

## Gating Criteria for SARS-CoV-2 mAbs Moving into Phase II Studies—Abbreviated

	Minimum Criteria for Selection	No-Go Criteria	Supporting Data
<b>Ascending Dose Study</b>	<ul style="list-style-type: none"> <li>No toxicity or other major safety concerns seen within a practical dose range for assumed efficacy</li> </ul>	<ul style="list-style-type: none"> <li>Toxicity seen within assumed efficacy dose range</li> </ul>	<ul style="list-style-type: none"> <li>Details of ascending dose study and outcomes</li> </ul>
<b>Phase I Dose Finding Study (If planning to enter Phase II of ACTIV studies)</b>	<ul style="list-style-type: none"> <li>Completed a dose-finding study or other Phase I study</li> <li>Plans defined and shared for a Phase I dose finding study that will allow the company to select no more than two doses to enter the ACTIV trials</li> </ul>	<ul style="list-style-type: none"> <li>No Phase I clinical plans given</li> </ul>	<ul style="list-style-type: none"> <li>Phase I Clinical Testing Plan (<i>Should be evaluated for soundness and should be assumed to have positive results for the purpose of evaluation for moving forward</i>)</li> <li>Can be requested from company after initial information submission if not provided</li> </ul>
<b>Safety</b>	<ul style="list-style-type: none"> <li>Clinically acceptable safety profile with no evidence of ADE</li> <li>SAE not higher than is seen with SoC</li> </ul>	<ul style="list-style-type: none"> <li>SAEs higher than seen with placebo in Phase I</li> <li>Substantial off target binding</li> <li>ADA in Phase I that limits exposure or impacