don't know	See Evidence Profile Table: The <u>desirable</u> anticipated effects with interrupted warfarin with heparin bridging (vs. interrupted warfarin without heparin bridging) are - reduction of thromboembolic events (critical outcome) : 1 less per 1,000 patients	See additional considerations in PICO#11
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large Moderate o Small o Trivial o Varies o Don't know	The <u>undesirable</u> anticipated effects with interrupted warfarin with heparin bridging (vs. interrupted warfarin without heparin bridging) are: - increased delayed bleeding (critical outcome) : 19 to 40 more per 1,000 patients It is not possible to estimate the direction (let alone the magnitude) of the effect uninterrupted warfarin (compared to interrupted warfarin) on mortality	

Certainty of evidence	What is the overall certainty of the evidence of effects? ○ Very low ■ Low ○ Moderate ○ High ○ No included studies	See Evidence Profile Table. The certainty of the evidence is low.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1.	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? Favors the comparison (no heparin bridging) Probably favors the comparison Does not favor either the intervention or the comparison Probably favors the intervention Favors the intervention Varies Don't know		
Resources required	How large are the resource requirements (costs)? o Large costs Moderate costs o Negligible costs and savings o Moderate savings o Large savings o Varies o Don't know	Bridging heparin treatment cost about \$1000 in the US	

Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low Moderate o High o No included studies	
Cost effectiveness C	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies	
Acceptability	Is the intervention acceptable to key stakeholders? O NO O Probably no Probably yes O Yes O Varies O Don't know	
Feasibility	Is the intervention feasible to implement? O NO O Probably no O Probably yes Yes O Varies O Don't know	

O: CRITICAL: Bleeding within 30 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	•	0	0	0
Recommendation	For patients on warfarin, who hold warfarin in the periprocedural period for elective/planned endoscopic GI procedures, we suggest against bridging anticoagulation. (Conditional recommendation, low certainty of evidence)				
Justification					
	Practically, the two conditional recommendations (#11 and 12) mean, that in patients undergoing elective/planned end GI procedures warfarin should not be interrupted in the majority of situations, but in the minority of situations where we is held, heparin bridging rather not be used. Exceptions: Mechanical heart valves, atrial fibrillation with CHADS2 score >5, patients with prior thromboembolism durit temporary interruption of VKAs, or those patients undergoing certain types of surgery (e.g., cardiac valve replacement, endarterectomy, major vascular surgery).		ons where warfarin		
Implementation considerations					
Monitoring and evaluation	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should be used? Was this discussion and setting documented?				
Research priorities					

2803 2804	References for PICO 12		
2805 2806 2807		Douketis JD, et al. Perioperative bridging anticoagulation in patients with atrial fibrillation. N Engl J Med 2015;373:823-33 Takeuchi et al. Continuous Anticoagulation and Cold Snare Polypectomy Versus Heparin Bridging and Hot Snare Polypectomy in Patients on Anticoagulants with Subcentimeter Polyps. Ann Intern Med 2019; 171:229-237	
2808 2809	3.	Gerson et al. Adverse events associated with anticoagulation therapy in the periendoscopic period. Gastrointestinal Endoscopy 2010;71:1211-7.	
2810 2811	4.	Yanagisawa et al. Post-polypectomy bleeding and thromboembolism risks associated with warfarin vs direct oral anticoagulants. World Journal of Gastroenterology 2018;24:1540-1549	
2812 2813	5.	Nagata N et al. Therapeutic endoscopy-related GI bleeding and thromboembolic events in patients using warfarin or direct oral anticoagulants: Results from a large nationwide database analysis. Gut 2018;67(10):1805-1812	
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2821 2822	13 Cont	inuous anticoagulation with DOACS	
2823	13. Continuous anticoagulation vs. temporary interruption of DOACS		
2824	P: Patient on DOAC		
2825	I: Continuous anticoagulation:		
2826	C: Tem	emporary interruption of DOACs for 1 to 5 days	
2827 2828	O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)		
2829	IMPORTANT, BUT NOT CRITICAL: mortality within 30 days		

2830 2831 Cohort studies with the comparator cohort needed for this PICO 2832 1. Ara et al. Prospective analysis of risk for bleeding after endoscopic biopsy without cessation of antithrombotics in Japan. Digestive 2833 Endoscopy. 27 (4) (pp 458-464), 2015 2834 Design: prospective cohort study 2835 Population: Consecutive patients who underwent upper GI endoscopic biopsy at a hospital in Japan, 2011-2014 2836 • Indirectness: the "exposure" was limited to endoscopic biopsies and also limited to Upper GI endoscopy 2837 Intervention: no interruption of DOACs (dabigatran or rivaroxaban) 2838 Comparator: temporary interruption of DOACs (dabigatran or rivaroxaban): the interruption protocol is unclear 2839 Outcomes: major bleeding within 4 weeks (no results on thrombotic events or mortality) 2840 Results: • Continued DOACs: dabigatran =15; rivaroxaban =3; total = 18; events (bleeding at 30 days) = 0 (95% CI calculated with 2841 2842 the rule of 3/n for zero events (**Govani. AJG 2013; 108:1831**) is 0%- 17%) 2843 Interrupted DOACs: dabigatran =2; rivaroxaban =2; total = 4; events (bleeding at 30 days) = 0 (95% CI 0% - 75%) 2844 • RR: not meaningful • only unadjusted results are available 2845 2846 Notes regarding risk of bias: 2847 The decision to interrupt or continue warfarin was made by the "prescribing physicians" 2848 Only patients who had endoscopic biopsies taken were included in the study, i.e. it is unclear how many patients had 2849 UGI endoscopy without biopsies and how many patients had their biopsies avoided (or UGI endoscopy deferred 2850 altogether) because of their anticoagulation status 2851 Therefore, the decision to interrupt or continue warfarin could have been influenced by the strength of indication for 2852 endoscopy and endoscopic biopsies, whereas the decision to scope could have been influenced by the comfort level of 2853 the physicians in interrupting warfarin for a specific patient, and the decision to biopsy could have been influenced by 2854 whether warfarin had been interrupted or continued. 2855 • Furthermore, the number of biopsies taken per procedure, the size of biopsy forceps and treatment of the biopsy site with thrombin spray were shown to have been influenced by whether antithrombotics had been interrupted or 2856 2857 continued (no results were reported for patients on warfarin, but for the overall study population on any anticoagulant

2858	or any antiplatelet, number of biopsies per case was significantly lower in the patients who continued antithrombotic
2859	treatment (1.9 \pm 1.1 vs 2.4 \pm 1.6; P < 0.01), use of mini cup biopsy forceps (15.4% vs 3.7%; P < 0.01), and thrombin spray
2860	(19.6% vs 5.6%; $P < 0.01$) were significantly higher in the group that did not interrupt antithrombotics use than in the
2861	group that did).
2862	 Overall, the choice of peri-procedural management of anticoagulation was influenced by factors (confounders) that also
2863	influenced the risk of the outcomes of interest.
2864	
2865 2866	2. Heublein et al. Gastrointestinal endoscopy in patients receiving novel direct oral anticoagulants: results from the prospective Dresden
2867	NOAC registry. Journal of Gastroenterology. 53 (2) (pp 236-246), 2018.
2868	Prospective cohort study
2869	Included all types of procedures
2870	• 499 Scheduled procedures
2871	• 214 Unscheduled procedures (Diagnostic or therapeutic endoscopies in patients with (a) acute GI bleeding or (b) acute
2872	GI infections, sepsis, or other emergency situations
2873	 Reported (unadjusted) outcomes at 30 days (also stratified by scheduled /unscheduled procedures) for:
2874	• No preinterventional DOAC therapy interruption (n = 119);
2875	 Preinterventional DOAC therapy interruption <24 h (n = 45);
2876	 Preinterventional DOAC therapy interruption > 24 h (n = 549)
2877	Tremterventional Borte therapy interruption 2.111 (ii 3.13)
2878	For the total population: scheduled and unscheduled procedures
2879	1. 713 endoscopy procedures (44.5% EGD, 53% CY, 2.5% ERCP) – 119/713 didn't stop, 45/713 last intake <24, 336 stopped 24-48 h, 213
2880	cases stopped >48h
2881	2. Outcome: Cardiovascular events within 30days = 10 (five strokes, 3 PV thrombosis, 1 MI, 1 TIA)
2882	i. 1/119
2883	ii. 1/45
2884	iii. 8/549 CV events

2885 2886	3. Outcome: Major bleeding - overt bleeding with any of the following: transfusion of at least 2 units PRBC, drop in Hgb of 2g/l, surgery for bleeding, bleeding into critical sites, fatal bleeding		
2887	i. 0/119		
2888	ii. 1/45		
2889	iii. 4/549		
2890	4. Outcome: Non-Major Clinically Relevant Bleeding		
2891	i. 3/119		
2892	ii. 0/45		
2893	iii. 11/549		
2894	5. Outcome: Death		
2895	i. 0/119		
2896	ii. 0/45		
2897	iii. 5/549		
2898	However, the detailed extracted data (see above) are inaccurate for several reasons:		
2899	Category iii (Preinterventional NOAC therapy interruption > 24 h) contains patients who permanently discontinued DOAC		
2900	therapy (n=51), as well as an unknown number of patients who temporarily discontinued DOAC therapy for unusually large		
2901	periods, longer than 5 days (see Suppl Appendix Table S6 and S7, where this period was up to 28 days in some patients)		
2902	• Categories ii and iii, contain 180 patients who received heparin bridging therapy (in prophylactic, semitherapeutic or therapeutic		
2903	doses)		
2904	• The unscheduled procedures for acute GI bleeding should not be counted for the outcome of bleeding because it is not possible		
2905	to differentiate between bleeding that occurred because of endoscopic intervention vs. further bleeding from the primary		
2906	bleeding lesion.		
2907	• Even after reconciling all tables in the main paper and the supplement, it is impossible to obtain granular data for outcomes for		

clean categories:

2909	 patients who <u>did not</u> interrupt DOAC therapy and underwent <u>scheduled</u> endoscopic procedures
2910	 Patients who <u>interrupted</u> DOAC therapy for <u>1-5</u> days (<u>without</u> heparin bridging) and underwent <u>scheduled</u> endoscopi
2911	procedures
2912	The best data we could extract are as follows:
2913	 patients who <u>did not</u> interrupt DOAC therapy and underwent <u>scheduled</u> endoscopic procedures, n= 91
2914	 major bleeding: 0/91 (0%, 95% CI 0% - 3.3%)
2915	• CV events: 1/91 (1.1%, 95% CI 0% – 5.5%)
2916	 Patients who <u>interrupted</u> DOAC therapy for <u>1-5</u> days (<u>without</u> heparin bridging) and underwent <u>scheduled</u> endoscopi
2917	procedures, n= unknown
2918	 major bleeding: 0, denominator unknown (less than n= 298)
2919	 CV events: 3, denominator unknown (less than n= 298)
2920	We cannot calculate relative risks
2921	
2922	3. Yanagisawa et al. Post-polypectomy bleeding and thromboembolism risks associated with warfarin vs direct oral anticoagulants.
2923	World Journal of Gastroenterology. 24 (14) (pp 1540-1549), 2018.
2924	Design: retrospective cohort study
2925	 Population: patients on warfarin or DOACs who underwent colonoscopic polypectomy at a center in Japan. 73 patients on
2926	DOACs
2927	 After polypectomy, patients routinely underwent prophylactic clipping
2928	 Apparently, all polypectomies were hot snare polypectomies: they used "(SnareMaster, Olympus Co.) [which is
2929	electrocautery snare], and electrosurgical device (ERBE ICC-350, Somo Technology Inc., Tokyo, Japan or ESG-100,
2930	Olympus Co.)".
2931	 Cohort 1: no interruption of DOACs (n=50)
2932	 Cohort 2: temporary interruption of DOACs without heparin bridging (n=4)
2933	 Cohort 3: temporary interruption of DOACs with heparin bridging (n=19)
2934	 Outcomes: bleeding (30 days), thrombotic events (timing unclear), mortality (30 days)
2935	Results (unadjusted):
2936	• Bleeding
2937	 No interruption of DOACs: 8/50 (16%)

- 2938 Temporary interruption of DOACs (without heparin bridging): 0/4 (0%). RR 1.67, 95% CI 0.11 - 24.81 2939 Thrombotic events 2940 No interruption of DOACs: 0/50 (0%) Temporary interruption of DOACs (without heparin bridging): 0/4 (0%). RR not meaningful. 2941 2942 Mortality 2943 No interruption of DOACs: 0/50 (0%) 2944 Temporary interruption of DOACs (without heparin bridging): 0/4 (0%). RR not meaningful. 2945 Notes regarding risk of bias: the decision to interrupt or continue DOACs could have been influenced by the strength of 2946 indication for endoscopy and polypectomy, whereas the decision to scope could have been influenced by the comfort level of 2947 the physicians in interrupting warfarin for a specific patient, and the decision to perform polypectomy (and the type of 2948 polypectomy) could have been influenced by whether DOACs had been interrupted or continued. This means that the choice of 2949 peri-procedural management of anticoagulation was influenced by factors (confounders) that also influenced the risk of the 2950 outcomes of interest. 2951 2952
 - Cohort studies without the comparator cohort that is needed for this PICO

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- Arimoto J et al. Safety of Cold Snare Polypectomy in Patients Receiving Treatment with Antithrombotic Agents. Digestive diseases and sciences. 2019. 64:3247–3255
 - Design: The main study was a retrospective cohort study on 501 patients who underwent CSP (Cold Snare Polypectomy) for polyps up to 10 mm at a center in Japan. For this PICO, we extracted data from the cohort of patients on <u>uninterrupted DOACs</u> (the number of patients is unclear; the number of **polypectomies** in patients on DOACs is 65). In this center all CSP were performed without discontinuing antithrombotics.
 - The comparator (patients to have not been taking any antithrombotics) is not the comparator needed for this PICO. Therefore, this study is included as a **cohort study without comparator**
 - Results (unclear results per patient- reported results per polypectomy (more than one polypectomy per patient)):
 - <u>Delayed bleeding: 0/65 (0%) polypectomies</u> among for patients on uninterrupted DOACs (in fact it was 0% for the whole study population)
 - Note: clipping was applied for "immediate bleeding" (clipping was applied in 13.9% of polypectomies in patients on uninterrupted antithrombotics (separate results for patients on DOACs were not reported)

Douketis JAMA IM 2019 ⁵: the PAUSE study (Douketis et al. Perioperative Management of Patients with Atrial Fibrillation Receiving a Direct Oral Anticoagulant. JAMA IM 2019)

• Prospective, multicenter cohort study without comparator arm

- This is one of very few studies that provided rationale for not having comparator. In fact, the design and rationale were published *a priory* as a separate paper (Douketis Thromb Haemost 2017 ¹³).
- Included n= 3007 patients with AF, long-term users of apixaban, dabigatran, or rivaroxaban who were scheduled for an elective surgery or procedure and followed a well-defined DOAC therapy interruption protocol.
 - 1007 patients had a high-bleeding-risk procedure.
 - 2000 patients had a low-bleeding-risk procedure. Of these, 627 (31.4%) had GI procedures. No separate results for GI procedures in the original publication (but we were able to extract GI data from the raw data of the study; see below)
 - The GI procedure group was highly diverse: it included procedures such as VCE, EGD, colonoscopy, flex sig, ERCP, push enteroscopy and Barrett's ablation.
 - Unclear if any of these patients underwent snare polypectomy, sphincterotomy or EMR
- Consecutive patients were enrolled, and a flow chart of patient flow was published (83% of the approached patients were recruited), but the recruitment per center varied substantially, ranging from 853 patients (i.e., convincingly consecutive recruitment) to 4, 6, 20 and 23 patients in four other centers (i.e., likely non-consecutive recruitment, given that the enrolment period was 4 years)
- <u>DOAC resumption</u>: after the operation, DOAC regimens were resumed <u>1 day (approximately 24 hours) after a low-bleeding-risk</u> procedure and 2 to 3 days (48-72 hours) after a high-bleeding-risk procedure, **provided that hemostasis was achieved**.
- <u>Note</u>: Patients at high risk for venous thromboembolism <u>could receive a prophylactic dose of **heparin** after</u> the operation until DOAC therapy resumption.
- See figure (the only figure) in page 1471 in the paper for the full perioperative DOAC management protocol was as follows:
- Note: this protocol is different than the intervention of this PICO (DOAC resumption on day 0). It falls within the range of timing of the comparator of this PICO (DOAC resumption on day 1 to day 7)
- The authors mentioned two previous clinical studies that informed the design of the perioperative protocol that was used in this study:
 - "The only previous studies suggested a high post-procedural bleeding risk if therapeutic-dose heparins are uniformly reinitiated approximately 24 hours after a procedure irrespective of procedural bleeding risk". Reference: Dunn et al. Bridging therapy in patients on long-term oral anticoagulants who require surgery: the Prospective Peri-operative Enoxaparin Cohort Trial (PROSPECT). J Thromb Haemost 2007;5(11):2211–2218)

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- Also: Schulman S, Carrier M, Lee AY, et al; Periop Dabigatran Study Group. Perioperative management of dabigatran: a prospective cohort study. Circulation. 2015;132(3):167-173. (see Excluded studies document)
- Also, the authors explained that in order to design their perioperative protocol they also utilized indirect evidence from DOAC pharmacokinetic properties, and they had "2 broad aims: (1) to have the shortest duration of DOAC therapy interruption before and after the procedure so as to minimize the risks for bleeding and thromboembolism, and (2) to have a simple interruption and resumption protocol for each DOAC that would be easy to use by clinicians and easily understood by patients".
- Outcomes were well defined and described:
 - Major postoperative bleeding (at 30 days). The <u>low-bleeding-risk procedures</u> (the category that included the GI procedures) is the cohort that fits best the population of this PICO: 20/2000 = 1.0%, 95% CI 0.63% to 1.57% (calculated from table 4 in the paper)
 - Thrombotic events (at 30 days). Total (arterial and venous) for the whole cohort (separate results could not be extracted for the low-bleeding-risk group): 21/3007 = 0.70%, 95% CI 0.45% to 1.09%
 - Mortality (at 30 days). Total, for the <u>whole</u> cohort (separate results could not be extracted for the low-bleeding-risk group): 9/3007 = 0.30%, 95% CI 0.15% to 0.59%.
 - **Feasibility outcomes**: adherence to the resumption protocol ranged from 87.5% to 99.6% in various sub-cohorts. For the low-bleeding risk group (that included the GI procedures) adherence was 1811/2000 = 90.6%
- Separate results on GI procedures (Jim Douketis, Alan Barkun; personal communication):
 - Only patients who had GI endoscopic procedures were included
 - Results provided in the last column of the Risk of Bias table

Study	Valid methods	Prognostic factors	Demonstration	Outcome detection	Follow up	Free of other	Results/Comments
Study	to ascertain exposure (exposure vs non-exposure is the difference in antithrombotic management between the intervention and the comparator for this PICO)	(other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	that outcome of interest was not present at the start of the study	methods valid and similar among cohorts	complete and similar among cohorts	bias bias	Results/Comments
Ara. Dig End 2015 ¹	ОК	No, the two cohorts were not similar for prognostic factors for bleeding: confounding was favoring the non-interruption group. No adjustment.	OK	OK	OK	OK	P: patients on DOACs (dabigatran or rivaroxaban) having upper GI endoscopic biopsy DELAYED BLEEDING (30 days): • Continuous DOAC: 0/18 = 0% (0% - 17%) • interrupted DOAC: 0/4 = 0% (0% - 75%) • RR not meaningful
Heublein JG 2018 ²	OK	No, the two cohorts were not similar for prognostic factors for bleeding: confounding was favoring the non-interruption group. No adjustment.	OK	OK	OK	OK	P: patients on DOACs having various scheduled upper GI endoscopic procedures DELAYED BLEEDING (30 days): • Continuous DOAC: 0/91 (0%, 95% CI 0% - 3.3%) • interrupted DOAC: 0 (denominator unknown, but less than n= 298) • RR cannot be calculated THROMBOTIC EVENTS (30 days) • Continuous: 1/91 (1.1%, 95% CI 0% 5.5%) • Interrupted: 3 (denominator unknown, but less than n= 298)
Yanagisawa. WJG 2018 ³	OK	No, the two cohorts were not similar for prognostic factors	OK	ОК	ОК	OK	P: patients who had hot snare colonic polypectomy

		for the outcomes of interest. No adjustment for the comparison of interest for this PICO (whereas, other analyses were adjusted)				DELAYED BLEEDING (30 days) with continuous DOACs: RR 1.67 (0.11 - 24.81 • Continuous DOACs: 8/50 (16%) • Interrupted: 0/4 (0%) THROMBOTIC EVENTS (timing unclear, likely 30 days) • Continuous: 0/50 (0%) • Interrupted: 0/4 (5.3%) DEATHS (30 days) • Continuous: 0/50 (0%) • Interrupted: 0/4 (0%)
Arimoto. DDS 2019	OK	Very serious risk of bias: no comparator of interest	OK	Very serious risk of bias: no comparator of interest	Very serious risk of bias: no comparator of interest	- Cohort type data (without the comparator that is required for this PICO) extracted from a wider cohort study P: patients undergoing cold snare colonic polypectomy (≤ 10 mm) DELAYED BLEEDING (within 14 days): • Continuous DOAC treatment: 0/65 = 0% (0% - 5%). The denominator (n=65) is the number of polypectomies (each patient could have had 1 or more polypectomies)
Douketis 2019 (PAUSE study) ¹²	OK	No comparator cohort with a different timing of DOAC resumption	OK	Outcome detection methods were valid, but there was no comparator cohort of interest for this PICO	Follow up was complete and similar among cohorts, but there was no comparator cohort of interest for this PICO	P: patients who had endoscopic GI procedures after interrupting NOACs Extra analyses: results on GI procedures only (Jim Douketis, Alan Barkun; personal communication): • DELAYED BLEEDING (1 month) 14/554 = 2.5%, 95% CI 1.4% to 4.2% Of note 5/14 of the bleeding events were major bleeds • THROMBOEMBOLIC EVENTS (1 month) 4/552 = 0.7%, 95% CI 0.3% to 1.8% • MORTALITY (1 month) 3/552 = 0.5%, 95% CI 0.2% to 1.6%

Low risk of bias
Unclear risk of bias
High risk of bias

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

Our attempted meta-analysis

The studies could not be meaningfully synthesized via meta-analysis. For the outcome of bleeding, of the 3 studies that had comparator cohorts, one study had zero events in both arms ¹, one had zero events in both arms and also the denominator for one arm was unknown ², and the third study had zero events in one of the two arms. Continuity correction approaches could not be used, because they could lead to seriously misleading results because (a) only one arm that had events, (b) the number of events was extremely small and (c) arm sizes were not balanced. For the other two outcomes, there was even less data.

3050 Evidence profile for PICO 13

Continuous ar	Continuous anticoagulation with DOACs vs Temporary Interruption of DOACs (1-5 days)											
	Certainty Assessment							Summary of Findings				
	Certainty Assessment								Events / participants Effect			
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Continuou s DOAC treatment	Interrupt ed DOAC for 1-5 days	Relative (95% CI)	Absolute (95% CI)	Comments
Bleeding within 3	0 days (critical or	utcome)										
3 cohort studies with control arm ¹⁻³ and 2 cohort studies without control arm ^{4, 5}	Serious ^a	Serious ^b	Serious ^c	Very serious ^d	None	⊕⊝⊝⊝ VERY LOW		0/18 ¹ 0/91 ² 8/50 ³ 0/65 ⁴ Total ¹⁻⁴ : 8/224	0/4 ¹ 0/ (denomin ator smaller than 298) 2 0/4 ³ 14/554 (2.5%) 5	RR 1.67 (0.11 - 24.81) ³ e	Calculation not meaningful, given the zero event rate in the control group in the comparative studies	
Thrombotic even	ts within 30 days	(critical outcome)		l	l			()			
2 cohort studies with control arm ^{2,3} and 1 cohort study without control arm ⁵	Serious ^f	Not serious	Serious ^g	Very serious ^h	None	⊕⊝⊝ VERY LOW	⊕⊝⊝ VERY LOW	1/91 ² 0/50 ³	3/(deno minator smaller than 298) 2 0/4 ³ 4/552 (0.7%) ⁵	NC	NC	
Mortality within 30 days (important outcome, but not critical for decision making) –												
1 cohort study with control cohort 3 and 1 cohort study without control arm 5	Serious ^f	Not applicable	Not serious	Very serious ⁱ	None	⊕⊝⊝ VERY LOW		0/50 ³	0/4 ³ 3/552 (0.5%) ⁵	NC	NC	

NC: not calculable a Serious risk of bias. The three controlled cohort studies did not adjust for known confounders, while the other two studies did not have a control arm. ^b Serious inconsistency because among the three controlled studies, two studies had no events in any arm, while the third study had events only in one arm ^c Serious indirectness because of diversity among studies in the type of GI procedures, the time horizon for outcome measurement, and the interruption protocol for DOACs ^d Very serious imprecision due to the very small number of events, with most study arms having zero events. ^e Only one study allowed for calculation of the relative effect f Serious risk of bias, due to lack of adjustment for known confounders in the controlled cohort studies, while the 4th study did not have a control arm. g Serious indirectness because of diversity among studies in the type of GI procedures, and the interruption protocol for DOACs ^h Very serious imprecision due to the very small number of events, with one of the 2 studies having zero events. i Very serious imprecision due to a single small study with zero events. **Evidence to Decision Table** 13. Continuous anticoagulation vs temporary interruption of DOACS P: Patient on DOAC I: Continuous anticoagulation with DOACs: C: Temporary interruption of DOACs for 1-5 days O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus) IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

	Judgement (Panel's judgments highlighted in yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate O Large	The <u>desirable</u> anticipated effects with continuous DOAC treatment (vs interrupted DOAC treatment) could not be estimated	The incidence on bleeding with continuous DOAC can be indirectly estimated as 5.2% by multiplying the incidence of bleeding (3.1%) from Douketis 2019 with the RR (1.67) from another study, i.e., Yanagisawa 2018.
Desi	o Varies • Don't know	The <u>undesirable</u> anticipated effects with continuous DOAC treatment (vs interrupted DOAC treatment) are: - increased delayed bleeding (critical outcome): absolute effect could not be calculated	The panel discussed whether holding DOAC for 1-5 days could a trigger for pro-
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large Moderate o Small o Trivial o Varies o Don't know	It is not possible to estimate the direction (let alone the magnitude) of the effect uninterrupted DOAC (compared to interrupted DOAC) on thrombotic events (critical outcome) or mortality	thrombotic state that will cause thrombosis after a delay of several additional days. It was argued that the pro-thrombotic risks seem to be more due to the peri-procedural milieu (vascular surgery vs. non-vascular surgery, patient characteristics) rather than interruption of DOAC. Furthermore, when DOAC is interrupted, it is only for a short period of time (quick on, quick off). The thrombotic risk of interruption of DOAC is anticipated to be lower than interruption of warfarin.
Certainty of evidence	What is the overall certainty of the evidence of effects? Very low Low Moderate High No included studies	See Evidence Profile Table. The certainty of the evidence from studies in patients undergoing GI endoscopic procedures is very low.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? Important uncertainty or variability O Possibly important uncertainty or variability Probably no important uncertainty or variability O No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1.	

Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention Favors the intervention Varies O Don't know	The certainty of the evidence from studies in patients undergoing GI endoscopic procedures is very low.	
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings O Moderate savings O Large savings O Varies O Don't know		
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low Moderate o High o No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies		

ility	Is the intervention acceptable to key stakeholders? O NO O Probably no O Probably yes	
Acceptability	O Yes O Varies O Don't know	
Feasibility	Is the intervention feasible to implement? O NO O Probably no O Probably yes Yes	
	o Varies o Don't know	

Conclusions

PICO: 13. For patients on DOACs who are undergoing elective/planned endoscopic GI procedures, should DOACs be continued or temporarily interrupted for 1-5 days?

O: CRITICAL: Bleeding within 30 days; thrombotic events within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

3090 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention (continued DOAC) 5/5 votes: 100% (1 panel member was absent for vote)	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	O	0	0	0

Recommendation	For patients on DOACs who are undergoing elective/planned endoscopic GI procedures, we suggest temporarily interrupting DOAC rather than continuing DOAC (conditional recommendation, very low certainty of evidence)
Justification	
Subgroup considerations	Should the (anticipated) type of procedure influence the recommendation?
	Should the risk of thromboembolism influence the recommendation?
Implementation considerations	
Constactations	
Monitoring and	Quality indicators: Did the physician talk to the patient or elicit the conditions under which the intervention should be used?
evaluation	Was this discussion and setting documented?
Research priorities	

References for PICO 13

1. Ara et al. Prospective analysis of risk for bleeding after endoscopic biopsy without cessation of antithrombotics in Japan. Digestive Endoscopy 2015; 27:458-464

 2. Heublein et al. Gastrointestinal endoscopy in patients receiving novel direct oral anticoagulants: results from the prospective Dresden NOAC registry. Journal of Gastroenterology. 53 (2) (pp 236-246), 2018.

 3. Yanagisawa et al. Post-polypectomy bleeding and thromboembolism risks associated with warfarin vs direct oral anticoagulants. World Journal of Gastroenterology. 24 (14) (pp 1540-1549), 2018

 4. Arimoto J et al. Safety of Cold Snare Polypectomy in Patients Receiving Treatment with Antithrombotic Agents. Digestive diseases and sciences. 2019;64:3247–3255

5. Douketis JD et al. Perioperative Management of Patients With Atrial Fibrillation Receiving a Direct Oral Anticoagulant. JAMA IM 2019

3108 14a. DAPT: Temporary interruption of thienopyridine agents with continuation of cardiac ASA 14.a. DAPT: Temporary interruption of thienopyridine agents with continuation of cardiac ASA 3109 3110 3111 P: Patient on DAPT (P2Y12 thienopyridine agent (clopidogrel, prasugrel, or ticagrelor) and ASA 81 to 325 mg/day (i.e, cardiac ASA)) for secondary 3112 prevention 3113 I: Temporary interruption of thienopyridine X up to 10 days with continuation of cardiac ASA C: Continuous thienopyridine antiplatelet drug use and cardiac ASA use 3114 3115 O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac 3116 stent occlusion, deep vein thrombosis, pulmonary embolus) 3117 **IMPORTANT, BUT NOT CRITICAL:** mortality within 30 days 3118 3119 3120 **RCTs** 3121 1. Chan Gastro 2019 (Chan et al. Risk of Postpolypectomy Bleeding with Uninterrupted Clopidogrel Therapy in an Industry-Independent, 3122 Double-Blind, Randomized Trial. Gastro 2019) 3123 3124 RCT 3125 Double blinded Patients receiving clopidogrel for cardiovascular disease undergoing elective colonoscopies 3126 3127 Randomized to either clopidogrel 75 mg or placebo for 7 days before colonoscopy 3128 All patients resumed their usual prescriptions of clopidogrel after colonoscopy (apparently on the next day). 3129 387 underwent colonoscopy 216 received colonoscopic polypectomy (106 patients in the clopidogrel group and 110 patients in the placebo group) 3130 3131 Method of polypectomy was cold snare, hot snare, cold biopsy and hot biopsy 3132 No prophylactic clipping or endoloop 3133 Members of an independent masked adjudication committee confirmed the study end points occurred according to prespecified 3134 criteria 3135 Reported bleeding outcomes for the 216 patients 3136 i. Delayed postpolypectomy bleeding at 30 days = significant rectal bleeding (hypotension, a decrease in hemoglobin of 3137 2 g/dL from baseline or a decrease in hematocrit 10 percentage points, requirement of transfusion, prolonged hospitalization, hospitalization, and/or hemostatic interventions using endoscopic therapy, angiographic embolization, 3138 3139 or surgery).

3140	ii. Immediate postpolypectomy bleeding = bleeding at the time of polypectomy that persisted despite continuous
3141	irrigation with diluted epinephrine solution for 5 minutes. Interventions for immediate postpolypectomy bleeding
3142	included epinephrine injection alone or in combination with endoclip application.
3143	iii. Serious cardio-thrombotic events = nonfatal myocardial infarction, nonfatal stroke, or death from a vascular cause
3144	• Reported serious cardio-thromboembolic events at 6 months (nonfatal myocardial infarction, nonfatal stroke, or death from a
3145	vascular cause) for the 390 patients who received at least 1 study medication
3146	i. 3 in clopidogrel group (n=194, see suppl figure 1)
3147	ii. 4 in placebo group (n= 196)
3148	• Death was not reported (the stokes were reported as nonfatal, but the MIs had no descriptor, therefore some of the MIs could
3149	have been fatal)
3150	 Concomitant aspirin therapy (about 78.5% of patients) was uninterrupted
3151	 Reported <u>stratified results (suppl Table 1) according to concomitant aspirin use</u>.
3152	 Timing of delayed postpolypectomy bleeding: see Table 3 and suppl Table 1 in the paper.
3153	
3154	Concomitant ASA Use: Clopidogrel group 84/106, placebo 86 /110
3155	
3156	Delayed postpolypectomy bleeding with concomitant ASA: Clopidogrel 4/84, Placebo 4/86
3157	Immediate postpolypectomy bleeding with concomitant ASA: Clopidogrel 8/84, Placebo 3/86
3158	Serious cardio-thromboembolic events:
3159	 Clopidogrel 1.3% (95% CI 0.3% – 5.0%), estimated 2/156
3160	 Placebo 2.7% (95% Cl 1.0% – 7.0%), estimated 4/148
3161	
3162	Nonconcomitant ASA Use: Clopidogrel group 22/106, placebo 24/110
3163	
3164	Delayed postpolypectomy bleeding: Clopidogrel 0/22, Placebo 0/24
3165	Immediate postpolypectomy bleeding: Clopidogrel 1/22, Placebo 3/24
3166	Serious cardio-thromboembolic events:
3167	 Clopidogrel 2.6% (95% CI 0.4% - 17.3%), estimated 1/38
3168	Placebo 0%, estimated 0/58
3169	

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3173	2. Won CTG 2019 ² (Won D., Kim J.S., Ji JS., Kim BW., Choi H. Cold Snare Polypectomy in Patients Taking Dual Antiplatelet Therapy: A
3174	Randomized Trial of Discontinuation of Thienopyridines. Clinical and translational gastroenterology. 10 (10) (pp e00091), 2019).
3175	Design: RCT
3176	 The endoscopist was blinded
3177	 Population: N = 87 patients receiving DAPT "scheduled to undergo cold snare polypectomy" (polyp size ≤ 10mm)
3178	 Implausible design: it was not possible for the investigators to predict 1 week prior to the colonoscopy which
3179	patients will be found to have polyps \leq 10 mm when they have their colonoscopy. According to the flowchart
3180	only 4 patients was excluded post-randomization for "protocol violation". We would expect a large proportion
3181	(probably the majority) of patients to have been excluded post-randomization for not having polyps eligible for
3182	cold snare polypectomy
3183	• Intervention: n = 45 patients in the ASA-only group (aspirin was not interrupted; discontinued thienopyridines for 1
3184	week prior to colonoscopy; re-started thienopyridines on the day after colonoscopy)
3185	 Comparator: n = 42 patients in the DAPT group (neither of the two medications was interrupted)
3186	Outcomes:
3187	 Clinically significant postpolypectomy bleeding (at 2 weeks)
3188	ASA-only: 0/45
3189	■ DAPT: 1/42 (2.4%)
3190	 Thromboembolic events (at 1 month):
3191	ASA-only: 0/45
3192	■ DAPT: 0/42
3193	 Death: not mentioned
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Our meta-analysis of the two RCTs:

Delayed postpolypectomy bleeding

	Interrupt thienopy	ridine	Continue thieno	pyridine		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
Chan 2019	4	84	4	86	84.6%	1.02 [0.26, 3.96]	
Won 2019	0	45	1	42	15.4%	0.31 [0.01, 7.44]	•
Total (95% CI)		129		128	100.0%	0.85 [0.25, 2.96]	
Total events	4		5				
Heterogeneity: Tau² = Test for overall effect:		f=1 (P=	0.50); I² = 0%				0.05 0.2 5 20 Favours Interrupt thienopyridine Favours Continue thienopyridine

Thrombotic events

	Interrupt thienop	yridine	Continue thien	opyridine		Risk Ratio		Risk	Ratio		
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI		M-H, Rand	om, 95% CI		
Chan 2019	2	156	4	148	100.0%	0.47 [0.09, 2.55]					
Won 2019	0	45	0	42		Not estimable		_			
Total (95% CI)		201		190	100.0%	0.47 [0.09, 2.55]					
Total events	2		4								
Heterogeneity: Not ap Test for overall effect:							0.05	0.2 Favours Interrupt thienopyridine	Favours Continue	5 thienopyridine	20

RCTs							
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments
Chan 2019 ¹	OK – computer generated	OK - independent staff member assigned the treatments according to consecutive numbers that were kept in sealed opaque envelopes	OK (double blinded)	OK	ОК	OK	
Won 2019 ²	ОК	OK	The endoscopist was blinded (low risk for performance bias), but patients and outcome assessors were not blinded (high risk for detection bias)	Unclear follow up of patients after 1 week (patients were assessed via a visit 1 week post colonoscopy)	No mention about mortality	Implausible flowchart: it was not possible for the investigators to predict 1 week prior to the colonoscopy which patients will be found to have polyps ≤ 10 mm when they have their colonoscopy.	

SRs of observational studies

- 1. **Eisenberg Circulation 2019** ³ (Eisenberg et al. Safety of Short-Term Discontinuation of Antiplatelet Therapy in Patients With Drug-Eluting Stents Circulation. 2009;119:1634-1642)
 - Systematic review of case reports, registries, and RCTs (84 articles with total of 161 cases)
 - Assessed time to event (late stent thrombosis = stent thrombosis occurring between 30 days and 1 year after stent implantation)
 in patients with drug eluding stents on dual antiplatelet therapy after discontinuing thienopyridine alone or discontinuing both
 drugs
 - It does not provide comparative data eligible for this PICO
 - The absolute risk of stent thrombosis within 10 days when thienopyridine is discontinued and ASA is maintained cannot be calculated because the denominator (patients at risk) is unknown. The statement in the abstract is misleading "Among the 94 patients who discontinued a thienopyridine but continued acetylsalicylic acid, only 6 cases (6%) occurred within 10 days". This should have been "Among the 94 patients with stent thrombosis after discontinuing a thienopyridine but continuing acetylsalicylic acid, only 6 cases (6%) occurred within 10 days".
 - We cannot extract any results that could fit into the Evidence Profile. The only evidence (very low certainty) that can be extracted relevant to this PICO is that <u>in this high-risk population</u>, there were no events in the first 3-4 days. See figures 1B and 2 in the paper.

3265 Evidence profile for PICO 14a

Temporary interruption of thienopyridine for up to 10 days with continuation of cardiac ASA vs. Continuous thienopyridine use and cardiac ASA use

			Cautaint A						Summ	ary of Finding	gs	
Certainty Assessment								Events / participants			Effect	
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Interrupt ed thienopyr idine	Continued thienopyrid ine	Relative (95% CI)	Absolute (95% CI)	Comments
Bleeding within 30	days (critical ou	ıtcome)				ı					I	
SRMA of 2 RCTs (Chan 2019 ¹ ; Won 2019 ²)	Not serious ^a	Not serious	Serious ^b	Very serious ^c	None	⊕⊝⊝ VERY LOW		4/129	5/128	RR 0.85 (0.25 - 2.96)	Risk with continued thienopyridine: 39 events per 1,000. With interrupted thienopyridine: 6 less per 1,000 (from 29 less, to 77 more)	Chan 2019: We only included patients on DAPT who did not interrupt ASA
Thrombotic event	s within 30 days	(critical outcome)			T					T	1
SRMA of 2 RCTs (Chan 2019 ¹ ; Won 2019 ²)	Not serious ^a	Not serious	Serious ^d	Very serious ^c	None	⊕⊝⊝ VERY LOW	⊕⊖⊝ VERY LOW	2/201	4/190	RR 0.47 (0.09 - 2.55)	Risk with continued thienopyridine: 21 events per 1,000. With interrupted thienopyridine: 11 less per 1,000 (from 19 less, to 32 more)	Won 2019 had zero events in both arms. Chan 2019: We only included patients on DAPT who did not interrupt ASA. There is some uncertainty about the absolute numbers for denominato rs

No data	-	-	-	-	-	-	-	-	-	-	-

Footnotes

- ^a The certainty of evidence was not rated down for the outcomes of further bleeding and thrombosis, despite the fact that one of the two RCTs was at high risk of bias (Won 2019). If Won 2019 is excluded (by sensitivity analysis that retains of low risk of bias studies) nothing changes in the estimates and the certainty of evidence
- ^b Serious indirectness for the outcome of delayed bleeding, given that this PICO covers all GI procedures, not only colonic polypectomy (if the PICO was restricted to colonic polypectomy, there would be no indirectness). Note: no data for thienopyridines other than clopidogrel
- ^c Very serious imprecision due to very wide confidence intervals (compatible with large benefit and large harm) and the very small number of events
- d Serious indirectness for the outcome of thrombotic events, because this study did not assess deep vein thrombosis, or pulmonary embolus (the outcome was cardio-thromboembolic events, defined as "nonfatal myocardial infarction, nonfatal stroke, or death from a vascular cause", although the results were reported as "myocardial infarctions" and "nonfatal strokes"). Also, this outcome was measured at 6 months, rather than in the 1-month timeframe required for this PICO. Note: no data for thienopyridines other than clopidogrel. This outcome was not further downrated for indirectness for being restricted to colonic polypectomy, because it is unlikely that is outcome would be substantially different in other GI procedures.

Evidence to Decision Table

- 14a. DAPT: Temporary interruption of thienopyridine agents with continuation of cardiac ASA
- P: Patient on DAPT (P2Y12 thienopyridine agent (clopidogrel, prasugrel, or ticagrelor) and ASA 81 to mg/day (i.e, cardiac ASA)) for secondary prevention
- I: Temporary interruption of thienopyridine X up to 10 days with continuation of cardiac ASA
- C: Continuous thienopyridine antiplatelet drug use and cardiac ASA use
- 3294 O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)
- 3296 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? O Trivial Small O Moderate C Large O Varies O Don't know	See Evidence Profile Table The desirable anticipated effects of interruption of thienopyridine agents was a small decrease in bleeding (critical outcome). Theoretically, interruption of thienopyridine would tend to increase thrombotic events (critical outcome) compared to non-interrupted thienopyridine. However, the direction of the effect for thrombotic events was opposite to the theoretically anticipated. No data on mortality	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial o Varies o Don't know		
Certainty of evidence	What is the overall certainty of the evidence of effects? Very low Low Moderate High No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? o Important uncertainty or variability Possibly important uncertainty or variability Probably no important uncertainty or variability No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1.	

f effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or	
Balance of effects	the comparison Probably favors the intervention (interruption) O Favors the intervention O Varies	
Resources required	O Don't know How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings O Moderate savings O Large savings O Varies O Don't know	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low • Moderate o High o No included studies	
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies	

Acceptability

3298 3299 **Conclusions**

14A. DAPT: Temporary interruption of thienopyridine agents with continuation of cardiac ASA

P: Patient on DAPT (P2Y12 thienopyridine agent (clopidogrel, prasugrel, or ticagrelor) and ASA 81 to 325 mg/day (i.e, cardiac ASA))

for secondary prevention

I: Temporary interruption of thienopyridine X up to 10 days with continuation of cardiac ASA

C: Continuous thienopyridine antiplatelet drug use and cardiac ASA use

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial

infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

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Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	Conditional recommendation for either the intervention or the	Conditional recommendation for the intervention 6/6 votes: 100%	Strong recommendation for the intervention
			comparison		

	0	0	0	•	0
Recommendation	·	A, we suggest temporary in		are undergoing elective endos ridine (conditional recommer	
Justification					
Subgroup considerations	This recommendatio	n applies only to elective	procedures, not emergency	procedures	
		ne duration of discontinua) and ASA 81 to 325 mg/da	•	neans = P2Y12 thienopyridine	agents (clopidogrel,
Implementation considerations					
Monitoring and evaluation		id the physician talk to the and setting documented?	patient or elicit the condit	ions under which the interve	ntion should be used?
Research priorities				ds J, Gibson PR, Brown G. Stud mporary clinical trials commu	

33123313 References, PICO 14a

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- 1. Chan et al. Risk of Postpolypectomy Bleeding with Uninterrupted Clopidogrel Therapy in an Industry-Independent, Double-Blind, Randomized Trial. Gastroenterology 2019 Mar;156(4):918-925
- 2. Won D., Kim J.S., Ji J.-S., Kim B.-W., Choi H. Cold Snare Polypectomy in Patients Taking Dual Antiplatelet Therapy: A Randomized Trial of Discontinuation of Thienopyridines. Clinical and translational gastroenterology. 10 (10) (pp e00091), 2019)
- 33. Eisenberg et al. Safety of Short-Term Discontinuation of Antiplatelet Therapy in Patients With Drug-Eluting Stents Circulation. 2009;119:1634-3319 1642

3323 14.b. Temporary interruption of thienopyridine agents 3324 14.B. Temporary interruption of thienopyridine agents 3325 3326 3327

P: Patient on (single antiplatelet therapy with) P2Y12 thienopyridine agents (clopidogrel, prasugrel, ticagrelor)

I: Temporary interruption of thienopyridine X up to 10 days

C: Continuous thienopyridine antiplatelet drug use

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

SRs of mixed study designs

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1. Li et al. Colonoscopic post-polypectomy bleeding in patients on uninterrupted clopidogrel therapy: A systematic review and metaanalysis. Exp Ther Med. 2020 May;19(5):3211-3218.

- Serious methodological limitations (one of the two "RCTs" (Feagins CGH 2013) is in fact a retrospective observational study, RCTs and observational studies were pooled together, the x-axis in the forest plot was inverted, RR was used for case-control studies, the assessment of study quality has serious mistakes, adjusted data were not use, etc.). Therefore, we could not include this SR as is. Instead, we checked the studies included in this SRMA.
 - Feagins CGH 2013 is not an RCT as the authors of the systematic review stated. It is a prospective cohort study, that compared patients who did not discontinue thienopyridine for more than 2 days, vs. those who were not taking thienopyridines at all. Some patients were on ASA. Not eligible for PICO 14 or 14b.
 - Feagins DDS 2011: retrospective cohort study (not a case-control study as its own authors and the SR authors stated). Compared patients who did not discontinue thienopyridine vs. those who were not taking thienopyridines at all.
 - Grossman GEI 2010: abstract publication. Case-control study. Compared clopidogrel use vs non-use.
 - Singh GIE: retrospective cohort study (not a case-control study as the SR authors stated). Compared patients who did not discontinue thienopyridine vs. those who were not taking thienopyridines at all.
 - Chan Gastro 2019: we have included this RCT already

RCTs

1. Chan Gastro 2019 (Chan et al. Risk of Postpolypectomy Bleeding with Uninterrupted Clopidogrel Therapy in an Industry-Independent, Double-Blind, Randomized Trial. Gastro 2019)

3355	•	RCT
3356	•	Double blinded
3357	•	Patients receiving clopidogrel for cardiovascular disease undergoing elective colonoscopies
3358	•	Randomized to either clopidogrel 75 mg or placebo for 7 days before colonoscopy
3359	•	All patients resumed their usual prescriptions of clopidogrel after colonoscopy (apparently on the next day).
3360	•	387 underwent colonoscopy
3361	•	216 received colonoscopic polypectomy (106 patients in the clopidogrel group and 110 patients in the placebo group)
3362	•	Method of polypectomy was cold snare, hot snare, cold biopsy and hot biopsy
3363	•	No prophylactic clipping or endoloop
3364	•	Members of an independent masked adjudication committee confirmed the study end points occurred according to prespecified
3365		criteria
3366	•	Reported bleeding outcomes for the 216 patients
3367		i. Delayed postpolypectomy bleeding at 30 days = significant rectal bleeding (hypotension, a decrease in hemoglobin of
3368		_2 g/dL from baseline or a decrease in hematocrit _10 percentage points, requirement of transfusion, prolonged
3369		hospitalization, hospitalization, and/or hemostatic interventions using endoscopic therapy, angiographic embolization,
3370		or surgery).
3371		ii. Immediate postpolypectomy bleeding = bleeding at the time of polypectomy that persisted despite continuous
3372		irrigation with diluted epinephrine solution for 5 minutes. Interventions for immediate postpolypectomy bleeding
3373		included epinephrine injection alone or in combination with endoclip application.
3374		iii. Serious cardio-thrombotic events = nonfatal myocardial infarction, nonfatal stroke, or death from a vascular cause
3375	•	Reported serious cardio-thromboembolic events at 6 months (nonfatal myocardial infarction, nonfatal stroke, or death from a
3376		vascular cause) for the 390 patients who received at least 1 study medication
3377		i. 3 in clopidogrel group (n=194, see suppl figure 1)
3378		ii. 4 in placebo group (n= 196)
3379	•	Death was not reported (the stokes were reported as nonfatal, but the MIs had no descriptor, therefore some of the MIs could
3380		have been fatal)
3381	•	Polyp characteristics favoured the placebo group – smaller and fewer pedunculated.
3382	•	Did not report proportion of cecal/proximal polyps
3383	•	Concomitant aspirin therapy (about 78.5% of patients) was uninterrupted

3384	 Reported stratified results (suppl Table 1 in the paper) according to concomitant aspirin use.
3385	 Timing of delayed postpolypectomy bleeding (Table 3 in the paper)
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3387	Concomitant ASA Use: Clopidogrel group 84/106, placebo 86 /110
3388	
3389	Delayed postpolypectomy bleeding with concomitant ASA: Clopidogrel 4/84, Placebo 4/86
3390	Immediate postpolypectomy bleeding with concomitant ASA: Clopidogrel 8/84, Placebo 3/86
3391	Serious cardio-thromboembolic events:
3392	 Clopidogrel 1.3% (95% CI 0.3% – 5.0%), estimated 2/156
3393	 Placebo 2.7% (95% Cl 1.0% – 7.0%), estimated 4/148
3394	
3395	Non-concomitant ASA Use: Clopidogrel group 22/106, placebo 24/110
3396	Delayed postpolypectomy bleeding: Clopidogrel 0/22, Placebo 0/24
3397	Immediate postpolypectomy bleeding: Clopidogrel 1/22, Placebo 3/24
3398	Serious cardio-thromboembolic events:
3399	 Clopidogrel 2.6% (95% CI 0.4% - 17.3%), estimated 1/38
3400	 Placebo 0%, estimated 0/58
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RCTs							
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments
Chan 2019 ¹	OK – computer generated	OK - independent staff member assigned the treatments according to consecutive numbers that were kept in sealed opaque envelopes	OK (double blinded)	OK	OK	OK	

3421 3422 Cohort studies **with** the comparator cohort needed for this PICO

- 1. **Watanabe SE 2020** ³ (Watanabe K., Nagata N., Yanagisawa N., Shimbo T., Okubo H., Imbe K., Yokoi C., Yanase M., Kimura A., Akiyama J., Uemura N. Effect of antiplatelet agent number, types, and pre-endoscopic management on post-polypectomy bleeding: validation of endoscopy guidelines. Surgical Endoscopy. 2020).
 - Retrospective cohort study (this is not a case-control study, even though the authors refer to "cases and controls")
 - **Population:** N = 1050 patients on various AP therapies undergoing colonoscopy polypectomy: All hot snare. Cold snare polypectomies were excluded. Prophylactic clip placement "in most cases".
 - **Intervention:** n = 525 AP users, of which;
 - n = 271 low-dose ASA
 - n = 45 thienopyridines
 - n = 50 thienopyridines with ASA
- Of the 525 on antiplatelet therapy, 289 continued therapy, 8 switched, and presumably the others stopped. Bleeding rates in thienopyridine monotherapy continuing (n=19 only) was 0/19. We do not have direct data on the cessation group, however by extrapolation: 19 continued,

8 switched, 18 must have stopped since there were 45 in total in that group. Since 0 bled in those two groups, then 3/18 in the stopped
group must have bled. Therefore, post-polypectomy bleeding was:

Continued thienopyridine: 0/19Interrupted thienopyridine: 3/18

No thromboembolic events at 30 days. No deaths at 30 days.

Unclear risk of bias

High risk of bias

Study	Valid methods to ascertain exposure (Exposure vs non-exposure refers to whether the thienopyridine was interrupted or not)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstrati on that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Watanabe SE 2020 ²	ОК	The two cohorts were likely different in prognostic factors, and no adjustment was made for confounders for the outcomes that we extracted	OK	OK	Unclear	OK	

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

Evidence Profile for PICO 14b

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Patients on thienopyridine alone: temporary interruption of thienopyridine for up to 10 days vs. continuous thienopyridine use

			O						Summa	ary of Find	ings		
			Certainty Asse	essment				Events / participants		Effect			
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Interrupted thienopyrid ine	Continue d thienopyr idine	Relative (95% CI)	Absolute (95% CI)	Comments	
Bleeding within 3	0 days (critical or	utcome)											
1 RCT on colonic polypectomy (Chan 2019) ¹	Not serious		Serious ^a	Very serious ^b	None	⊕⊖⊝ VERY LOW		0/22 (95% CI 0% to 13.6%)	0/24 (95% CI 0% to 12.5%)	Not calculabl e	Not calculable	We only included patients on single antiplatelet therapy with thienopyridine	
1 cohort study on hot snare colonic polypectomy (Watanabe 2020) ²	Serious ^c	Not serious	Serious ^d	Very serious ^b	None	⊕⊝⊝ VERY LOW	0 000	3/18 (16.7%, 95% CI 4.4% to 42.3%)	0/19	RR 7.37 (0.41 - 133.37)	Risk with continued thienopyridine: 0 events per 1,000. With interrupted thienopyridine: 167 more per 1,000 (from 44 more, to 423 more)		
Thrombotic even	ts within 30 days	(critical outcome)				VERY LOW						
1 RCT on cold snare colonic polypectomy (Chan 2019) ¹	Not serious	Not serious	Serious ^e	Very serious ^b	None	⊕⊖⊖ VERY LOW		Reported 2.6% (95% CI 0.4% - 17.3%) Estimated 1/38	Reported 0% Estimate d 0/58	RR 4.54 (0.19 - 108.59)	Risk with continued thienopyridine: 0 events per 1,000. With interrupted thienopyridine: 26 more per 1,000 (from 4 more, to 17 more)	We only included patients on single antiplatelet therapy with thienopyridine	
1 cohort study on hot snare colonic polypectomy (Watanabe 2020) ²	Serious ^c		Serious ^f	Very serious ^b	None	⊕⊝⊝ VERY LOW		0/18	0/19	Not calculabl e	Not calculable		

Mortality within 3	Mortality within 30 days (important outcome, but not critical for decision making)											
1 cohort study on hot snare colonic polypectomy (Watanabe 2020) ²	Serious ^c		Serious ^f	Very serious ^b	None	⊕⊝⊝ VERY LOW		0/18	0/19	Not calculabl e	Not calculable	

Footnotes

^a Serious indirectness for the outcome of delayed bleeding, given that this PICO covers all GI procedures, while Chan 2019 included only colonic polypectomy. Note: no data for thienopyridines other than clopidogrel

b Very serious imprecision due to very wide confidence intervals (compatible with large benefit and large harm) and the very small number of events

^c Serious risk of bias due to lack of adjustment for confounders

 ^d Serious indirectness for the outcome of delayed bleeding, given that this PICO covers all GI procedures, while Watanabe 2020 included only colonic polypectomy (hot snare). Furthermore, routine prophylactic clip placement was performed in most cases.

^e Serious indirectness for the outcome of thrombotic events, because this study did not assess deep vein thrombosis, or pulmonary embolus (the outcome was cardio-thromboembolic events, defined as "nonfatal myocardial infarction, nonfatal stroke, or death from a vascular cause", although the results were reported as "myocardial infarctions" and "nonfatal strokes"). Also, this outcome was measured at 6 months, rather than in the 1-month timeframe required for this PICO. Note: no data for thienopyridines other than clopidogrel. This outcome was not further downrated for indirectness for being restricted to colonic polypectomy, because it is unlikely that is outcome would be substantially different in other GI procedures.

^f Serious indirectness, given that this PICO covers all GI procedures, while Watanabe 2020 included only colonic polypectomy (hot snare).

Evidence to Decision Table

- P: Patient on single antiplatelet therapy with P2Y12 thienopyridine agents (clopidogrel, prasugrel, ticagrelor)
- 3510 I: <u>Temporary interruption</u> of thienopyridine for up to 10 days
- 3511 C: Continuous thienopyridine antiplatelet drug use
- O: **CRITICAL:** Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)

	Judgement (Panel's judgments highlighted <mark>in</mark> yellow color)	Research evidence	Additional considerations
s Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate O Large O Varies Don't know How substantial are the undesirable anticipated effects?	Theoretically, interruption of thienopyridine would tend to decrease bleeding (critical outcome) compared to continued thienopyridine use. However, the direction of the effect for bleeding events was found opposite to the theoretically anticipated (interrupted thienopyridines caused less bleeding, which seems implausible). The panel felt that the available evidence did not allow a judgment on the direction of the effect with regards to bleeding. There were small undesirable anticipated effects with interrupted thienopyridine use: small	
Undesirable Effects	O Large O Moderate Small O Trivial O Varies O Don't know	increase in thrombotic events (critical outcome). No data on mortality	
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low ○ Low ○ Moderate ○ High ○ No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? o Important uncertainty or variability Possibly important uncertainty or variability or Probably no important uncertainty or variability o No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1.	

Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? o Favors the comparison o Probably favors the comparison o Does not favor either the intervention or the comparison o Probably favors the intervention o Favors the intervention o Varies • Don't know	Given that the effect on bleeding was unknown, it was not possible to judge the balance between desirable and undesirable effects.	
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings O Moderate savings O Large savings O Varies O Don't know		
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low Moderate O High No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies		

Acceptability	Is the intervention acceptable to key stakeholders? o No o Probably no o Probably yes Yes O Varies	
	o Don't know	
Feasibility	Is the intervention feasible to implement? o No o Probably no o Probably yes Yes	
	o Varies o Don't know	

Conclusions

P: Patient on single antiplatelet therapy with P2Y12 thienopyridine agents (clopidogrel, prasugrel, ticagrelor)

I: Temporary interruption of thienopyridine X up to 10 days

C: Continuous thienopyridine antiplatelet drug use

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	Neither in favor nor against 6/6 votes: 100%	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	0	•	0	0

Recommendation	For patients on single antiplatelet therapy with P2Y12 thienopyridine agents who are undergoing elective endoscopic GI procedures, we could not reach a recommendation for or against temporary interruption of the thienopyridine
Justification	
Subgroup considerations	Remark: P2Y12 thienopyridine agents = clopidogrel, prasugrel, or ticagrelor
Implementation	
considerations	
Monitoring and	
evaluation	
Research priorities	
	Ongoing study: Ket S, Metz A, Moss A, Ogra R, Tam W, Secomb R, Reynolds J, Gibson PR, Brown G. Study design of endoscopic
	polypectomy on clopidogrel (EPOC): a randomised controlled trial. Contemporary clinical trials communications. Vol.16, 2019.

References, PICO 14b

- 1. Chan et al. Risk of Postpolypectomy Bleeding with Uninterrupted Clopidogrel Therapy in an Industry-Independent, Double-Blind, Randomized Trial. Gastroenterology 2019 Mar;156(4):918-925'
- 2. Watanabe K., Nagata N., Yanagisawa N., Shimbo T., Okubo H., Imbe K., Yokoi C., Yanase M., Kimura A., Akiyama J., Uemura N. Effect of antiplatelet agent number, types, and pre-endoscopic management on post-polypectomy bleeding: validation of endoscopy guidelines. Surgical Endoscopy. 2020

15. Interruption of cardiac ASA

15. Interruption of cardiac ASA

- P: Patient on ASA 81 mg/day or 325 mg/day (i.e, cardiac ASA) for secondary prevention
- 3548 I: Interruption of cardiac ASA X 5-7 days
- 3549 C: No temporary interruption of cardiac ASA
- O: **CRITICAL:** Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)
 - **IMPORTANT, BUT NOT CRITICAL:** mortality within 30 days
- 3553 Overall remarks

Our literature search identified a large number of comparative observational studies that seemed eligible judging from the information provided in the abstracts. However, careful assessment of the full-text of these articles revealed that the vast majority of these studies were not eligible for this PICO. The most common reason for exclusion was that they did not provide (and did not allow for calculation of) "clean" data for groups that were similar other than the interruption vs. non-interruption of ASA prior to the endoscopic procedure: either one or both of the groups contained a proportion of patients were on a second antithrombotic (usually more potent than ASA) and in most studies the handling of the second antithrombotic was not clear. We needed both groups (interrupted and noninterrupted ASA) to be taking only ASA, or at least to be taking the same second antithrombotic with known periprocedural management. Only 4 retrospective observational studies allowed for such data extractions. See below.

Given the very low quality of evidence from the 4 eligible studies, we (a) assessed previous GI guidelines to identify any missed studies, (b) looked at indirect evidence from studies that assessed the risk of thrombotic events when cardiac ASA is interrupted for 5-7 days for any reason, and (c) looked at non-GI guidelines in an attempt to find indirect evidence on non-GI procedures.

SRMA of observational studies

- 1. **Burger JIM 2005** ¹ (Burger et al. Low-dose aspirin for secondary cardiovascular prevention cardiovascular risks after its perioperative withdrawal versus bleeding risks with its continuation review and meta-analysis. JIM 2005)
 - See figure 1 in the paper for time between aspirin withdrawal and vascular event.
 - Also informs PICO 9 (hold vs continue ASA in GI bleeding)
 - It included **4 observational studies on GI endoscopy** (page 404 in the paper): no meta-analysis, no risk of bias assessment. **See our assessment below.**

3576	 This SRMA can also provide indirect evidence on the timing of the cardiovascular events after ASA discontinuation (page 400-
3577	401)
3578	 "Randomized studies or observational retrospective or prospective studies comparing the cardiovascular risks of
3579	preprocedural aspirin withdrawal directly against aspirin continuation were not obtained". "However, we found three
3580	retrospective studies reporting on the frequency of aspirin withdrawal preceding acute cardiovascular syndromes in
3581	consecutive series of patients".
3582	 These studies were case series of patients all of whom had the cardiovascular outcome. The studies reported the
3583	proportion of patients who had discontinued aspirin prior the event among those who experienced the event. They did
3584	not report what proportion of patients had the event among those who discontinued aspirin, therefore we cannot
3585	extract relative or absolute risks
3586	o Burger et al "also found four case reports covering a total of 38 patients, who, after discontinuation of low dose aspirin,
3587	experienced cerebrovascular events ($n = 29$), myocardial infarctions ($n = 8$), or an arterial embolus ($n = 1$). Five of these
3588	patients died" 4-7
3589	 Burger et al summarized the time interval between aspirin discontinuation and the cardiovascular event in figure 1 in
3590	the paper
3591	 acute peripheral vascular event 25.8 ± 18.1 days (mean ± standard deviation)
3592	 acute coronary syndromes 8.5 ± 3.6 days
3593	 acute cerebral events 14.3 ± 11.3 days after withdrawal of aspirin
3594	
3595	 With regards to the 4 observational studies on GI endoscopy that were included in Burger, we excluded 3 of them.
3596	 Hui AJ, Wong RM, Ching JY, Hung LC, Chung SC, Sung JJ. Risk of colonoscopic polypectomy bleeding with anticoagulants
3597	and antiplatelet agents: analysis of 1657 cases. Gastrointest Endosc 2004; 59: 44–8.
3598	■ <u>Excluded</u>
3599	 there is a mention that patients in NSAIDs were excluded, but it is not clear if all patients were on aspirin
3600	monotherapy or if some patients were taking a second antithrombotic agent.
3601	In this paper the term "antiplatelet agent" includes NSAIDs too
3602	intervention = colonic polypectomy
3603	 n= 127 with use of aspirin (and another 7 with use of aspirin and NSAID) within 1 week before colonoscopy.
3604	Events (bleeding): 6

3605	 The definition of exposure in this study ("use of aspirin within 1 week before colonoscopy") does not fit to our
3606	PICO question: "use of aspirin within 1 week before colonoscopy" would be compatible with both continuous
3607	anticoagulation and temporary interruption of 6 or less days
3608	o Sorbi D, Norton I, Conio M, Balm R, Zinsmeister A, Gostout CJ. Postpolypectomy lower GI bleeding: descriptive analysis.
3609	Gastrointest Endosc 2000; 51: 690–6.
3610	■ <u>Excluded</u>
3611	 Describes 83 patients with post-polypectomy bleeding
3612	 32.5% had taken ASA within 3 days of the presentation of the bleeding (unclear if they were on ASA at the time
3613	of polypectomy)
3614	 No comparative cohort, no comparative control group. Impossible to assess the contribution of ASA to the
3615	bleeding
3616	
3617	o Hui APT 2002 ² (Hui CK, Lai KC, Yuen MF, Wong WM, Lam SK, Lai CL. Does withholding aspirin for one week reduce the
3618	risk of post-sphincterotomy bleeding? Aliment Pharmacol Ther 2002; 16: 929–36)
3619	Excluded
3620	 Retrospective cohort study
3621	 Over an 11-year period, 240 patients on ASA underwent endoscopic sphincterotomy
3622	 124 (51.7%) continued to take aspirin until the day of endoscopic sphincterotomy (Group 1)
3623	 116 (48.3%) had their aspirin discontinued for 1 week before endoscopic sphincterotomy (Group 2)
3624	 Outcome: Delayed post-sphincterotomy bleeding (timing of assessment was not stated)
3625	 Mild = hemoglobin drop of less than 3 g/dL and no need for blood transfusion
3626	 Moderate = transfusion of four units or less with no angiographic intervention or surgery
3627	 Severe = transfusion of five units or more in those requiring intervention (angiographic or surgical)
3628	 We included moderate and severe, pooled together, as outcome
3629	 Continued aspirin: 3/124
3630	 Interrupted aspirin 4/116
3631	 Analysis <u>not</u> adjusted for differences in the procedure between the two groups (only "repeat cannulation" was
3632	assessed, but not any of: indication for ERCP, urgent vs elective procedure, reason for sphincterotomy, size of
3633	sphincterotomy, type of current used, sphincteroplasty, pre-cut, other interventions such as stone extraction,
3634	stent insertion, individual endoscopist skill, etc.)

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- The denominators (number of patients who had ERCP with/without sphincterotomy) were not reported: it is
 possible that under similar circumstances, patients on aspirin were less likely to have sphincterotomy
- **Excluded** because clean data for patients on ASA monotherapy could not be extracted, as the patients may have been on other anti-thrombotics. Dr. Laine contacted the author who said he didn't know and that it was possible.
- Yousfi AJG 2004 ³ (Yousfi M, Gostout CJ, Baron TH et al. Postpolypectomy lower gastrointestinal bleeding: potential role of aspirin. Am J Gastroenterol 2004; 99: 1785–9)
 - Excluded
 - Case control study: 81 patients with post-polypectomy bleeding matched (for age, gender, history of CAD) with
 81 patients with uneventful polypectomy
 - Indirectness of the exposure: this study did not compare patients who continued vs interrupted ASA for 5-7 days. Instead compared those who used ASA within 3 days of colonoscopy (this group could include patients who interrupted ASA for 2 days) vs. those who did not use ASA within 3 days of colonoscopy (this group would include patients who were never on ASA (different population, likely healthier, with healthier vessels) as well as patients who discontinued ASA for 3 days or longer). Furthermore, it is not clear that dual antiplatelet therapy was excluded.
 - High risk of bias
 - the cases were derived from two databases, and the controls from a <u>different third</u> database (unknown timeframe)
 - Used retrospective evaluation of medical records to establish ASA exposure
 - Did not adjust for factors that affect bleeding risk post-polypectomy, even though there was an obvious imbalance in the total number of polyps removed: in the cases, the number of polyps removed was twice the number in controls. The imbalance in such an important factor is so large, that it makes it possible for the results to reverse direction if the results are appropriately adjusted of course, a "protective effect" of ASA for post-polypectomy bleeding is implausible biologically and would simply mean that strong residual confounding (for example, the endoscopists may have acted differently when they scoped and removed polyps in patients on ASA vs patients not on ASA). We cannot do the statistical adjustment ourselves because we would need access to individual raw data.

3664	 Indirectness of the polypectomy technique: 85% and 88% hot polypectomy in each group, although >95% of the
3665	polyps were ≤ 10 mm (this approach is not applicable to current practice; nowadays, most of these polyps would
3666	have been removed by cold snaring)
3667	
3668	2. Wu TJG 2017 4 (Wu W., Chen J., Ding Q., Yang D., Yu H., Lin J. Continued use of low-dose aspirin may increase risk of bleeding after
3669	gastrointestinal endoscopic submucosal dissection: A meta-analysis. Turkish Journal of Gastroenterology. 28 (5) (pp 329-336), 2017)
3670	• SRMA of 5 studies (4 retrospective cohort studies and 1 case-control study) that compared continued ASA vs interrupted ASA fo
3671	patients undergoing ESD. See figure 2a in the paper.
3672	We could not use the results due to multiple errors, but we assessed each individual study
3673	Data-extraction and/or eligibility errors in all 5 studies:
3674	o Cho 2012: the data used by Wu et al are not clean; these results include patients taking a second antithrombotic. For
3675	clean data, see our comments below
3676	o Lim 2012: the data used by Wu et al are wrong; these are the results for patients on any antithrombotic or combination
3677	of antithrombotics. Data on ASA users (or ASA-alone users) are not extractable. Furthermore, the univariate and analysis
3678	on ASA alone users is uninterpretable. See comments on Lim 2012 below
3679	o Matsumura 2014 : the comparator data used by Wu et al are wrong. 5/41 are the bleeding episodes in patients on any
3680	antithrombotic (warfarin or various antiplatelets) who discontinued treatment perioperatively. This study does not
3681	report rate of bleeding for patients on ASA who discontinued ASA perioperatively.
3682	o Nimomiya 2015 : the data used by Wu et al are not clean; these results include patients taking a second antithrombotic.
3683	Clean data are not extractable
3684	o Sanomura 2012: the data used by Wu et al are not clean; these results include patients taking a second antithrombotic.
3685	Clean data are not extractable
3686	
3687	• Comments on those 5 studies
3688	• Cho SJ, Choi IJ, Kim CG, et al. Aspirin use and bleeding risk after endoscopic submucosal dissection in patients with
3689	gastric neoplasms. Endoscopy 2012;44:114-21. ⁵
3690	• <u>INCLUDED</u>
3691	 Retrospective cohort study of patients on cardiac ASA who underwent gastric ESD.
3692	 Interrupted ASA (held for 7 days prior and 28 days post procedure) n=56
3693	 ASA alone (no second antiplatelet) n=53
3694	 Uninterrupted ASA n = 19

ASA alone (no second antiplatelet) n=12

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- Post-ESD bleeding was defined as a "decrease in blood hemoglobin level of more than 2g/dL that was accompanied by the occurrence of hematemesis, melena, or the combination of unstable vital signs with fresh blood or clots upon Levin tube irrigation within 4 weeks after ESD"
- We extracted "clean" data for ASA-alone users (different from the data used in the previous SRMA)
 - o Interrupted ASA: 1/53
 - Uninterrupted ASA: 2/12
- No results on thrombotic events or mortality
- Lim JH, Kim SG, Kim JW, et al. Do antiplatelets increase the risk of bleeding after endoscopic submucosal dissection of gastric neoplasms? Gastrointest Endosc 2012; 75: 719-27 ⁶
 - Retrospective cohort study of patients on various antiplatelets who underwent gastric ESD.
 - Definitions were different than usual: "Patients who had <u>continued</u> antiplatelet therapy or had it <u>interrupted</u> <7
 days before ESD were counted as <u>continuous</u> users, and those who had never used antiplatelet therapy or had it
 discontinued 30 days or more before the procedure were counted as <u>non-users</u>. Others were counted in the
 withdrawal group."
 - Included in a SRMA (Wu 2017) but with wrong data extractions. <u>Data on ASA users cannot be extracted</u>.
 - The univariate and analysis on "single-ASA users" seems relevant at first sight, but at close inspection it becomes
 obvious that it is uninterpretable. See table 7 in that paper. The comparator is not defined, but the numbers
 don't add up unless this analysis includes all patients on single ASA (including patients who permanently
 discontinued ASA). This is <u>not</u> an analysis comparing uninterrupted single ASA vs interrupted <7 days singe ASA
 - Excluded
- Matsumura T, Arai M, Maruoka D, et al. Risk factors for early and delayed post-operative bleeding after endoscopic submucosal dissection of gastric neoplasms, including patients with continued use of antithrombotic agents. BMC Gastroenterol 2014;14:172.⁷
 - Retrospective cohort study of patients on various antithrombotics who underwent gastric ESD.
 - It has been erroneously included in previous SRMAs, no data for this comparison can be extracted (previous SRMAs did different mistakes in their interpretation of the extractable data)
 - Excluded

 Retrospective cohort study of patients on various antithrombotics who underwent colonic ESD. Results on patients taking ASA-alone (interrupted ASA-alone vs continued ASA-alone) cannot be extracted. 10/41 patients were also taking warfarin (n=3, managed with IV heparin bridging) or a second or third antiplatelet. The paper reports that 5 (out of 7 total) bleeds occurred among the 31 patients (34 procedures ASA-alone, but we don't know the number of bleeds according to whether these patients continued or interrupted ASA. Excluded Sanomura Y, Oka S, Tanaka S, et al. Continued use of low-dose aspirin does not increase the risk of bleeding during after endoscopic submucosal dissection for early cancer. Gastric Cancer 2014;17:489-96.9 	
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after endoscopic submucosal dissection for early cancer. Gastric Cancer 2014;17:489-96.9	or
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• Retrospective cohort study of patients on cardiac ASA who underwent gastric ESD.	
• During the first period of the study patients interrupted ASA for 5-7 days before the procedure (n = 66	
3740 procedures, 53 patients)	
• During the second period of the study, due to change in guidelines, all patients continued cardiac ASA	
perioperatively (n= 28 procedures, 25 patients)	
• Post-operative bleeding	
3744 o Interrupted ASA: 3/66	
3745 o Uninterrupted: ASA 1/28	
• Thrombotic events (perioperatively = pre- or post-operatively)	
3747 o Interrupted ASA: 4/53	
3748 o Uninterrupted: ASA 0/25	
• Mortality	
o Interrupted ASA: 1/53	
o Uninterrupted: ASA 0/25	
• However, it is not possible to extract "clean" data for aspirin-only users: 25/78 patients were taking a sec	ond
antithrombotic (warfarin or another antiplatelet) in addition to ASA, and it is not clear how the second	
antithrombotic was handled perioperatively and how soon it was re-started (other than that "warfarin was	
3755 replaced with heparin")	
3756 ● Excluded	
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• Ninomiya Y, Oka S, Tanaka S, et al. Risk of bleeding after endoscopic submucosal dissection for colorectal tumors in

3759 dissection. Gastrointestinal Endoscopy. 86(5):807-816, 2017 10 3760 SRMA of 3 cohort studies that compared continued ASA vs interrupted ASA for patients undergoing ESD 3761 Results on delayed bleeding: see Figure 6B in the paper. 3762 We could not use the results due to multiple errors that we found in this SRMA, but we assessed each individual study 3763 Data-extraction and/or eligibility errors in all 3 studies 3764 Cho 2012: the data are not clean; they include patients taking a second antithrombotic. For clean data, see comments 3765 above. • Matsumura 2014: the data for the comparator are wrong. 5/21 are the bleeding episodes in patients who were on 3766 bridging therapy with heparin. This study does not report rate of bleeding for patients on ASA who discontinued ASA 3767 3768 perioperatively. 3769 • Sanomura 2012: the data are not clean; they include patients taking a second antithrombotic. Clean data are not 3770 extractable 3771 3772 3773 4. Kimchi N.A., Broide E., Scapa E., Birkenfeld S. Antiplatelet therapy and the risk of bleeding induced by gastrointestinal endoscopic 3774 procedures: A systematic review of the literature and recommendations. Digestion. 75 (1) (pp 36-45), 2007 3775 Narrative review (not a SR, and not MA), so we assessed the 3 studies they identified 3776 Hui GIE 2004. See above. Excluded • Yousfi AJG 2004. See above. Included. 3777 • Shiffman GIE 1994 ¹². Retrospective cohort study. Excluded. There is no comparator of patients who did not hold ASA. 3778 3779 3780 3781 Cohort studies with the comparator cohort that is needed for this PICO 3782 1. Ara DE 2015 ¹³ (Ara et al. Prospective analysis of risk for bleeding after endoscopic biopsy without cessation of antithrombotics in Japan. 3783 3784 Digestive Endoscopy. 27 (4) (pp 458-464), 2015) 3785 Procedure: endoscopic biopsy during EGDs 3786 Continued ASA = 166; events (bleeding): 1 (however, was patient was on both ASA and clopidogrel continued use) 3787 **Interrupted** ASA = 67; events (bleeding): 1

3. Dong J; Wei K; Deng J; Zhou X; Huang X; Deng M; Lu M. Effects of antithrombotic therapy on bleeding after endoscopic submucosal

- We cannot extract clean data for patients who were on <u>ASA-alone</u>. The best **approximation** we can make is as follows:
 - i. Continued ASA-alone $\approx 166 \times 85.7\% = 142$. Bleeding 0/142
 - ii. Interrupted ASA-alone \approx 67 x 90.7% = 61. Bleeding 1/61

- 2. Cho S.-J., Choi I.J., Kim C.G., Lee J.Y., Nam B.-H., Kwak M.H., Kim H.J., Ryu K.W., Lee J.H., Kim Y.-W. Aspirin use and bleeding risk after endoscopic submucosal dissection in patients with gastric neoplasms. Endoscopy. 44 (2) (pp 114-121), 2012. ⁵
 - Included. See comments above

Risk of bias assessr	ment of Cohor	t studies					
Study	Valid methods to ascertain exposure (for this PICO, exposure vs non-exposure refers to whether the ASA was interrupted or not)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstrati on that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Cho Endo 2012 ⁵	ОК	The two cohorts were likely very different in prognostic factors. No adjustment was made for confounders for the outcomes that we extracted	ОК	OK	Unclear	ОК	P: ASA users who had gastric ESD (endoscopic mucosal resection) Post-ESD bleeding (at 4 weeks): Continued aspirin: 2/12 Interrupted aspirin: 1/53
Ara. Dig End 2015	ОК	No, the two cohorts were not similar for prognostic factors for bleeding: confounding was favoring the non-interruption group. No adjustment.	OK	OK	ОК	OK	P: patients who had upper GI endoscopic biopsy Delayed bleeding (30 days) approximate data • Continuous aspirin treatment: 0/142 = 0% (0% - 2.1%) • interrupted aspirin: 1/61 = 1.6% (0% - 9.1%)

Low risk of bias
Unclear risk of bias
High risk of bias

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

3806 ASSESSMENT OF PREVIOUS GUIDELINES

- 2016 ASGE guidelines 15
 - "We suggest that continuation of low doses of ASA and nonsteroidal anti-inflammatory drugs may be continued safely in the peri-endoscopic period. Moderate quality of evidence"
 - However, not a single study was cited as supportive evidence
- Bhatt ACCF/ACG/AHA expert consensus AJG 2008 ¹⁶
 - This guideline did not address this question (interruption vs non-interruption of cardiac ASA prior to procedures) and did not cite any relevant studies
- Levine 2016 ACC/AHA Focused Update_ JACC 2016 17
 - This guideline did not address this question (interruption vs non-interruption of cardiac ASA prior to procedures) and did not cite any relevant studies
 - The whole guideline addressed patients DAPT. They issued a "Class I (strong recommendation)" based on level of evidence C-EO (expert consensus based on clinical experience): "In patients treated with DAPT after coronary stent implantation who must undergo surgical procedures that mandate the discontinuation of P2Y12 inhibitor therapy, it is recommended that aspirin be continued if possible and the P2Y12 platelet receptor inhibitor be restarted as soon as possible after surgery."
 - No studies relevant to our PICO were cited
- Chan APAGE/APSDE CPG Gut 2018 18

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- Elective endoscopy, Low-risk procedures, patients on single antiplatelet agent: "We do not recommend withholding antiplatelet
 agents. (strong recommendation; moderate-quality evidence)"
- Elective endoscopy, High-risk/ultra-high risk procedures, patients on single antiplatelet agent: "We do not recommend discontinuation of aspirin except in ultra-high risk procedures. (strong recommendation; low-quality evidence)"
- Several studies were cited, but only one (Yousfi AJG 2004, already included in our Evidence Profile) provides evidence relevant to one of these recommendations (in fact it provides evidence contradicting the recommendation). The cited studies are:
 - Hui GIE 2004.
 - Not eligible. See our comments further up (discussion of the studies included in previous SRMAs)
 - Shiffman GIE.
 - Not eligible. See our comments further up (discussion of the studies included in previous SRMAs)
 - Yousfi AJG 2004.
 - Already included in our evidence profile
 - Whitson MJ, Dikman AE, von Althann C, et al. Is gastroduodenal biopsy safe in patients receiving aspirin and clopidogrel? A prospective, randomized study involving 630 biopsies. J Clin Gastroenterol 2011;45:228–33.
 - Compared ASA and clopidogrel in healthy volunteers who underwent duodenal and antral biopsies.

			Upper Confidence		Upper Confidence
		Endoscopic Bleeding	Limit (Endoscopic	Clinical Bleeding	Limit (Clinical
Drug	Biopsies	Events	Bleeding)	Events	Bleeding)
CPG	350	0	0.0085	0	0.0085
ASA	280	1	0.0169	0	0.0106

The upper confidence limit reflects the highest probability consistent with the data of bleeding at a single gastroduodenal biopsy site.

ASA indicates aspirin: CPG, clopidogrel.

- There were no clinical bleeds in any arm
- Not eligible. It did not have the comparator required for our PICO. Furthermore, antral and duodenal biopsies are considered among the safest of the GI endoscopic interventions, so there is no equipoise as to whether ASA should be held.
- Ono S, Fujishiro M, Kodashima S, et al. Evaluation of safety of endoscopic biopsy without cessation of antithrombotic agents in Japan. J Gastroenterol 2012;47:770–4.
 - Not eligible. No comparator of interrupted ASA.

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- Burgess NG, Metz AJ, Williams SJ, et al. Risk factors for intraprocedural and clinically significant delayed bleeding after wide-field endoscopic mucosal resection of large colonic lesions. Clin Gastroenterol Hepatol 2014;12:651–61.
 - Not eligible. No comparator of interrupted ASA (the comparator for patients on ASA was the pooled group of all other patients, some on warfarin, some on no antithrombotics)
- Metz AJ, Bourke MJ, Moss A, et al. Factors that predict bleeding following endoscopic mucosal resection of large colonic lesions. Endoscopy 2011;43:506–11.
 - Not eligible. Some of the included patients interrupted ASA but these were pooled together with those who were never on ASA (this makes a big difference because those who interrupted ASA, resumed ASA 5 days after the procedure and this affected their risk of post-procedural bleeding). Also, those who continued ASA included those who inadvertedly took at least 1 dose of ASA within the 7 days prior to the procedure (they started ASA 5 days after the procedure) and those who, for medical reasons, were asked to not interrupt ASA (and did not interrupt ASA post-procedurally either). Clean numerators and clean denominators could not be extracted for our PICO. Also, in the multivariable analyses the comparator for patients on ASA was the pooled group of all other patients, some on warfarin, some on no antithrombotics, etc.
- Lim GIE 2012.
 - Not eligible. See our comments further up (discussion of the studies included in previous SRMAs)
- Cho Endo 2012.
 - Not eligible. See our comments further up (where the studies included in previous SRMAs are discussed)
- Tounou S, Morita Y, Hosono T. Continuous aspirin use does not increase postendoscopic dissection bleeding risk for gastric neoplasms in patients on antiplatelet therapy. Endosc Int Open 2015;3:E31-8.
 - Not eligible. See document of Excluded studies
- Tsuji Y, Ohata K, Ito T, et al. Risk factors for bleeding after endoscopic submucosal dissection for gastric lesions. World J Gastroenterol 2010;16:2913-7.
 - Not eligible. No separate results for ASA users (all of whom held ASA for 3 days).
- Jeon SW, Jung MK, Cho CM, et al. Predictors of immediate bleeding during endoscopic submucosal dissection in gastric lesions. Surg Endosc 2009;23:1974–9.
 - Not eligible. No separate results for ASA users (all of whom held ASA for 5 days).
- Sanomura Y, Oka S, Tanaka S, et al. Continued use of low-dose aspirin does not increase the risk of bleeding during or after endoscopic submucosal dissection for early gastric cancer. Gastric Cancer 2014;17:489–96.
 - Not eligible. See our comments further up (discussion of the studies included in previous SRMAs)
- Hamada T, Yasunaga H, Nakai Y, et al. Bleeding after endoscopic sphincterotomy or papillary balloon dilation among users of antithrombotic agents. Endoscopy 2015;47:997–1004

3881	 Not eligible. They report results for aspirin users (page 999) "when analyzed with adjustment for the same
3882	collection of variables, 3/354 patients and 415 of 51325 patients (0.8% in each group) experienced severe
3883	bleeding with the continuation of and the non-use of aspirin, respectively and the continuation of aspirin was
3884	not associated with a statistically significant increase in severe bleeding (OR 0.91; 95%CI 0.29–2.89)". However,
3885	these results include patients on dual and triple antithrombotic treatment (see table 3 in that paper). We could
3886	not extract clean data for our PICO. Interestingly, this study found some implausible results (increased bleeding
3887	with discontinuation of anticoagulants) which suggest serious confounding.
3888	Kien-Fong Vu C, Chang F, Doig L, et al. A prospective control study of the safety and cellular yield of EUS-guided FNA or
3889	Trucut biopsy in patients taking aspirin, nonsteroidal anti-inflammatory drugs, or prophylactic low molecular weight
3890	heparin. Gastrointest Endosc 2006;63:808–13.
3891	 Not eligible. No separate results on ASA users (pooled together with NSAID users) and no comparison of
3892	interruption vs no interruption
3893	 Richter JA, Patrie JT, Richter RP, et al. Bleeding after percutaneous endoscopic gastrostomy is linked to serotonin
3894	reuptake inhibitors, not aspirin or clopidogrel. Gastrointest Endosc 2011;74:22–34.
3895	 Not eligible. Exposure was defined as ASA administered within 48 or 72 hours before or after PEG. Neither the
3896	exposed nor the non-exposed definition fits into the interventions required for this PICO. Some ASA users were
3897	using second antithrombotic. The comparator included patients on no antithrombotics or non-ASA
3898	antithrombotics. We were unable to extract clean data for our PICO.
3899	
3900	Veitch_ BSG/ESGE CPG_ Endo 2016 19
3901	 For all endoscopic procedures we recommend continuing aspirin (moderate evidence, strong recommendation), with the
3902	exception of ESD, large colonic EMR (>2cm), upper gastrointestinal EMR and ampullectomy. In the latter cases, aspirin
3903	discontinuation should be considered on an individual patient basis depending on the risks of thrombosis vs haemorrhage (low
3904	quality evidence, weak recommendation).
3905	 Several studies were cited:
3906	■ Hui GIE 2004.
3907	 Not eligible. See above.
3908	 Shiffman GIE 1994
3909	 Not eligible. See above.
3910	 Nelson DB, Freeman ML. Major hemorrhage from endoscopic sphincterotomy: risk factor analysis. J Clin Gastroenterol
3911	1994; 19: 283–287

• Not eligible. Did not separate ASA from NSAID use, no comparator of discontinued ASA

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Yousfi AJG 2004

- Already included in our Evidence Profile
- Cho Endo 2012
 - Not eligible. See above.
- Takeuchi T, Ota K, Harada S et al. The postoperative bleeding rate and its risk factors in patients on antithrombotic therapy who undergo gastric endoscopic submucosal dissection. BMC Gastroenterol 2013; 13: 136
 - Not eligible. Compared patients with interrupted ASA vs patients who were never on ASA.
- Bahin FF, Naidoo M, Williams SJ et al. Prophylactic endoscopic coagulation to prevent bleeding after wide-field endoscopic mucosal resection of large sessile colon polyps. Clin Gastroenterol Hepatol 2015; 13: 724–730 e1–e2
 - RCT, but the randomization is not relevant to whether ASA should be held or not. Results on "ASA users" (use of ASA less than 7 days before the EMR) vs "non-users" can be calculated in each group, but there is no comparison between those who held ASA vs those who continued ASA.
- Burgess NG, Metz AJ, Williams SJ et al. Risk factors for intraprocedural and clinically significant delayed bleeding after wide-field endoscopic mucosal resection of large colonic lesions. Clin Gastroenterol Hepatol 2014; 12: 651–661 e1–e3
 - Not eligible. See above.
- Metz AJ, Bourke MJ, Moss A et al. Factors that predict bleeding following endoscopic mucosal resection of large colonic lesions. Endoscopy 2011; 43: 506–511
 - Not eligible. See above.
- Biondi-Zoccai GG, Lotrionte M, Agostoni P et al. A systematic review and meta-analysis on the hazards of discontinuing or not adhering to aspirin among 50,279 patients at risk for coronary artery disease. Eur Heart J 2006; 27: 2667–2674
 - SRMA of observational studies that assessed the effect of discontinuing or not adhering to ASA
 - Moderate to high risk for CAD:
 - One study on 31,750 patients (Newby LK, et al. Long-term adherence to evidence-based secondary prevention therapies in coronary artery disease. Circulation 2006;113:203–212) focused on <u>adherence to aspirin therapy in the secondary prevention of CAD</u> in patients with documented CAD (at least 1 documented coronary stenosis of > 50% or coronary bypass surgery). "Consistent use was then defined as reporting a medication use on at least 2 consecutive occasions and continuing to do so until death, withdrawal from follow-up, or the end of the study period. Patients were considered inconsistent users if they met criteria for none of these patterns" These surveys were separated by 6 or 12-month intervals. Therefore, this study assessed long term (several months) adherence rather than few days of interruption of aspirin.

3945	 two studies (2594) on aspirin discontinuation in acute CAD
3946	 Infarction occurred 11.9 ± 0.8 days and 10.0± 1.9 days after aspirin withdrawal, respectively, in
3947	this <u>high-risk population</u>
3948	 two studies (13 706) on adherence to aspirin therapy before or shortly after coronary artery bypass
3949	grafting,
3950	 and another (2229) on aspirin discontinuation among patients undergoing drug-eluting stenting
3951	
3952	 Maulaz AB, Bezerra DC, Michel P et al. Effect of discontinuing aspirin therapy on the risk of brain ischemic stroke. Arch
3953	Neurol 2005; 62: 1217–1220
3954	 Not eligible. Case-control study at high risk of bias. Assessed longer durations of ASA discontinuation (4 weeks)
3955	Oscarsson A, Gupta A, Fredrikson M et al. To continue or discontinue aspirin in the perioperative period: a
3956	randomized, controlled clinical trial. Br J Anaesth 2010; 104: 305-312
3957	 RCT. Serious indirectness because the procedures were non-cardiac surgeries, rather than endoscopic
3958	procedures
3959	 Included in SRMAs of RCTs in non-GI procedures (see below)
3960	
3961	Becker JACC "overview" AJG 2009 ²⁰
3962	 It is not a true guideline, but provided recommendations in Table 3 in the paper.
3963	 Several studies were cited, most of which are discussed above in this section (including Yousfi AJG 2004, that we have included),
3964	except from the following three:
3965	 Williams EJ, Taylor S, Fairclough P, et al. Risk factors for complication following ERCP; results of a large-scale, prospective
3966	multicenter study. Endoscopy 2007;39:793–801.
3967	 Not eligible. It does not report data on aspirin separate from other antiplatelets
3968	 Sawhney MS, Salfiti N, Nelson DB, et al. Risk factors for severe delayed postpolypectomy bleeding. Endoscopy
3969	2008;40:115–9.
3970	 Not eligible. Case-control study. Aspirin use was defined as "at least one dose of aspirin within 1 week prior and
3971	within $f 1$ week after polypectomy" which would include both the definition of ASA interruption and the
3972	definition of ASA non-interruption required for this PICO.
3973	 Hussain N, Alsulaiman R, Burtin P, et al. The safety of endoscopic sphincterotomy in patients receiving antiplatelet
3974	agents: a case-control study. Aliment Pharmacol Ther 2007;25:579–84.

3975	 Not eligible. Case-control study. The definition of ASA exposure was having taken ASA in the 10 days prior to the
3976	procedure, which would include both the definition of ASA interruption and the definition of ASA non-
3977	interruption required for this PICO.
3978	
3979	Boustiere ESGE CPG Endoscopy ²¹
3980	 Large number of studies cited – most of them have been discussed already above. No new eligible studies for our PICO
3981	
3982	• Fleisher ACC/AHA CPG JACC 2014 ²²
3983	 This guideline recommended <u>against</u> continuation of ASA in patients undergoing <u>elective noncardiac noncarotid surgery</u> who
3984	have <u>not</u> had previous coronary stenting (Level of Evidence: B), unless the risk of ischemic events outweighs the risk of surgical
3985	bleeding. (Level of Evidence: C)
3986	see section 6.2.6. Antiplatelet Agents: Recommendations (page e107) in the paper
3987	 The supportive evidence is two RCTs
3988	 Devereaux NEJM 2014 (POISE-2) ²⁴
3989	■ PEP trial Lancet 2000 ²⁵
3990	
3991	Duceppe CCVS CPG 2017 ²³
3992	 This guideline recommended <u>against</u> continuation of ASA in patients undergoing <u>elective noncardiac noncarotid surgery</u>: "We
3993	recommend against the continuation of ASA to prevent perioperative cardiac events, except in patients with a recent coronary
3994	artery stent and patients who undergo carotid endarterectomy (Strong Recommendation; High-Quality Evidence)".
3995	 The supportive evidence is two RCTs, although the evidence profile used only the POISE-2.
3996	■ Devereaux NEJM 2014 (POISE-2) ²⁴
3997	■ PEP trial Lancet 2000 ²⁵
3998	
3999	
4000	RCTs and SRMAs of RCTs on non-GI procedures
4001	4 D NEW 2044 (DOIGE ON 24
4002	1. Devereaux NEJM 2014 (POISE-2) ²⁴
4003	RCT, 2x2 factorial trial design
4004	 N= 10,010 patients who were preparing to undergo noncardiac surgery and were at risk for vascular complications
4005	Procedures: orthopedic, general, urologic, gynecologic, vascular, thoracic, "other".
4006	Randomized in a 1:1:1:1 ratio to receive
4007	• clonidine and aspirin

4008	• clonidine and aspirin placebo
4009	• clonidine placebo and aspirin
4010	• clonidine placebo and aspirin placebo.
4011	• Aspirin "dimension" of the trial: patients were stratified according to whether they had not been taking aspirin before the study
4012	(initiation stratum, with 5628 patients) or they were already on an aspirin regimen (continuation stratum, with 4382 patients).
4013	• Initiation stratum: patients started taking aspirin (at a dose of 200 mg) or placebo just before surgery and continued it
4014	daily (at a dose of 100 mg) for 30 days
4015	 Continuation stratum (existing ASA users): patients stopped taking aspirin at least 3 days before surgery; patients started
4016	taking aspirin (at a dose of 200 mg) or placebo just before surgery and continued it for 7 days, after which patients
4017	resumed their regular aspirin regimen.
4018	 The continuation stratum is the most relevant to our PICO, but still there is serious indirectness: both arms
4019	discontinued ASA for "at least 3 days" prior to surgery; the difference between those 2 arms was that one arm
4020	took ASA just before surgery and for the first 7 postoperative days, while the second arm stayed off ASA for the
4021	first 7 postoperative days. Therefore, neither of these arms fits well within the description of preoperative
4022	interruption vs. non-interruption of ASA that is required for our PICO.
4023	The results for ASA and for clonidine were reported as separate papers
4024	 Regarding clonidine: it did not reduce the rate of the composite outcome of death or nonfatal MI; it did, however,
4025	increase the risk of clinically important hypotension and nonfatal cardiac arrest.
4026	 No "clean data" on ASA (excluding the patients who took clonidine) were reported. No test for interaction between the
4027	two treatments was reported in this paper. The second paper on clonidine (Devereaux NEJM 2014; 370:1504-13)
4028	assessed the inverse interaction: "Status with respect to receipt of the aspirin study drug had no significant effect on the
4029	results of the comparison of clonidine with placebo (P≥0.12 for all interactions)", however, the actual results were not
4030	shown (such tests in 2x2 factorial design studies are almost always underpowered, so it is important to also report the
4031	results for each of the 4 cells)
4032	• The primary outcome was a composite of death or nonfatal MI at 30 days. For the whole study (patients previously on ASA and
4033	patients not on ASA previously):
4034	• ASA: 351/4998 (7.0%)
4035	• Placebo: 355/5012 (7.1%)
4036	• HR 0.99 (0.86 -1.15)
4037	Death (for the whole study):
4038	• ASA: 65/4998 (1.3%)

4039	• Placebo: 62/5012 (1.2%)
4040	• HR 1.05 (0.74–1.49)
4041	 The RR for the inverse (i.e., interruption vs continuation) is 0.95 (0.67-1.34)
4042	• We calculated the composite of nonfatal MI, cardiac revascularization, nonfatal PE or nonfatal DVT (for the whole study):
4043	• ASA: 286/4998 (5.7%)
4044	 Placebo: 293/5012 (5.9%)
4045	 The RR for the inverse (i.e., interruption vs continuation) is 1.02 (0.87 - 1.20)
4046	Note: definitions of bleeding
4047	• A life-threatening bleed was defined as a bleeding event that was fatal or led to: significant hypotension that required
4048	inotrope or vasopressor therapy, emergent (within 24 hours) surgery (other than superficial vascular repair), or
4049	intracranial hemorrhage
4050	 A major bleed was defined as a bleeding event that was not specified under life-threatening bleeding and resulted in
4051	any one of the following:
4052	1. a hemoglobin ≤70 g/L and the patient received a transfusion of ≥2 units of red blood cells;
4053	2. a hemoglobin drop of ≥50 g/L and the patient received a transfusion of ≥2 units of red blood cells;
4054	the patient received a transfusion of ≥4 units of red blood cells within a 24 hour period;
4055	4. any one of the following interventions (i.e., embolization, superficial vascular repair, nasal packing); or
4056	5. retroperitoneal, intraspinal, or intraocular (confirmed clinically or on imaging) bleeding.
4057	 Life threatening bleeding or Major bleeding (for the whole study):
4058	• ASA: 317/4998 (6.3%)
4059	• Placebo: 261/5012 (5.2%)
4060	• OR 0.81 (0.69-0.96); RR 0.82 (0.70-0.96)
4061	
4062	• The bleeding outcomes were also reported separately for the <u>continuation</u> stratum (i.e., patients who were previously on
4063	cardiac ASA and were randomized to ASA or placebo perioperatively).
4064	 Life-threatening or major bleed:
4065	• ASA: 136/2191 (6.2)
4066	• Placebo: 113/2191 (5.2)
4067	• OR (not HR) = 1.20 (0.94-1.55)
4068	• The inverse (i.e., interruption vs continuation) is OR 0.82 (0.64-1.06); RR 0.83 (0.65-1.06)

- 2. **Lewis CDSR 2018** ²⁶ (Lewis SR, et al. Continuation versus discontinuation of antiplatelet therapy for bleeding and ischaemic events in adults undergoing non-cardiac surgery. Cochrane Database of Systematic Reviews 2018)
 - SRMA (Cochrane review) of 5 RCTs, one of which dealt with clopidogrel. The 4 ASA studies were:
 - Oscarsson BJA 2010 ²⁷ (Oscarsson A, et al. To continue or discontinue aspirin in the perioperative period: a randomized, controlled clinical trial. Br J Anaesth 2010)
 - Type of surgery: Abdominal, Urologic, Orthopaedic, Gynaecologic
 - Prior to surgery: <u>90%</u> of participants were on aspirin. We could not extract "clean" data for patients on ASA prior to surgery.
 - Continuation group (n = 109): participants discontinued any existing dose of aspirin at 7 days prior to surgery, then given 75 mg aspirin, until third postoperative day
 - Discontinuation group (n=111): participants discontinued any existing dose of aspirin at 7 days prior to surgery, then given placebo until third postoperative day
 - Antolovic BMCS 2011 ²⁸ (Antolovic, et al. A randomised controlled trial to evaluate and optimize the use of antiplatelet agents in the perioperative management in patients undergoing general and abdominal surgery: the APAP trial. BMC Surgery 2011;11:7)
 - Type of surgery: elective general and abdominal surgery (inguinal hernia repair, cholecystectomy, colonic/colorectal, laparoscopic)
 - Prior to surgery <u>all</u> participants were on cardiac ASA
 - Continuation group (n = 26): participants continued on usual prescribed antiplatelet dose (25 participants = 100 mg a day, 1 participant = 50 mg) during whole study period
 - Discontinuation group (n=26): participants discontinued antiplatelet medication 5 days prior to surgery. Study period for 5 days postoperatively. No placebo treatment.
 - Mantz BJA 2011 ²⁹ (Mantz et al. Impact of preoperative maintenance or interruption of aspirin on thrombotic and bleeding events after elective non-cardiac surgery: the multicentre, randomized, blinded, placebo-controlled, STRATAGEM trial. British Journal of Anaesthesia 2011;107(6):899-910)
 - Type of surgery: All types of elective procedures were considered (orthopaedic, abdominal, urologic, thoracic, oncologic, ENT)
 - Prior to surgery <u>73%</u> of participants were on cardiac ASA, the remaining were on other antiplatelets. We could not extract "clean" data for patients on ASA prior to surgery.

4101	 Continuation group (n = 145): existing antiplatelet therapy discontinued 10 days prior to study and switched to
4102	aspirin 75 mg which was continued up to morning of surgery. Participants resumed initial anti-platelet therapy
4103	after surgery as soon as medical staff felt it was clinically appropriate
4104	 Discontinuation group (n= 146): existing antiplatelet therapy discontinued 10 days prior to study and switched
4105	to a placebo. Participants resumed initial anti-platelet therapy after surgery as soon as medical staG felt it was
4106	clinically appropriate.
4107	
4108	 Nielsen SJUN 2000 ³⁰ (Nielsen et al. The effect of low-dose acetylsalicylic acid on bleeding after transurethral
4109	prostatectomy: a prospective, randomized, double-blind, placebo-controlled study. Scandinavian Journal of Urology and
4110	Nephrology 2000;34(3):194-8)
4111	Type of surgery: TURP
4112	 Prior to surgery <u>all</u> participants were on cardiac ASA
4113	• Continuation group (n = 26): usual dose of aspirin was discontinued 10 days before surgery and participant given
4114	150 mg aspirin. Participants resumed usual dose after catheter removal
4115	 Discontinuation group (n= 27): usual antiplatelet therapy discontinued 10 days prior to study and participant
4116	given placebo. Participants resumed usual antiplatelet therapy after catheter removal
4117	
4118	• See Figure 3 (Risk of bias summary) in Lewis 2018 for risk of bias assessment of these 4 trials. We made one correction: in our
4119	opinion, Antolovic 2021 should have been high risk of bias for performance bias.
4120	
4121	3. Wolff IJC 2018 ³¹ (Wolff G, et al. Perioperative aspirin therapy in non-cardiac surgery: A systematic review and meta-analysis of
4122	randomized controlled trials. Int J Cardiol. 2018;258:59-67)
4123	SRMA of RCTs
4124	 Included both discontinuation and initiation trials on perioperative ASA
4125	 No additional eligible trials for our PICO, other than the four ones that we described under Lewis CDSR 2018.
4126	The POISE-2 trial ²⁴ (see above) is also potentially eligible for our PICO
4127	 The PEP trial Lancet 2000 ²⁵, should be mentioned because it was a large RCT on 17,444 patients
4128	 Not eligible. It was essentially a peri-operative ASA <u>initiation</u> trial. Aspirin or other NSAIDs had been taken within 48 h
4129	before randomisation by 9% of patients. Separate results for prior ASA users were not reported (in fact, there is a

4130 mention in another paper (Mantz BJA 2011) that the PEP trial authors were contacted for results on the subgroup of prior ASA users but "did not have the data to allow them to determine the outcome for this subgroup of patients")

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4134 Our SRMA of non-GI RCTs

4135 We included 4 RCTs and extracted the outcomes from the SRMA by Lewis 2018

4136
 Oscarsson BJA 2010

4137 • Antolovic BMCS 2011

4138 • Mantz BJA 2011

4139
 Nielsen SJUN 2000

We also included Devereaux NEJM 2014 (POISE-2) in sensitivity analyses (our own data extraction), due to the additional indirectness concerns.

After discussions with the panel, we included Devereaux NEJM 2014 for the outcome of bleeding but not for the outcomes of thrombotic events and mortality (because for the last two outcomes we could not extract separate results for prior ASA users who discontinued ASA).

Risk of bias asse	Risk of bias assessment of RCTs										
Study	Adequate sequence generation	Allocation concealment	Blinding	Incomplete outcome data addressed	Free of selective reporting	Free of other bias	Comments				
Devereaux NEJM 2014 (POISE-2)	ОК	ОК	OK (double- blind)	ОК	ОК	ОК					

Low risk of bias
Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias

4145

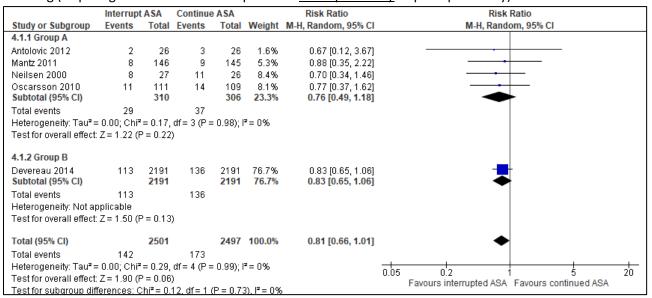
4143

4144

4146

Results:

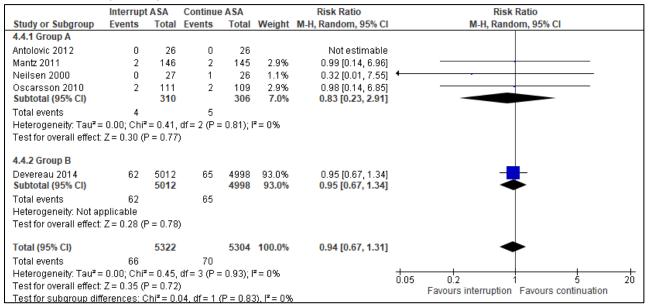
• Bleeding (requiring transfusion of blood products <u>intraoperatively</u> or postoperatively).



• Thrombotic and ischemic events (peripheral thrombosis, cerebral infarction, myocardial infarction within 30 days)

			**	•		•	
	Interrupt	ASA	Continue	ASA		Risk Ratio	Risk Ratio
Study or Subgroup	Events	Total	Events	Total	Weight	M-H, Random, 95% CI	M-H, Random, 95% CI
4.2.1 Group A							
Antolovic 2012	0	26	0	26		Not estimable	
Mantz 2011	5	146	6	145	8.4%	0.83 [0.26, 2.65]	
Neilsen 2000	1	27	1	26	1.7%	0.96 [0.06, 14.60]	
Oscarsson 2010 Subtotal (95% CI)	10	111 310	3	109 306	7.2% 17.3%	3.27 [0.93, 11.57] 1.49 [0.56, 3.96]	-
Total events	16		10			[,]	
Heterogeneity: Tau ² =		= 2.59		n 27\· i	²= 23%		
Test for overall effect:			,	0.2.,,	2070		
4.2.2 Group B							
Devereau 2014 Subtotal (95% CI)	293	5012 5012	286	4998 4998	82.7% 82.7%	1.02 [0.87, 1.20] 1.02 [0.87, 1.20]	•
Total events	293		286				
Heterogeneity: Not ap	plicable						
Test for overall effect:	Z = 0.27 (F	P = 0.79)				
Total (95% CI)		5322		5304	100.0%	1.09 [0.77, 1.55]	•
Total events	309		296				
Heterogeneity: Tau ² =	0.03; Chi²	= 3.37,	df = 3 (P =	0.34); (²= 11%		0.05 0.2 1 5 2
Test for overall effect:							Favours Interrupt ASA Favours Continue ASA
Test for subgroup diff	erences: C	$hi^2 = 0$	57 df=1 (P = 0.49	5) P = 0%	i	ravours interrupt ASA Favours Continue ASA

• All-cause mortality (30 days):



4189 Evidence profile for PICO 15

Temporary interruption of cardiac ASA for 5-7 days vs. continuous ASA use

	Certainty Assessment									Summary of Findings			
	Certainty Assessment								Events / participants		Effect		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	Interrupt ed ASA	Continued ASA	Relative (95% CI)	Absolute (95% CI)	Comments	
Bleeding within 30	days (critical o	utcome)		I						I			
Gastric ESD: 1 cohort study (Cho 2012 ⁵)	Serious ^a	Not applicable	Serious ^b	Very serious °	None	⊕⊖⊝ VERY LOW		1/53	2/12	RR 0.11 (0.01 – 1.15)	Risk with continued ASA: 166 events per 1,000. With interrupted ASA: 148 less per 1,000 (from 164 less, to 25 more)		
Biopsies at upper GI endoscopy 1 cohort study (Ara. Dig End 2015 ¹³)	Serious ^a	Not applicable	Serious ^d	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW	⊕⊖⊝ VERY LOW	1/61	0/142	RR 6.91 (0.29- 167.52)	Risk with continued ASA: 0 events per 1,000. With interrupted ASA: 16 more per 1,000 (from 0 more, to 91 more)		
Non-GI procedures SRMA of 5 RCTs (Antolovic 2012, Mantz 2011, Neilsen 2000, Osxarsson 2010, Devereaux 2014)	Not serious	Not Serious	Very serious ^e	Serious ^f	None	⊕⊝⊝ VERY LOW		142/2501	173/2397	RR 0.81 (0.66 -1.01)	Risk with continued ASA: 72 events per 1,000. With interrupted ASA: 14 less per 1,000 (from 24 less, to 1 more)		

Thrombotic events	within 30 days	(critical outcome	2)								
Non-GI procedures SRMA of 4 RCTs (Antolovic 2012, Mantz 2011, Neilsen 2000, Osxarsson 2010)	Not serious	Not Serious	Very serious ^g	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW	16/310	10/306	RR 1.49 (0.56 -3.96)	Risk with continued ASA: 33 events per 1,000. With interrupted ASA: 16 more per 1,000 (from 15 less, to 98 more)	Range of interruption 4-10 days
Mortality within 30	days (importa	nt outcome, but r	not critical for dec	ision making)							
Non-GI procedures SRMA of 5 RCTs (Antolovic 2012, Mantz 2011, Neilsen 2000, Osxarsson 2010)	Not serious	Not Serious	Very serious ^h	Very serious ^c	None	⊕⊝⊝ VERY LOW	3/310	5/306	RR 0.83 (0.23 -2.91)	Risk with continued ASA: 16 events per 1,000. With interrupted ASA: 3 less per 1,000 (from 12 less, to 31 more)	

Footnotes

^a Serious risk of bias due to results not adjusted for known major confounders

^b Serious indirectness for the outcome of bleeding, given that this PICO covers all GI procedures, not only gastric ESD (if the PICO had been restricted to gastric ESD, there would be no indirectness)

^c Very serious imprecision due to very wide confidence intervals (compatible with large benefit as well as large harm) and the very small number of events

^d Serious indirectness for the outcome of bleeding, given that this PICO covers all GI procedures, not only upper GI endoscopy biopsies (if the PICO had been restricted to upper GI endoscopy biopsies, there would be no indirectness). Also, indirectness because the paper did not allow for extraction of "clean" data for patients on aspirin monotherapy; instead, we calculated approximate results

^e Very serious indirectness because the baseline risk of bleeding and the effect on ASA on the risk of bleeding is likely very different in these surgeries as opposed to GI endoscopy. Also, the timing of interruption and initiation was different than the timing required for our PICO. Also, two of the studies included a small proportion of patients who were not on cardiac ASA treatment prior to surgery. Of note, we were able to extract data for prior ASA users from Devereauz 2012 (only for this outcome)

^f Serious impression because the 95% CI is compatible with serious harm and no (or negligible) difference

^g Very serious indirectness because the baseline risk of thrombosis and the effect on ASA on the risk of thrombosis is likely very different in these surgeries as opposed to GI endoscopy. Also, the timing of interruption and initiation was different than the timing required for our PICO. Also, two of the studies included a small proportion of patients who were not on cardiac ASA treatment prior to surgery.

^h Very serious indirectness because the baseline risk of death and the effect on ASA on the risk of death is likely very different in these surgeries as opposed to GI endoscopy. Also, the timing of interruption and initiation was different than the timing required for our PICO. Also, two of the studies included a small proportion of patients who were not on cardiac ASA treatment prior to surgery.

Evidence to Decision Table

15. Interruption of cardiac ASA

4218 P: Patient on ASA 81 mg/day or 325 mg/day (i.e, cardiac ASA)

4219 I: Interruption of cardiac ASA X 5-7 days

C: No temporary interruption of cardiac ASA

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

	Judgement (Panel's judgments highlighted <mark>in yellow color</mark>)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large Varies o Don't know	See Evidence Profile Table The desirable anticipated effects of ASA interruption are: reduction in delayed bleeding (critical outcome), however, there are comparative studies only on patients who had gastric endoscopic mucosal resection, i.e., a population with extreme baseline bleeding risk. The panel could not make a blanket judgment for all GI procedures, and felt more appropriate to state that the effect on bleeding risk varies according to the type of procedure. Non-GI literature showed a reduction in bleeding as well, although the panel considered that data as very indirect (bleeding location is important: GI vs. non-GI location- access to GIB site is	
Undesirabl e Effects	How substantial are the undesirable anticipated effects? o Large o Moderate	easier than some of the non-GI sites of internal bleeding). The effect on mortality was trivial and was derived from the literature on non-GI procedures The undesirable anticipated effects of ASA interruption are: increase in thrombotic events. The literature on GI procedure is very limited and does not allow calculation of absolute effects,	

	Small Trivial Varies Don't know	but the committee felt that results on thrombosis from non-GI procedures could be used for decision making in GI procedures	
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	
Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? o Important uncertainty or variability Possibly important uncertainty or variability Probably no important uncertainty or variability No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1.	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention Favors the intervention Varies O Don't know		

		1	<u> </u>
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs Negligible costs and savings O Moderate savings C Large savings O Varies O Don't know	The cost of cardiac ASA for 5-7 days is negligible	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low o Moderate High o No included studies		
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies		
Acceptability	No included studies Is the intervention acceptable to key stakeholders? No Probably no Probably yes Yes Varies Don't know		

		1
-	Is the intervention feasible to implement?	
	o No	İ
	o Probably no	
bii d	o Probably yes	
·s	• Yes	
Fea		
	o Varies	
	o Don't know	1

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4226 **Conclusions**

15. Interruption of cardiac ASA

- 4228 P: Patient on ASA 81 to 325 mg/day (i.e, cardiac ASA) for secondary prevention
- 4229 I: Interruption of cardiac ASA X 5-7 days
- 4230 C: No temporary interruption of cardiac ASA
- 4231 O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial
- 4232 infarction, cardiac stent occlusion, deep vein thrombosis, pulmonary embolus)
- 4233 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention (interruption) 6/6 votes: 100%	Conditional recommendation for either the intervention or the comparison	Conditional recommendation for the intervention	Strong recommendation for the intervention	
	0	•	0	0	0	
Recommendation	For patients on ASA 81 to 325 mg/day (i.e, cardiac ASA) for secondary prevention, we suggest against interruption of ASA					
Justification						
Subgroup considerations						
Implementation considerations						

Monitoring and	
evaluation	
Research priorities	

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4238 4239

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4307 4308 4309 4310 4311 16. Timing of warfarin resumption following endoscopy 4312 16. Timing of warfarin resumption following endoscopy 4313 4314 P: Patient on warfarin who underwent endoscopy 4315 1: Resumption on the same day of the procedure 4316 C: Resumption 1 to 7 days after the procedure 4317 O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep 4318 vein thrombosis, pulmonary embolus) 4319 **IMPORTANT, BUT NOT CRITICAL:** mortality within 30 days 4320 4321 **Overall remarks:** 4322 Very little evidence for patients undergoing GI procedures The wording of the Intervention and Comparator ("resumption") implies that the patient interrupts warfarin therapy for the procedure. 4323 4324 We also searched for studies that included patients who did not hold warfarin prior to the procedure and compared the efficacy 4325 and safety of holding warfarin for 1-7 days after the procedure vs continuing warfarin therapy without any interruptions: we did not identify such studies. 4326 4327 SRMA of 3 cohort studies Chai-Adisaksopha TH 2015 1 (Chai-Adisaksopha et al. Thromboembolic events, recurrent bleeding and 4328 mortality after resuming anticoagulant following gastrointestinal bleeding. Thromb Haemost 2015; 114: 819–825) was not eligible. See Excluded Studies Appendix. 4329 4330 Given the paucity of comparative data (resumption on the same day of the procedure vs resumption 1 to 7 days after the procedure) we assessed the evidence base that informed previous guidelines that addressed the question of timing of resumption: 4331 4332 ASGE 2016 guideline ²: two cohort studies were cited: 4333 "In 1 study involving 94 patients who had undergone 109 colonoscopies (including hot biopsy or snare polypectomy in 47%), patients were instructed to restart warfarin (Coumadin) therapy on the day after endoscopy [Timothy DCR 2001] 3. Only 1 case 4334 (0.9%) of procedure-related bleeding occurred after 7 days of warfarin (Coumadin) therapy and required hospitalization and 4335 transfusion. None of the patients undergoing diagnostic colonoscopy experienced bleeding. Conversely, a second study involving 4336

173 patients found that resuming warfarin (Coumadin) or heparin within 1 week after polypectomy was associated with an increased risk of bleeding (OR 5.2; 95% CI, 2.2-12.5) [Sawhney Endo 2008] 4 ."

We did not include these studies for the following reasons:

- Both studies were single-arm studies (they did not have a comparator cohort), and did not allow for extraction of non-comparative data either.
- With regards to the first study (Timothy DCR 2001³), the authors stated that "patients were asked to restart warfarin (using their previous dose) the day after the examination". However, this protocol was not necessarily adhered to, given that the only patient who bleed post-colonoscopy was a patient who restarted warfarin 3 days after the procedure and no data on the timing of resumption were reported for the remaining patients. Therefore, this study cannot even provide non-comparative data: it cannot inform the rate for a single intervention/comparison in this PICO. Furthermore, complications may have been missed (especially VTEs) given that "patients were followed up by chart review of the two months after the colonoscopy to identify complications", i.e., patients may have presented to other hospitals or to primary care providers.
- With regards to the second study (Sawhney Endo 2008 ⁴), the reported OR cannot be used to support decision-making, because both the "cases group" and "controls groups" included patients on anticoagulation prior to the procedure and patients who had not been on anticoagulation prior to the procedure. The variable "anticoagulation" was defined as "heparin or warfarin use within 1 week after a polypectomy". This means that it is unknown how many patients in each group had been on anticoagulation prior to the procedure, held it and did not resume anticoagulation within 7 days after the procedure. Similarly, any patients on anticoagulation who held it peri-procedurally and resumed it more than 7 days post procedure would have been classified as non-anticoagulated patients. For the PICO of our guideline, we cannot extract any relevant comparative data. We cannot extract any relevant non-comparative data either.
- The ASGE 2016 guideline ² also cited two previous guidelines
 - The **2014 AHA/ACC** guideline ⁵ (that addressed the management of <u>valvular</u> heart disease), which "recommends that warfarin (Coumadin) be restarted within 24 hours of the procedure in patients with valvular heart disease and a low-risk for thromboembolism. In patients at high risk for thromboembolism, UFH or LMWH should be restarted as soon as "bleeding stability allows" and continued until the INR reaches an appropriate therapeutic level." However, the two studies that were cited in the 2014 AHA/ACC guideline do not provide any evidence that supports any of the two recommendations:
 - Tinker JAMA 1978 ⁶: retrospective cohort study on 159 patients with mechanical valves who underwent noncardiac operations between 1962 and 1975. 10% of the patients had thromboembolic complications and 13% had "various difficulties with hemostasis". The authors concluded "that there is minimal risk to patients with cardiac valve prostheses who are receiving anticoagulants when the drug regimen is stopped for one to three days preoperatively and one to seven days postoperatively", but this was a mixed population with 23 patients not discontinuing anticoagulation and 7 patients delaying resumption for more than 7 days.

- Furthermore, for some patients the indication for noncardiac surgery was bleeding while on anticoagulation, either intracranial bleeding or GI bleeding. Results for clean denominators cannot be extracted. These results can neither support or refute the AHA/ACC recommendation.
- Kearon NEJM 1997 ⁷: This a narrative review that also provided recommendations that were based on risk estimates that were clearly stated in tables, that were derived via prorated extrapolation from the annual rates of events (but see comments below (Dunn JTH 2006) about the uncertainty with such prorated extrapolations). There were no data supporting a specific timing for warfarin resumption vs a different timing for warfarin resumption.
- The 2012 ACCP guideline ⁸, which cited several cohort studies and a narrative review:
 - **Douketis Arch IM 2004** ⁹ (included in our Evidence Profile). Single-arm prospective single-center cohort study of <u>consecutive</u> patients who interrupted warfarin therapy because of an invasive procedure. All patients were managed according to a standardized periprocedural anticoagulation regimen. See description below in included studies.
 - Kovacs Circulation 2004 ¹⁰. Single-arm prospective multi-center cohort study. Apparently non-consecutive patients (given that 11 tertiary academic centers enrolled only 224 patients over 9-10 months) who interrupted warfarin therapy because of an invasive procedure. All patients resumed warfarin on the day of the procedure, and had pre-operative and post-operative bridging with dalteparin. The invasive procedures were diverse, including 19 "endoscopies" (no further description was available, no separate outcomes for those patients), 25 major orthopedic surgeries and 25 dental procedures. The indirectness concerns are similar to the ones related to the above-mentioned study (Douketis Arch IM 2004). Furthermore, given that patients were unlikely to be consecutive, the results cannot be used as to prove feasibility (we don't know the denominator)
 - **Spyropoulos JTH 2006** ¹¹. Prospective multi-center cohort study. Compared unfractionated heparin (UFH) with low-molecular-weight heparin (LMWH) for the perioperative bridging of patients at risk of thromboembolism requiring temporary interruption of long-term warfarin therapy. No separate outcomes for GI procedures. This study cannot answer the PICO of this guideline, especially since results on outcomes were not reported according to the timing of the warfarin resumption (started < 24 h postoperatively: 38% in the UFH group, 63% in the LMWH group).
 - Dunn JTH 2006 ¹². Narrative review that commented on four cohort studies: the 3 above-mentioned cohort studies (including the abstract publication of Spyropoulos JTH 2006 ¹¹) plus another abstract publication that was published one year later as Dunn JHT 2007 ¹⁶ (see below). No results on the comparison of different timings for warfarin resumption.
 - Dunn JHT 2007 ¹⁶ (Included in our Evidence profile, see below). Single-arm cohort study on 260 patients at 20 sites in North America requiring invasive or surgical procedures, whose treating physician felt that bridging therapy was required. Warfarin was withheld, and once-daily s.c. enoxaparin (1.5 mg/kg) was

given peri-operatively. Patients apparently non-consecutive. Separate results for patients who had GI invasive procedures were extracted. "The bleeding risk varied markedly by extensiveness of procedure: the incidence of major bleeding for invasive procedures, minor surgery and major surgery was 0.7% (95% 4408 CI: 0.02–3.7), 0% (95% CI: 0–5.0), and 20.0% (95% CI: 9.1–35.7), respectively."

The 2018 ASH guidelines ¹³ did not address this PICO.

• See discussion in Dunn JTH 2006 ¹² on why a prorated extrapolation from the annual rates of thromboembolism may be underestimating the peri-operative risk

"Potential arterial hypercoagulable state: Given the lack of randomized trials, clinicians typically use a general sense of the perioperative stroke risk if bridging anticoagulation is not given to estimate the perioperative stroke risk. This method assumes that the perioperative stroke rate can be considered a prorated portion of the annual stroke rate and discounts the possibility that patients may be hypercoagulable during the perioperative period. A perioperative hypercoagulable state that dramatically increases the risk of venous events is well established, however, and an impact on arterial events has not been excluded by trial data. Several potential mediators have been noted, including increased levels of antithrombin III and decreased levels of endogenous tissue plasminogen activator. In addition, rebound hypercoagulability after OAC is withdrawn has also been demonstrated, which may further increase the incidence of perioperative thromboembolism. The results of recent trials have found a substantially greater than expected incidence of arterial thromboembolism, suggesting that a hypercoagulable state affecting the risk of arterial events may exist. As an example, the expected stroke rate if bridging therapy is not administered for a patient with atrial fibrillation who has a 5% annual stroke rate without anticoagulation is approximately 0.2% (i.e., 1 in 500 patients). Though the data is not definitive, the clinical event rate in studies is approximately 1.0% (1 in 100 patients). Given the catastrophic nature of thromboembolic stroke, this difference is clinically important and could potentially impact whether bridging anticoagulation is indicated."

Cohort studies without the comparator needed for this PICO

- 1. **Douketis Arch IM 2004** ⁹ (Douketis JD, Johnson JA, Turpie AG. Low-molecular-weight heparin as bridging anticoagulation during interruption of warfarin: assessment of a standardized periprocedural anticoagulation regimen. Arch Intern Med. 2004; 164 (12):1319-1326).
 - Single-arm prospective single-center cohort study of <u>consecutive</u> patients who interrupted warfarin therapy because of an invasive procedure. All patients were managed according to a standardized periprocedural anticoagulation regimen. All patients had pre-procedural dalteparin bridging.

- i. Patients who had "high-bleeding-risk" procedure (such as coronary artery bypass, abdominal aortic aneurysm repair, neurosurgical cancer surgery, etc.), resumed warfarin on the evening after the procedure, but did not receive post-procedural dalteparin.
- ii. Patients (n=542) who had "non-high-bleeding-risk" procedure (including colonoscopic polypectomy (n=5), GI endoscopy ± biopsy (n=65), cholecystectomy, arthroscopy, etc.) who had adequate postprocedural hemostasis, resumed warfarin on the evening of procedure, and resumed dalteparin (100 IU/kg twice daily) 24 hours after the procedure (dalteparin was continued until the INR was 2.0 or more)
- iii. Patients who had "non-high-bleeding risk" procedure who had inadequate postprocedural hemostasis, delayed resumption of warfarin was delayed until the first postprocedural day, and dalteparin was delayed until the second or third postprocedural day when hemostasis was secured.
- Although there was no comparator cohort, this study showed that this standardized approach was associated with a low risk of thromboembolic and major bleeding complications (mean FU 13.8 days, range, 10-18 days): See Table 5 in the paper.
- For this PICO, there is serious indirectness:
 - Indirectness of population (we cannot extract separate results for the patients who underwent GI procedures)
 - The incidence of "major bleeding" in this study (defined as clinical evidence (hematemesis) and hgb decrease of more than 2 g/dL or transfusion) may be different than the incidence of bleeding in patients undergoing GI procedures.
 - The incidence of thromboembolism in this study (if we use the data from the group that underwent ambulatory procedures with minimal tissue destruction) should be similar to the incidence thromboembolism patients undergoing ambulatory GI procedures,
 - Indirectness of co-intervention: most of the patients in the "non-high-bleeding-risk" group received post-procedural dalteparin
 - Major bleeding. Post-procedural dalteparin would tend to increase the risk of bleeding compared to no dalteparin bridging (as shown in Douketis NEJM 2015, BRIDGE study ¹⁴). Therefore, we can assume that if post-procedural dalteparin had not been used, the incidence of major bleeding would have been similar or lower (and not higher) than the observed incidence in this study.
 - Regarding the incidence of thromboembolism, the use of post-procedural dalteparin has probably led to lower incidence of thromboembolism in this study, compared to our PICO. However, we cannot be confident about the direction of the difference because, on the other hand, dalteparin would cause additional cases of bleeding or minor/suspected bleeding, that would alarm patients and HCPs and lead to further deferral of resumption of anticoagulation, thus causing more thromboembolism (as seen in Kovacs Circulation 2004 ¹⁰, where 6 out of 8 episodes of thromboembolism, 6 occurred in patients who had warfarin deferred or withdrawn because of bleeding).
- Outcomes for patients in the "non-high-bleeding-risk" group (mean FU 13.8 days, range 10-18 days) for
 - o Major bleeding: 4/542 = 0.74%, 95% CI 0.20% 1.87%

- Remark: Major bleeding in the non-high risk bleeding procedure group: 3 wound hematomas and 1 rectus sheath hematoma. Highly unlikely these occurred in those patients undergoing endoscopic procedures.

 Thromboembolism: 2/542 = 0.37%, 95% CI 0.04% 1.32%

 Remark: Table 6. Only 1 patient with a thromboembolic event had a GI endoscopy. Event occurred 5 days after the
 - Remark: Table 6. Only 1 patient with a thromboembolic event had a GI endoscopy. Event occurred 5 days after the procedure. The patient did have pre and post-procedural LMWH
 - o Death: 0/542 = 0%, 95% CI 0% 0.5% (95% CI calculated with the rule of 3/n for zero events (Govani. AJG 2013; 108:1831))
 - Also, this study was included in the Evidence-to-Decision Framework to provide <u>evidence that early resumption of warfarin is feasible</u>: very low certainty of evidence, given that it was observational study and it was not designed as feasibility study (which has very specific requirements), although some feasibility outcomes were actually reported.
 - 2. **Paik SE 2018** ¹⁵ (Paik W.H., Lee S.H., Ahn D.W., Jeong J.B., Kang J.W., Son J.H., Ryu J.K., Kim Y.-T. Optimal time of resuming anticoagulant after endoscopic sphincterotomy in patients at risk for thromboembolism: a retrospective cohort study. Surgical Endoscopy. 32 (9) (pp 3902-3908), 2018)
 - **Population:** N = 96 patients on heparin bridging due to interruption of warfarin therapy, who underwent endoscopic sphincterotomy (EST)
 - This ERCP practice may not be generalizable. 72 (75%) had a biliary stent placed, including 40 patients undergoing ERCP for CBD stones. 22 (23%) had a pre-cut. 15 (16%) had treatment of bleeding during ERCP
 - NOTE: It is unclear when warfarin was resumed. There is no description of the protocol that was used for warfarin resumption. The fact that the authors note in their introduction that "the consensus of discontinuing warfarin is fundamentally unchanged from 2008 guideline of the British Society of Gastroenterology" and use the 2016 BSG guideline (Veitch Gut 2016;65:374) as citation to support this, implies that they may have aimed to follow the 2016 BSG recommendations (according to which "warfarin can be resumed on the day of the procedure with the usual dose that night; restart the daily therapeutic dose of LMWH on the day after the procedure"). However, this represents a very serious uncertainty for this guideline if these results are used to inform the incidence of outcomes for the "intervention" of this PICO, that is, for patients who resume warfarin on the day of the procedure. We will have to make this decision based on an assumption of intention: even if our assumption is correct, the execution could have been different that the intention (i.e., it is unclear if any and how many patients actually resumed warfarin in 1-7 days)
 - Authors' comparisons
 - o intervention: n = 56 patients resumed heparin < 24 h (very early group)
 - comparator 1: n = 23 patients resumed heparin at 24-48 hours (early group)
 - o comparator 2: n = 17 resumed heparin > 48 hours (late group)
 - For this PICO:

o Intervention: 96 patients assumed to have resumed warfarin on the day of the procedure

4505	o Comparator: none
4506	• Therefore, for the needs of this PICO, this study can only be used as a single-arm cohort study (all patients assumed to have
4507	resumed warfarin on the day of the procedure)
4508	Outcomes:
4509	• Post-EST delayed bleeding (at 14 days)
4510	• very early (< 24 h): 3/56 patients (5%) had delayed bleeding; 1/56 patients (2%) had significant delayed bleeding.
4511	 early (24–48 h): 2/23 patients (9%) had delayed bleeding; 1/23 (4%) had significant delayed bleeding.
4512	• late (> 48 h): 0/17 patients
4513	 Overall: 5/96 (5%) had delayed bleeding; 2/96 (2%) had significant delayed bleeding
4514	Thromboembolic events (at 90 days)
4515	 0 thromboembolic events in very early and early group
4516	 4/17 patients (24%) had thromboembolic events in late group
4517	• <u>Overall</u> : 4/96 (4%)
4518	 Remark: Evaluated at 90 days but reported time from procedure for all events. 3 occurred before 30
4519	days and 1 occurred after 30 days (33 days). None occurred in patients with post-EST bleeding treated
4520	during ERCP.
4521	Mortality: not reported
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4523	Remarks
4524	 Serious indirectness, because all patients received both pre-procedural and post-procedural heparin (type of heparin, dose and
4525	route of administration were not reported). As discussed above in the Overall Remarks regarding Douketis Arch IM 2004, the
4526	direction of the effect of postprocedural heparin use on bleeding and VTE cannot be confidently predicted.
4527	 Patients (n=6) with post-EST bleeding <u>before</u> heparin resumption and were <u>excluded</u>
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4531	3. Dunn JHT 2007 ¹⁶ (Dunn AS, Spyropoulos AC, Turpie AGG. Bridging therapy in patients on long-term oral anticoagulants who require
4532	surgery: the Prospective Peri-operative Enoxaparin Cohort Trial (PROSPECT). J Thromb Haemost 2007 Nov;5(11):2211-8)
4533	Single-arm cohort study on 260 patients at 20 sites in North America requiring invasive or surgical procedures, whose treating
4534	physician felt that bridging therapy was required.
4535	 Warfarin was withheld, and once-daily s.c. enoxaparin (1.5 mg/kg) was given peri-operatively.
4536	 Patients apparently non-consecutive.

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- Separate results for patients who had "GI invasive procedures" (n = 46) were extracted. These 46 procedures were included in the category of "invasive procedures" and most likely they represented endoscopic procedures (not clear what type) although it is possible that some of them were non-endoscopic, such as laparoscopic ones. In a separate category, "Minor Surgery", there were 8 "gastrointestinal", but these were likely true surgical procedures and not endoscopic ones (this is not certain, as another study (Douketis Arch IM 2004), had classified "bowel polypectomy" as "surgical procedure, and "GI endoscopy ± biopsy" as nonsurgical procedure; however, the results for our PICO would not change substantially)
 - i. Bleeding (during follow up = 28 days after INR reached therapeutic target): 1/46 (a patient who had "colonoscopy") = 2%, 95% CI 0% to 13%
 - ii. Thromboembolic events (during follow up = 28 days after INR reached therapeutic target) 0/46= 0%, 95% CI 0% to 6.5% (95% CI calculated with the rule of 3/n for zero events (Govani. AJG 2013; 108:1831))
 - iii. Death (during follow up = 28 days after INR reached therapeutic target)
 0/46= 0%, 95% CI 0% to 6.5% (95% CI calculated with the rule of 3/n for zero events)
- Note: Among all patients "the bleeding risk varied markedly by extensiveness of procedure: the incidence of major bleeding for invasive procedures, minor surgery and major surgery was 0.7% (95% CI: 0.02–3.7), 0% (95% CI: 0–5.0), and 20.0% (95% CI: 9.1–35.7), respectively."

Risk of bias assessr	nent of Cohort studies						
Study	Valid methods to ascertain exposure (exposure vs non-exposure is the difference in timing of warfarin resumption between the intervention and the comparator for this PICO)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstrati on that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments
Paik SE 2018 ¹	Unclear. We assumed that all patients resumed warfarin on the day of the procedure, but this is unclear	For the needs of this PICO, this is a single-arm cohort study (no comparator group that resumed warfarin later)	ОК	No comparator eligible for this PICO. Unclear how outcomes were identified, especially after hospital discharge.	No comparator eligible for this PICO.	OK	P: patients who had endoscopic sphincterotomy with heparin bridging after discontinuing warfarin DELAYED BLEEDING (14 days): • 5/96 (5%) had delayed bleeding; 2/96 (2%) had significant delayed bleeding • Also, 6 patients (in addition to the 96) had with post-EST bleeding before heparin resumption and were excluded from the study. • Therefore, the overall bleeding rate was: 11/102 (11%, 95% CI 6% – 19%) THROMBOEMBOLIC EVENTS (90 days) • 4/96 (4%, 95% CI 1% - 11%) MORTALITY: not reported
Dunn JTH 2007	OK	No comparator eligible for this PICO	OK	No comparator eligible for this PICO	No comparator eligible for this PICO		P: patients who had "GI invasive procedure" with heparin bridging after discontinuing warfarin BLEEDING (28 days after INR reached therapeutic target): 1/46 = 2%, 95% CI 0% to 13% THROMBOEMBOLIC EVENTS (28 days after INR reached therapeutic target) 0/46= 0%, 95% CI 0% to 6.5%

						MORTALITY (28 days after INR reached therapeutic target) 0/46= 0%, 95% CI 0% to 6.5%
Douketis Arch IM 2004	ОК	No comparator eligible for this PICO	OK	No comparator eligible for this PICO	No comparator eligible for this PICO	P: patients who had "non-high-bleeding-risk procedure" with heparin bridging after discontinuing warfarin BLEEDING (mean FU 13.8 days, range 10-18): 4/542 = 0.74%, 95% CI 0.20% - 1.87% THROMBOEMBOLIC EVENTS (mean FU 13.8 days, range 10-18) 2/542 = 0.37%, 95% CI 0.04% - 1.32% MORTALITY (mean FU 13.8 days, range 10-18) 0/542 = 0%, 95% CI 0% - 0.5%
Low risk of bias		•		•		

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts — or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Unclear risk of bias
High risk of bias

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

4578 Evidence profile, PICO 16

Resumption of	warfarin: oı	n the same da	y of the proce	dure vs. 1-7 d								
	Certainty Assessment								Summary of	f Findings		
			Certainty As	sessment		Events / par	ticipants	Eff	fect	Comme		
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Certainty of Evidence	Overall certainty of evidence	Warfarin resumed on same day	Warfarin resumed on day 1-7	Relative (95% CI)	Absolute (95% CI)	nts
Bleeding within 30 o	days (critical o	utcome)										
1 cohort study on endoscopic sphincterotomy (Paik 2018 ¹⁵)	Serious ^a		Serious ^b	Very serious ^c	None	⊕⊝⊝⊝ VERY LOW		11/112 (11% , 95% CI 6% - 18%)	-	Not estimable	Not estimable	
1 cohort study on "GI invasive procedures" (Dunn 2017 16)	Serious ^d	Serious ^e	Serious ^f	Very serious ^c	None	⊕⊝⊝ VERY LOW		1/46 (2% , 95% CI 0% - 13%	-	Not estimable	Not estimable	
1 cohort study on "non-high- bleeding-risk" procedures (Douketis 2004 8)	Serious ^d		Serious ^g	Very serious ^c	None	⊕⊝⊝ VERY LOW		4/542 (0.74% , 95% CI 0.20% - 1.87%	-	Not estimable	Not estimable	
Thrombotic events	within 30 days	(critical outcome)						<u> </u>			
1 cohort study on endoscopic sphincterotomy (Paik SE 2018 ¹⁵)	Serious ^a		Serious ^h	Very serious ^c	None	⊕⊖⊖⊖ VERY LOW	⊕⊝⊝⊝ VERY LOW	4/96 (4% , 95% CI 1% - 11%)	-	Not estimable	Not estimable	
1 cohort study on "GI invasive procedures" (Dunn 2017 16)	Serious ^d	Serious ^e	Serious ⁱ	Very serious ^j	None	⊕⊝⊝⊝ VERY LOW		0/46 (0% , 95% CI 0% to 6.5%)	-	Not estimable	Not estimable	
1 cohort study on "non-high- bleeding-risk" procedures (Douketis 2004 8)	Serious ^d		Serious ⁱ	Very serious ^c	None	⊕⊝⊝ VERY LOW		2/542 (0.37% , 95% CI 0.04% - 1.32%)	-	Not estimable	Not estimable	
-	Mortality within 30 days (important outcome, but not critical for decision making)											
1 cohort study on "GI invasive procedures" (Dunn 2017 ¹⁶)	Serious ^d		Serious ^f	Very serious ^j	None	⊕⊝⊝⊝ VERY LOW		0/46 (0% , 95% CI 0% to 6.5%)	-	Not estimable	Not estimable	

1 cohort study on		Not serious									
"non-high-				Mami		Φ000	0/542		Net	Nat	
bleeding-risk"	Serious ^d		Serious ^g	Very	None	⊕⊝⊝⊝ VERY LOW	(0% , 95% CI	-	Not	Not estimable	
procedures				serious ¹		VERTLOW	0% - 0.5%)		estimable	estimable	
(Douketis 2004 8)											

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Footnotes:

- 4581 a Serious risk of bias. This study was designed as a comparative cohort study but it addressed a research question different than the PICO of this guideline.
- 4582 There is no eligible comparator for this PICO, therefore it is included as a single-arm cohort study providing non-comparative data for the "Intervention arm".
- b Serious indirectness because all patients received both pre-procedural <u>and post-procedural heparin</u>. Also, bleeding was assessed at 14 days. All patients had endoscopic sphincterotomy.
- 4585 ^c Very serious imprecision, even for the event rate in the intervention group, due to small number of events. The comparative efficacy cannot be calculated.
- d Serious risk of bias. There is no eligible comparator for this PICO, therefore it is included as a single-arm cohort study providing non-comparative data for the "Intervention arm".
- 4588 ^e Serious inconsistency. The 95% CIs of Paik 2018 and Douketis 2004 are discrepant (do not overlap).
- f Serious indirectness because all patients received both pre-procedural and post-procedural heparin. Also, the "non-high-bleeding-risk" procedure group (n=542) consisted of a very diverse procedures (including colonoscopic polypectomy (n=5), GI endoscopy ± biopsy (n=65), cholecystectomy, arthroscopy, etc.)
- 4591 g Serious indirectness because all patients received both pre-procedural and post-procedural heparin. Also, it is unclear what these "GI invasive procedures" were.
- 4593 h Serious indirectness because all patients received both pre-procedural and post-procedural heparin. Also, thromboembolism was assessed at 90 days.
- 4594 Serious indirectness because all patients received both pre-procedural <u>and post-procedural heparin</u>.
- 4595 Yery serious imprecision, even for the event rate in the intervention group, due to zero events. The comparative efficacy cannot be calculated.

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Evidence to Decision Table

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4602 16. Timing of warfarin resumption following endoscopy

4603 P: Patient on warfarin who underwent endoscopy

4604 I: Resumption on the same day of the procedure

C: Resumption 1 to 7 days after the procedure

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

	Judgement (Panel's judgments highlighted in yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate O Large O Varies Don't know	See Evidence Profile Table No comparative data can be calculated. Three single-arm cohort studies provided estimates for the incidence of outcomes with the intervention (same-day resumption of warfarin), but we were not able to estimate the incidence with the comparator (resumption in 1-7 days) Desirable: reduction in thrombosis The desirable anticipated effect with same-day resumption of warfarin (compared to resumption in 1-7 days) cannot be estimated.	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial Varies o Don't know	Theoretically, earlier resumption of warfarin after the procedure would tend to reduce thromboembolic events (critical outcome) and increase bleeding (critical outcome) compared to delayed resumption of warfarin. Whoever, we did not identify within-study comparative evidence to quantify the magnitude of the effect. It is not possible to estimate the direction (let alone the magnitude) of the effect on mortality	
Certainty of evidence	What is the overall certainty of the evidence of effects? • Very low • Low • Moderate • High • No included studies	See Evidence Profile Table.	

Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? o Important uncertainty or variability Possibly important uncertainty or variability o Probably no important uncertainty or variability o No important uncertainty or variability	See Box on Patient Values and Preferences, at the beginning of PICO 1.	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies Don't know		
Resources required	How large are the resource requirements (costs)? o Large costs o Moderate costs Negligible costs and savings o Moderate savings o Large savings o Varies o Don't know		
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low o Moderate High o No included studies		

Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies No included studies	
Acceptability	Is the intervention acceptable to key stakeholders? O No O Probably no O Probably yes Ves O Varies O Don't know	
Feasibility	Is the intervention feasible to implement? O No O Probably no O Probably yes Yes O Varies O Don't know	

Conclusions

- 4612 16. Timing of warfarin resumption following endoscopy
- 4613 P: Patient on warfarin who underwent endoscopy
- 4614 I: Resumption on the same day of the procedure
- 4615 C: Resumption 1 to 7 days after the procedure
- 4616 O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial
- 4617 infarction, deep vein thrombosis, pulmonary embolus)
- 4618 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	Neither for nor against 6/6 votes: 100%	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	0	•	0	0
Recommendation	1	ndergoing elective endoso ether to resume same day		se warfarin was interrupted, we can ocedure.	not make a
Justification					
Subgroup considerations					
Implementation considerations					
Monitoring and					
evaluation					
Research priorities					

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4657 17. Timing of DOAC resumption following endoscopy

17. Timing of DOAC resumption following endoscopy

- 4660 P: Patient on an anticoagulant (DOAC)
- 4661 I: DOAC Resumption on the same day of the procedure
- 4662 C: DOAC Resumption 1 to 7 days after the procedure
- O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep
- 4664 vein thrombosis, pulmonary embolus)
- 4665 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Overall Comments

Due to the paucity of primary studies through our literature search, we investigated what evidence was cited in **previous guidelines** as justification for their recommendations on this question. None of the previous guidelines had included any clinical studies that assessed the timing of DOAC resumption after a procedure:

- **Veitch_BSG/ESGE CPG_ Endo 2016** ¹. This guideline did not issue a formal ("numbered and bolded-text") recommendation for the timing of DOAC resumption after endoscopy. However, an "informal" recommendation" was included in the text. See below.
 - o "It is of the utmost importance that clinicians are aware that unlike reintroduction of warfarin, which results in delayed anticoagulation for several days, a therapeutic intensity of anticoagulation is restored within 3 hours of taking a therapeutic dose of a DOAC. Because of the high risk of bleeding associated with therapeutic intensity anticoagulation after an invasive procedure, we suggest a delay in reintroducing a DOAC after a high-risk procedure. This delay will depend on the risk of hemorrhage specific to the procedure and will usually be 24–48 hours. For procedures with a significant risk of delayed haemorrhage such as EMR or ESD, a longer period of discontinuation may be considered in the context that DOAC patients are in a relatively low thrombotic risk category".
 - No study was cited to justify this informal recommendation. Further up in this guideline article, a narrative review was cited with regards to the pharmacokinetics and pharmacodynamics of dabigatran and rivaroxaban (Baglin 2013 ²). The statement that "high risk of bleeding [is] associated with therapeutic intensity anticoagulation after an invasive procedure" was also mentioned further up in the text of this guideline, but no reference was cited to support this.

• Acosta ASGE CPG 2016 ³. This guideline issued a conditional recommendation on the timing of DOAC resumption with Low quality of evidence. Low quality evidence requires comparative cohort or case control studies, without indirectness, without study limitations,

without imprecision, without inconsistency – but as noted in the text, "there are no data to inform optimal timing of resumption of NOACs after endoscopic procedures".

- We suggest that the reinitiation of NOACs after high-risk endoscopic procedures be delayed until adequate hemostasis is ensured, given their rapid onset of action and lack of reversal agents. If therapeutic doses of NOACs cannot be restarted within 12 to 24 hours after a high-risk endoscopic procedure, thromboprophylaxis (ie, UFH bridge) should be considered to decrease risk of thromboembolism, given the short half-life of the NOAC agent, in those with a high risk for thromboembolism. ⊕⊕⊝
- At the beginning of the section on re-initiation of antithrombotic agents after elective endoscopy, it is stated that "there is consensus that antithrombotic therapy should be resumed upon completion of the procedure", but the two cited papers (Becker RC, Scheiman J, Dauerman HL, et al. Management of platelet directed pharmacotherapy in patients with atherosclerotic coronary artery disease undergoing elective endoscopic gastrointestinal procedures. Am J Gastroenterol 2009;104:2903-17; Bhatt DL, Scheiman J, Abraham NS, et al. ACCF/ACG/AHA 2008 expert consensus document on reducing the gastrointestinal risks of antiplatelet therapy and NSAID use. Circulation 2008;118:1894-909.) did not address DOACs.
- Two other studies were cited in the text:

- Weitz JI, Quinlan DJ, Eikelboom JW. Periprocedural management and approach to bleeding in patients taking dabigatran. Circulation 2012;126:2428-32 ⁴. This paper is not a formal guideline and did not include a systematic review. The authors noted that "the timing for reinitiating dabigatran after surgery depends on the bleeding risk. Dabigatran should be restarted when hemostasis is secure and the risk of bleeding is deemed to be acceptably low. [...] If therapeutic doses of dabigatran cannot be restarted within 24 hours of surgery, thromboprophylaxis should be considered according to usual practice". It was implied that this recommendation was based on an included plot of the expected pharmacodynamic effect of dabigatran over time: see Figure 1 in the paper.
- The wording of the ASGE recommendation ("given the rapid onset of action") implies that similar pharmacokinetic and pharmacodynamic data were the rationale for the timing of DOAC resumption
- Dzik WS. Reversal of drug-induced anticoagulation: old solutions and new problems. Transfusion 2012;52(Suppl 1):25S-55S. 55. This paper deals with reversal of anticoagulation. It does not contain any data or discussion relevant to resumption after discontinuation for procedures.
- Chan APAGE/APSDE CPG Gut 2018 ⁵. This guideline noted that "No studies are available to guide the optimal time for discontinuation or resumption of DOACs for endoscopic procedures", but it issued a strong recommendation based on low quality of evidence (of note, low quality evidence requires comparative cohort or case control studies, without indirectness, without study limitations, without imprecision, without inconsistency):
 - "37. We recommend resuming DOACs after adequate haemostasis has been achieved. (A+ 45%, A 55%; strong recommendation; low-quality evidence)"

o Specific timelines (as days or hours post-procedurally) were not given. The definition of adequate hemostasis was not provided.

- Raval_AHA CPG_Circulation 2017 ⁶. This guideline did not provide guidance on the timing of DOAC resumption following procedures, with the exception of a statement that <u>after cardiac surgery</u> DOACs should be "restarted after clinical hemostasis has been established". Also, there was discussion on restarting DOACs after GI bleeding, however, there was no guidance on the timing of DOAC resumption: "Reinitiating NOAC therapy after gastrointestinal bleeding should take into account the patient's underlying risk of bleeding and thrombosis risk. In a retrospective study of >4600 patients with NVAF who suffered gastrointestinal bleeding on anticoagulation (primarily warfarin), resumption of a single anticoagulant was associated with the lowest risk of mortality and thromboembolism compared with nonresumption of antithrombotic treatment. The risk of recurrent gastrointestinal bleeding was also low in the anticoagulated patients. Patients on NOACs comprised a very small subset of the entire cohort; therefore, it remains uncertain whether NOAC resumption after gastrointestinal bleeding would be similarly linked to these favorable outcomes (Staerk L, Lip GY, Olesen JB, et al. Stroke and recurrent haemorrhage associated with antithrombotic treatment after gastrointestinal bleeding in patients with atrial fibrillation: nationwide cohort study. BMJ. 2015;351:h5876)."
- Witt_ ASH guidelines_ Blood Adv 2017 ⁷. This guideline addressed only two PICOs regarding "Invasive procedure management", and the timing of DOAC resumption was not included among them.
- Narouze ASRA RAPM 2018 ⁸. This guideline dealt with <u>interventional pain procedures</u>. They stated "we could not provide strength and grading of these recommendations because there are not enough well-designed large studies concerning interventional pain procedures to support such grading"
 - "We recommend a 24-hour interval after interventional pain procedures before resumption of rivaroxaban. If the risk of VTE is very high, half the usual dose may be given 12 hours after the pain intervention. The decision regarding timing of drug resumption should be shared with the patient's treating physician(s)."
 - o The supporting evidence was indirect evidence from a study suggesting that clots become stable at 8 hours in neuraxial anesthesia and studies on the time window of efficacy of thrombolytics: "Although thrombolytics are still effective when given within 6 hours of a cerebral embolic clot, thrombolytics are more effective when given within 3 hours after the onset of stroke. These studies imply that anticoagulants (not thrombolytics) may have a hard time lysing a clot if given after 6 hours and most probably will not lyse a clot if given 24 to 48 hours after a neuraxial injection"
 - No other primary studies were cited. Three opinion papers (not formal guidelines) were cited, that recommended longer intervals: "Liew and Douketis (Intern Emerg Med. 2013;8: 477–484) recommended a minimum of 24 hours in patients with low bleeding risk and 48 hours in those with a high bleeding risk, before resuming dabigatran, rivaroxaban, or apixaban. Baron et al (N Engl J Med. 2013; 368: 2113–2124) recommended 48 hours, while Connolly and Spyropoulos (J Thromb Thrombolysis 2013; 36:

4751 212–222) recommended 24 hours but at half the usual dose." 4752 Kave ASIPP GPG PP 2019 9. This guideline dealt with interventional pain procedures. They recommended resumption of DOACs in 24 4753 4754 hours, but did not provide a justification for the timing. 4755 4756 Lip CHEST CPG Chest 2018 10. This guideline dealt with peri-procedural management of DOACs but did not address the question of 4757 timing of DOAC resumption. 4758 4759 Cohort studies with the comparator cohort needed for this PICO 4760 4761 1. Radaelli Gut 2019 11 (Radaelli et al. Periendoscopic management of direct oral anticoagulants: A prospective cohort study. Gut. 68 (6) 4762 (pp 969-976), 2019) 4763 4764 Prospective cohort study. 13 open-access GI endoscopy centers in Italy, over 15 months 4765 Outpatients on DOACs scheduled for elective GI endoscopy. Excluded urgent endoscopy (i.e., GIB, cholangitis, acute 4766 obstruction). No prespecified protocol for periprocedural management of DOAC. On day of endoscopy, pt recruited in project. 4767 After procedure pt contact by phone (or visit for inpts still in hospital) 1 wk and 1 mo later. Double-checked by searching 4768 hospital records. 4769 Primary outcome was incidence of major and clinically relevant non-major bleeding during or within 30 days after endoscopy. 4770 Secondary: thromboembolic arterial events and venous events. Intraprocedural only if required intervention. 4771 529 patients. 327 had low bleeding risk procedure; 202 had high risk procedure (18/202 had LMWH bridging) 4772 The study was not powered to compare outcomes in sub-cohorts according to whether the patients were managed according to 4773 BSG/ESGE guidelines or not. In fact, the pre-registered protocol includes as secondary outcome this comparison of VTE events 4774 but there was not a priori intent to compare bleeding among these cohorts 4775 Resumption "as recommended" (by the 2016 BSG/ESGE guidelines) was defined as: DOAC resumption is about 48 hours after a 4776 high-risk procedure (i.e., 2 days after endoscopy), except for procedures with a significant risk of delayed haemorrhage such as large endoscopic mucosal resection (EMR) or endoscopic submucosal dissection (ESD), for which a longer period of 4777 discontinuation (72 hours; i.e., 3 days after endoscopy) may be considered at the discretion of the endoscopist. 4778 DOAC resumption for a low risk procedure was the same day 4779

- Supplementary tables provide the specifics for the 17 major bleeding and 2 thromboembolic cases (e.g, timing of event, timing
 of resumption) but don't provide that level of detail on all of the other patients.
- Multiple typos and mistakes in the numerical results. Table 5 in the paper, which summarizes the results relevant to this PICO has mistakes in four out of six results, as compared to the detailed supplementary tables:
 - First row, third column reads 1/139 (0.7). Should be corrected to 0/0
 - First row, forth column reads "-". Should be corrected to 1/139
 - Third row, second column reads 9/136 (6.6). Should be corrected to 7/136 (5.1)
 - Third row, third column reads 4/52 (7.7). Should be corrected to 6/52 (11.5), but 3 bleeds in patients on LMWH bridging
- Detailed statistics are shown in the Results column of the Risk of Bias table below.
- We could not calculate results for the comparisons required for this PICO (same day vs 1-7 days)
- Furthermore, results for the 184 (out of 202) patients with high-risk procedures who did <u>not</u> have post-procedural LMWH bridging were not reported separately. The detailed suppl tables show that among the 6 delayed major bleeds in patients with high-risk procedures who resumed DOACs "later", 3 patients had been on LMWH bridging. The problem is that we do not know how many of the 52 patients in the denominator had LMWH bridging, so we cannot calculate "clean" results for patients who did not have LMWH bridging (or clean results for those on LMWH according to timing of resumption). Obviously, LMWH bridging is an important confounder that can affect the results substantially.
- 2. **Douketis JAMA IM 2019** ¹²: the PAUSE study (Douketis et al. Perioperative Management of Patients with Atrial Fibrillation Receiving a Direct Oral Anticoagulant. JAMA IM 2019)
 - Prospective, multicenter cohort study without comparator arm
 - This is one of very few studies that provided rationale for not having comparator. In fact, the design and rationale were published *a priory* as a separate paper (Douketis Thromb Haemost 2017 ¹³).
 - Included n= 3007 patients with AF, long-term users of apixaban, dabigatran, or rivaroxaban who were scheduled for an elective surgery or procedure and followed a well-defined DOAC therapy interruption protocol.
 - 1007 patients had a high-bleeding-risk procedure.
 - 2000 patients had a low-bleeding-risk procedure. Of these, 627 (31.4%) had GI procedures. No separate results for GI procedures in the original publication
 - The GI procedure group was highly diverse: it included procedures such as VCE, EGD, colonoscopy, flex sig, ERCP, push enteroscopy and Barrett's ablation.
 - Unclear if any of these patients underwent snare polypectomy, sphincterotomy or EMR

- Consecutive patients were enrolled, and a flow chart of patient flow was published (83% of the approached patients were recruited), but the recruitment per center varied substantially, ranging from 853 patients (i.e., convincingly consecutive recruitment) to 4, 6, 20 and 23 patients in four other centers (i.e., likely non-consecutive recruitment, given that the enrolment period was 4 years)
- <u>DOAC resumption</u>: after the operation, DOAC regimens were resumed <u>1 day (approximately 24 hours) after a low–bleeding-risk</u> procedure and 2 to 3 days (48-72 hours) after a high–bleeding-risk procedure, <u>provided that hemostasis was achieved</u>.
- <u>Note</u>: Patients at high risk for venous thromboembolism <u>could receive a prophylactic dose of **heparin** after</u> the operation until DOAC therapy resumption.
- The full perioperative DOAC management protocol is shown in the (only) Figure in the paper.
- Note: this protocol is different than the intervention of this PICO (DOAC resumption on day 0). It falls within the range of timing of the comparator of this PICO (DOAC resumption on day 1 to day 7)
- The authors mentioned two previous clinical studies that informed the design of the perioperative protocol that was used in this study:
 - "The only previous studies suggested a high post-procedural bleeding risk if therapeutic-dose heparins are uniformly reinitiated approximately 24 hours after a procedure irrespective of procedural bleeding risk". Reference: Dunn et al. Bridging therapy in patients on long-term oral anticoagulants who require surgery: the Prospective Peri-operative Enoxaparin Cohort Trial (PROSPECT). J Thromb Haemost 2007;5(11):2211–2218)
 - Also: Schulman S, Carrier M, Lee AY, et al; Periop Dabigatran Study Group. Perioperative management of dabigatran: a prospective cohort study. Circulation. 2015;132(3):167-173. (see Excluded studies document)
 - Also, the authors explained that in order to design their perioperative protocol they also utilized indirect evidence from DOAC pharmacokinetic properties, and they had "2 broad aims: (1) to have the shortest duration of DOAC therapy interruption before and after the procedure so as to minimize the risks for bleeding and thromboembolism, and (2) to have a simple interruption and resumption protocol for each DOAC that would be easy to use by clinicians and easily understood by patients".
- Outcomes were well defined and described:
 - Major postoperative bleeding (at 30 days). The <u>low-bleeding-risk procedures</u> (the category that included the GI procedures) is the cohort that fits best the population of this PICO: 20/2000 = 1.0%, 95% CI 0.63% to 1.57% (calculated from table 4 in the paper)
 - Thrombotic events (at 30 days). Total (arterial and venous) for the whole cohort (separate results could not be extracted for the low-bleeding-risk group): 21/3007 = 0.70%, 95% CI 0.45% to 1.09%

4841	 Mortality (at 30 days). Total, for the whole cohort (separate results could not be extracted for the low-bleeding-risk
4842	group): 9/3007 = 0.30%, 95% CI 0.15% to 0.59%.
4843	• Feasibility outcomes: adherence to the resumption protocol ranged from 87.5% to 99.6% in various sub-cohorts. For
4844	the low-bleeding risk group (that included the GI procedures) adherence was 1811/2000 = 90.6%
4845	• Separate results on GI procedures (Jim Douketis, Alan Barkun; personal communication):
4846	 Only patients who had GI endoscopic procedures were included
4847	 Results provided in the last column of the Risk of Bias table

Risk of bias as	Risk of bias assessment of Cohort studies											
Study	Valid methods to ascertain exposure (for this PICO, exposure vs non-exposure is the difference in timing of DOAC resumption between the intervention and the comparator)	Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders	Demonstrati on that outcome of interest was not present at the start of the study	Outcome detection methods valid and similar among cohorts	Follow up complete and similar among cohorts	Free of other bias	Results/Comments					
Radaelli 2019	ОК	No adjustment for confounders. The cohorts were not powered to show statistically significant differences in prognostic factors between cohorts; LMWH bridging was an important prognostic factor and confounder (because patients on LMWH bridging were	ОК	ОК	ОК	ОК	P: patients who had endoscopic GI procedures after interrupting NOACs [corrected results] DELAYED MAJOR BLEEDING (1 month): Low-risk procedures • DOAC resumption "as recommended": 1/188 (0.5%) • DOAC resumption "later": 0/0 • DOAC resumption "earlier": 1/139 (0.7%) High-risk procedures • DOAC resumption "as recommended": 7/136 (5.1%) • DOAC resumption "later": 6/52 (11.5%) • DOAC resumption "earlier": 2/14 (14.3%)					

more likely to have delayed NOAC resumption); the proportion of patients on LMWH bridging according to the timing of NOAC resumption was not reported (and no adjustment was performed for LMWH bridging)		- as recommended vs later: RR 0.44, 95% CI 0.16 - 1.51 - as recommended vs earlier: RR 0.36, 95% CI 0.08 - 1.57 All procedures • DOAC resumption "as recommended": 8/324 (2.5%) • DOAC resumption "later": 6/52 (11.5%) • DOAC resumption "earlier": 3/153 (2.0%) - as recommended vs later: RR 0.21, 95% CI 0.08 - 0.59 - as recommended vs earlier: RR 1.24, 95% CI 0.33 - 4.62 • DOAC resumption on same day: not reported • DOAC resumption on day 1-7: not reported • DOAC resumption "as recommended" or "earlier": 11/477 (2.3%) • DOAC resumption "later": 6/52 (11.5%) - as recommended/earlier vs later: RR 0.20, 95% CI 0.08 - 0.52
		THROMBOEMBOLIC EVENTS (1 month) Low-risk procedures • DOAC resumption "as recommended": 1/188 (0.5%) • DOAC resumption "later": 0/0 • DOAC resumption "earlier": 0/139
		 High-risk procedures DOAC resumption "as recommended": 0/136 DOAC resumption "later": 1/52 (1.9%) DOAC resumption "earlier": 0/14 as recommended vs later: RR 0.44, 95% CI 0.16 - 1.51 as recommended vs earlier: RR 0.36, 95% CI 0.08 - 1.57
		All procedures • DOAC resumption "as recommended": 1/324 (0.03%) • DOAC resumption "later":1/52 (1.9%) • DOAC resumption "earlier": 0/153 - as recommended vs later: RR 0.16, 95% CI 0.01 - 2.52 - as recommended vs earlier: RR 1.42, 95% CI 0.06 - 34.70 • DOAC resumption on same day: not reported

						DOAC resumption on day 1-7: not reported DOAC resumption "as recommended" or "earlier": 1/477 (0.2%) DOAC resumption "later": 1/52 (1.9%) - as recommended/earlier vs later: RR 0.11, 95% CI 0.007 - 1.57 MORTALITY (1 month) No deaths occurred
Douketis 2019 (PAUSE study)	ОК	No comparator cohort with a different timing of DOAC resumption	OK	Outcome detection methods were valid, but there was no comparator cohort of interest for this PICO	Follow up was complete and similar among cohorts, but there was no comparator cohort of interest for this PICO	P: patients who had procedures (including endoscopic GI procedures) after interrupting NOACs DELAYED MAJOR BLEEDING (1 month): Low-risk procedures (the category that included the GI procedures) • DOAC resumption in 1 day: 20/2000 = 1.0%, 95% CI 0.63% to 1.57% Extra analyses: GI procedures only 14/554 = 2.5%, 95% CI 1.4% to 4.2% Of note 5/14 of the bleeding events were major bleeds THROMBOEMBOLIC EVENTS (1 month) All procedures • DOAC resumption in 1, 2 or 3 days: 21/3007 = 0.70%, 95% CI 0.45% to 1.09% Extra analyses: GI procedures only 4/552 = 0.7%, 95% CI 0.3% to 1.8% MORTALITY (1 month) All procedures • DOAC resumption in 1, 2 or 3 days: 9/3007 = 0.30%, 95% CI 0.15% to 0.59% Extra analyses: GI procedures only 3/552 = 0.5%, 95% CI 0.2% to 1.6%

Unclear risk of bias
High risk of bias

Modified from the Newcastle-Ottawa Scale. For the purpose of GRADE assessments, the first domain of NOS (representativeness of the exposed cohort) was not included, because it relates to "indirectness" which is separate from risk of bias as per GRADE. The second NOS domain (selection of the non-exposed cohort) was replaced with "valid methods to ascertain exposure". The NOS domain "Comparability of cohorts on the basis of design or analysis" was renamed "Prognostic factors (other than exposure of interest) similar among cohorts – or cohorts were adjusted adequately for confounders". The NOS domain "Was Follow-Up Long Enough for Outcomes to Occur" was not included, because it is an "indirectness" issue as per GRADE.

Note: the overall risk of bias for a study (for a specific outcome) is determined by the worse risk of bias assessment, even in one domain, i.e., if one domain has unclear risk of bias, the study has unclear risk of bias; if one domain has high risk of bias, the study has high risk of bias.

Evidence profile, PICO 17

Resumption of	DOAC: on tl	he same day o	f the procedu	re vs. 1-7 day	s after the	procedure						
			Cortainty Asso	scmont					Summary o	f Findings		
	Certainty Assessment							Events / p	articipants	Ef	fect	
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other consider ations	Certainty of Evidence	Overall certainty of evidence	DOAC resumed on same day	DOAC resumed on day 1-7	Relative (95% CI)	Absolute (95% CI)	Comments
Bleeding within 30 o	days (critical o	utcome)			l							
1 cohort study on GI endoscopic procedures (Radaelli 2019 ¹¹)	Serious ^a	Not applicable	Very serious ^b	Very serious ^c	None	⊕⊝⊝ VERY LOW	⊕⊝⊝ VERY LOW	DOAC resumed on day 0, 1, 2, or 3 11/477 (2.3%)	DOAC resumed on day 3 or later 6/52 (11.5%)	RR 0.20 (0.08- 0.52)	Risk with DOAC resumed on day 3 or later: 115 events per 1,000. With DOAC resumed on day 0, 1, 2, or 3: 92 less per 1,000 (from 106 less, to 55 less)	
1 single-arm cohort study on GI endoscopic and non-GI	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊝⊝ VERY LOW			DOAC resumed on day 1	-	-	

procedures (Douketis 2019 12)								20/2000 (1.0%, 95% CI 0.63% - 1.57%)			
ALTERNATIVE LINE OF EVIDENCE 1 single-arm cohort study on GI endoscopic procedures (post hoc analysis from Douketis 2019 12)	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊝⊝⊝ VERY LOW		14/554 (2.5%, 95% CI 1.4% - 4.2%)	-	-	
Thrombotic events	within 30 days	(critical outcome)						ı	1	
1 cohort study on Gl endoscopic procedures (Radaelli 2019 ¹¹)	Serious ^a	Not applicable	Very serious ^b	Very serious ^c	None	⊕⊖⊝ VERY LOW	DOAC resumed on day 0, 1, 2, or 3 1/477 (0.2 %)	DOAC resumed on day 3 or later 1/52 (1.9%)	RR 0.11 (0.01- 1.57)	Risk with DOAC resumed on day 3 or later: 19 events per 1,000. With DOAC resumed on day 0, 1, 2, or 3: 17 less per 1,000 (from 19 less, to 11 more)	
1 single-arm cohort study on GI endoscopic and non-GI procedures (Douketis 2019 12)	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊝⊝ VERY LOW	-	DOAC resumed on day 1, 2 or 3 21/3007 (0.70%, 95% CI 0.45% - 1.09%)	-	-	
ALTERNATIVE LINE OF EVIDENCE 1 single-arm cohort study on GI endoscopic procedures (post hoc analysis from Douketis 2019 12)	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊖⊝ VERY LOW	-	4/552 (0.7%, 95% CI 0.3% - 1.8%	-	-	

Mortality within 30	days (importa	nt outcome, but r	not critical for dec	ision making)							
1 cohort study on GI endoscopic procedures (Radaelli 2019 11)	Serious ^a	Not applicable	Very serious ^b	Very serious ^g	None	⊕⊝⊝ VERY LOW	DOAC resumed on day 0, 1, 2, or 3	DOAC resumed on day 3 or later 0/52 (0%)	RR not meaningful	Not calculable	
1 single-arm cohort study on GI endoscopic and non-GI procedures (Douketis 2019 12)	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊝⊝ VERY LOW	-	DOAC resumed on day 1, 2 or 3 9/3007 (0.30%, 95% CI 0.15% - 0.59%)	-	-	
ALTERNATIVE LINE OF EVIDENCE 1 single-arm cohort study on GI endoscopic procedures (post hoc analysis from Douketis 2019 12)	Serious ^d	Not applicable	Serious ^e	Serious ^f	None	⊕⊝⊝ VERY LOW	-	3/552 (0.5%, 95% CI 0.2% - 1.6%	-	-	

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Footnotes:

- ^a Serious risk of bias mainly because prognostic factors (other than exposure of interest) were not proven to be similar among cohorts, and cohorts were not adjusted for confounders.
- b Very serious indirectness because the intervention and comparator are different from the ones required for this PICO. Also, some of the patients (18/202) in the high-risk procedure group had LMWH bridging after the procedure.
- $\,$ 4866 $\,$ $\,^{c}$ Very serious imprecision, due to small number of events.
- 4867 d Serious risk of bias, due to lack of eligible comparator cohort arm

4868	^e Serious indirectness because patients at high risk for venous thromboembolism could receive a prophylactic dose of heparin after the operation until DOAC
4869	therapy resumption (16% of the total population received such prophylactic heparin)

- 4870 f Serious imprecision, due to small number of events.
- 4871 g Very serious imprecision, due to zero events with a relatively small sample size.

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Evidence to Decision Table

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- 4878 17. Timing of DOAC resumption following endoscopy
- 4879 P: Patient on DOAC who underwent endoscopy
- 4880 I: Resumption on the same day of the procedure
- 4881 C: Resumption 1 to 7 days after the procedure
 - O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)
- 4884 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days 4885

		Judgement (Panel's judgments highlighted in yellow color)	Research evidence	Additional considerations
	Desirable Effects	How substantial are the desirable anticipated effects? o Trivial o Small o Moderate o Large o Varies o Don't know	See Evidence Profile Table We did not identify within-study comparisons of the intervention proposed in this recommendation (i.e., DOAC resumption on Day 0) with more delayed resumption. No comparative data relevant to this PICO can be calculated. One comparative cohort study (Radaelli 2019) assessed interventions that are different (both were more delayed) than the comparison required for this PICO.	
Idenizabel	e Effects	How substantial are the undesirable anticipated effects? o Large o Moderate	One additional single-arm cohort study (Douketis 2019) provided data on the event rates for DOAC resumption slightly later than the intervention required for this PICO (very low certainty evidence showing low rates of major bleeding and thrombotic events) and also provided sufficient data on the feasibility of that protocol	

	- "]
	o Small o Trivial		
	OTTIVIAL		
	o Varies		
	o Don't know		
	O DOI! E KITOW		
e e	What is the overall certainty of the evidence		
enc	of effects?	See Evidence Profile Table.	
Ϋ́	o Very low		
e e	o Low		
τγ	o Moderate		
aiu	O High		
Certainty of evidence			
0	O No included studies		
S	Is there important uncertainty about or	See Box on Patient Values and Preferences, at the beginning of PICO 1.	
Values and Preferences	variability in how much people value the	See box of Fatient values and Freierences, at the beginning of Fico 1.	
ere	main outcomes?		
ref	Important uncertainty or variability		
P P	o Possibly important uncertainty or variability		
sar	O Probably no important uncertainty or		
<u>n</u>	variability		
Na.	 No important uncertainty or variability 		
	Does the balance between desirable and		
	undesirable effects favor the intervention or		
	the comparison?		
ts .	O Favors the comparison		
fec	Probably favors the comparison		
f ef	O Does not favor either the intervention or		
e 0	the comparison		
anc	o Probably favors the intervention		
Balance of effects	o Favors the intervention		
	o Varies		
	O Don't know		

Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs O Negligible costs and savings O Moderate savings O Large savings O Varies O Don't know	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low o Moderate o High o No included studies	
Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies O No included studies	
Acceptability	Is the intervention acceptable to key stakeholders? O NO O Probably no O Probably yes O Yes O Varies O Don't know	
Fe	Is the intervention feasible to implement?	

o No o Probably no o Probably yes O Yes	
o Varies o Don't know	

Conclusions

- 4888 17. Timing of DOAC resumption following endoscopy
- 4889 P: Patient on DOAC who underwent endoscopy
- 4890 I: Resumption on the same day of the procedure
- 4891 C: Resumption 1 to 7 days after the procedure
- O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial
- 4893 infarction, deep vein thrombosis, pulmonary embolus)
- 4894 IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Type of recommendation	Strong recommendation against the intervention	Conditional recommendation against the intervention	Neither for nor against 6/6 votes: 100%	Conditional recommendation for the intervention	Strong recommendation for the intervention
	0	0	O	0	0
Recommendation	1			se DOAC was interrupted, we canno cedure vs. 1-7 days after the proced	
Justification			, ,		
Subgroup considerations					
Implementation considerations					
Monitoring and evaluation					

Research priorities References for PICO 17 1. Veitch AM, Vanbiervliet G, Gershlick AH, et al. Endoscopy in patients on antiplatelet or anticoagulant therapy, including direct oral anticoagulants: British Society of Gastroenterology (BSG) and European Society of Gastrointestinal Endoscopy (ESGE) guidelines. Endoscopy 2016; 48: 385-402 2. Baglin T. Clinical use of new oral anticoagulant drugs: dabigatran and rivaroxaban. Br J Haematol 2013; 163: 160–167 3. Acosta et al. The management of antithrombotic agents for patients undergoing GI endoscopy. Gastrointest Endosc. 2016 Jan;83(1):3-16 4. Weitz JI, Quinlan DJ, Eikelboom JW. Periprocedural management and approach to bleeding in patients taking dabigatran. Circulation 2012;126:2428-32 5. Chan et al. Management of patients on antithrombotic agents undergoing emergency and elective endoscopy: joint Asian Pacific Association of Gastroenterology (APAGE) and Asian Pacific Society for Digestive Endoscopy (APSDE) practice guidelines. Gut 2018;67:405-17 6. Raval RN, et al. Management of Patients on Non-Vitamin K Antagonist Oral Anticoagulants in the Acute Care and Periprocedural Setting: A Scientific Statement from the American Heart Association. Circulation 2017 Mar 7;135(10):e604-e633. 7. Witt et al. American Society of Hematology 2018 guidelines for management of venous thromboembolism: optimal management of anticoagulation therapy. Blood Adv 2018;2:3257 8. Narouze S, et al. Interventional Spine and Pain Procedures in Patients on Antiplatelet and Anticoagulant Medications (Second Edition): Guidelines from the American Society of Regional Anesthesia and Pain Medicine, the European Society of Regional Anaesthesia and Pain Therapy, the American Academy of Pain Medicine, the International Neuromodulation Society, the North American Neuromodulation Society, and the World Institute of Pain. Reg Anesth Pain Med 2018 Apr;43(3):225-262 9. Kaye AD et al. Responsible, Safe, and Effective Use of Antithrombotics and Anticoagulants in Patients Undergoing Interventional Techniques: American Society of Interventional Pain Physicians (ASIPP) Guidelines. Pain Physician. 22(1S):S75-S128, 2019 01.

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4928 4929 4930	18. Timing of thienopyridine resumption following endoscopy 18. Timing of thienopyridine resumption following endoscopy
4931	P: Patient on thienopyridine agents
4932	I: Resumption on the same day of the procedure
4933	C: Resumption 1 to 7 days after the procedure
4934 4935	O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)
4936	IMPORTANT, BUT NOT CRITICAL: mortality within 30 days
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4938	OVERALL COMMENTS
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that have not mentioned the relevant terms in the title/abstract/keywords and therefor have been missed by our literature search. However, to systematically identify such papers would require an investment of several thousand human-hours of work in order to search the full text, tables and appendixes of thousands of papers; such investment would not be worthy, given that such secondary results are unlikely to be adjusted or matched comparative results.

We assessed previous guidelines for evidence that was used to support their recommendations, but no guideline has cited any relevant studies.

Bhatt ACCF/ACG/AHA expert consensus_ AJG 2008 ¹

 This guideline did not address this question (timing of thienopyridine resumption following endoscopy) and did not include any relevant studies

• Levine 2016 ACC/AHA Focused Update_ JACC 2016 ²

- This guideline addressed this question, and issued a "Class I (strong recommendation)" based on level of evidence C-EO (expert consensus based on clinical experience): "In patients treated with DAPT after coronary stent implantation who must undergo surgical procedures that mandate the discontinuation of P2Y12 inhibitor therapy, it is recommended that aspirin be continued if possible and the P2Y12 platelet receptor inhibitor be restarted as soon as possible after surgery."
- No studies relevant to the timing of the resumption of the P2Y12 platelet receptor inhibitor were cited in the main publication or in the online data supplement that listed the evidence supporting these recommendations

• Chan APAGE/APSDE CPG Gut 2018 3

- o In this guideline it was stated that "there are no data on the optimal timing of resuming antiplatelet drugs after elective endoscopic procedures" and, as such, no relevant studies were cited. Still, two strong recommendations were issued on the timing of resumption of antiplatelet drugs, and the quality of evidence described as low quality evidence (whereas low quality evidence would require comparative cohort or case control studies, without indirectness, without study limitations, without imprecision, without inconsistency)
 - High-risk/ultra-high risk procedures, patients on single antiplatelet agent: "We recommend resuming P2Y12 receptor inhibitor once adequate haemostasis has been achieved (strong recommendation; low-quality evidence)"
 - High-risk/ultra-high risk procedures, patients on dual antiplatelet agent (aspirin continued): "we recommend resuming P2Y12 receptor inhibitor once adequate haemostasis has been achieved (strong recommendation; low-quality evidence)"

Acosta ASGE CPG 2016 ⁴

This guideline stated: "Cardiac ASA should not be discontinued in most cases. Other APAs should be resumed once hemostasis has been achieved". The reader is directed to a table reprinted from Becker AJG 2009 ⁵ (this was a paper that included narrative review and "informal recommendations procedures"). In the table it reads "Resume thienopyridine and ASA drug therapy after

the procedure once hemostasis is achieved. A loading dose of the former should be considered among patients at risk for thrombosis."

 Becker et al ⁵ did not provide any specific papers to support the timing of resumption of thienopyridines (there was a discussion of the pharmacodynamics of discontinuation of thienopyridines but there was no discussion on the pharmacodynamics of resumption of thienopyridines)

• Veitch_BSG/ESGE CPG_ Endo 2016 6

- This guideline issued a recommendation on the timing of resumption: "Post endoscopic procedure: If <u>antiplatelet</u> or anticoagulant therapy is discontinued, then we recommend this should be resumed up to 48 hours after the procedure depending on the perceived bleeding and thrombotic risks (moderate quality evidence, strong recommendation)"
 - However, no studies were cited to support the timing of resumption of antiplatelets

Evidence profile, PICO 18

Resumption	of thienc	pyridine: on t	the same da	y of the prod	edure vs. 1-7 day	s after the p	rocedure					
			Containt						Summary of I	Findings		
	Certainty Assessment				Events / participants		Effec	Effect				
Studies	Risk of bias	Inconsistency	Indirectness	Imprecision	Other considerations	Certainty of Evidence	Overall certainty of evidence	thienopyridin e resumed on same day	thienopyridin e resumed on day 1-7	Relative (95% CI)	Absolute (95% CI)	mm ents
Bleeding withi	n 30 days (cr	itical outcome)										
No studies	-	-	-	-	-	-		-	-	-	-	
Thrombotic ev	ents within 3	30 days (critical o	utcome)								•	
No studies	-	-	-	-	-	-	-	-	-	-	-	
Mortality with	in 30 days (ir	mportant outcom	e, but not critic	al for decision r	naking)	<u> </u>			ı			
No studies	-	-	-	-	-	-		-	-	-	-	

Evidence to Decision Table

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4988 18. Timing of thienopyridine resumption following endoscopy

4989 P: Patient on thienopyridine who underwent endoscopy

4990 I: Resumption on the same day of the procedure

C: Resumption 1 to 7 days after the procedure

O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis,

4993 pulmonary embolus)

IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

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	Judgement (Panel's judgments highlighted in yellow color)	Research evidence	Additional considerations
Desirable Effects	How substantial are the desirable anticipated effects? O Trivial O Small O Moderate O Large O Varies O Don't know	No included studies Theoretically, earlier resumption of thienopyridine after the procedure would tend to reduce thromboembolic events (critical outcome) and increase bleeding (critical outcome) compared to delayed resumption of thienopyridine. Whoever, we did not identify any studies providing relevant data	
Undesirable Effects	How substantial are the undesirable anticipated effects? o Large o Moderate o Small o Trivial o Varies o Don't know		
Certainty of evidence	What is the overall certainty of the evidence of effects? o Very low o Low o Moderate o High o No included studies	See Evidence Profile Table.	

Values and Preferences	Is there important uncertainty about or variability in how much people value the main outcomes? o Important uncertainty or variability o Possibly important uncertainty or variability o Probably no important uncertainty or variability o No important uncertainty or variability	
Balance of effects	Does the balance between desirable and undesirable effects favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies O Don't know	
Resources required	How large are the resource requirements (costs)? O Large costs O Moderate costs O Negligible costs and savings O Moderate savings O Large savings O Large savings O Varies O Don't know	
Certainty of Evidence of Required Resources	What is the certainty of the evidence of resource requirements (costs)? o Very low o Low o Moderate o High o No included studies	

Cost effectiveness	Does the cost-effectiveness of the intervention favor the intervention or the comparison? O Favors the comparison O Probably favors the comparison O Does not favor either the intervention or the comparison O Probably favors the intervention O Favors the intervention O Varies O No included studies
Acceptability	Is the intervention acceptable to key stakeholders? O No O Probably no O Probably yes O Yes O Varies O Don't know
Feasibility	Is the intervention feasible to implement? O No O Probably no O Probably yes O Yes O Varies O Don't know

49984999 <u>Conclusions</u>

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5000 18. Timing of thienopyridine resumption following endoscopy

P: Patient on thienopyridine who underwent endoscopy

5002 I: Resumption on the same day of the procedure

C: Resumption 1 to 7 days after the procedure

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O: CRITICAL: Bleeding within 30 days; thrombotic event within 30 days (ischemic stroke, TIA, systemic embolism, myocardial infarction, deep vein thrombosis, pulmonary embolus)
IMPORTANT, BUT NOT CRITICAL: mortality within 30 days

Conditional recommendation Strong Conditional Strong recommendation recommendation **Neither for or against** for the intervention recommendation against the against the 6/6 votes: 100% for the Type of intervention intervention intervention recommendation 0 0 0 0 0 In patients who are undergoing elective endoscopic GI procedures whose thienopyridine was interrupted, we cannot make a Recommendation recommendation whether to resume it on the same day of the procedure vs. 1-7 days after the procedure Justification **Subgroup considerations** Implementation considerations Monitoring and evaluation **Research priorities**

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References for PICO 18

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- 2. Levine GN, et al. 2016 ACC/AHA Guideline Focused Update on Duration of Dual Antiplatelet Therapy in Patients with Coronary Artery
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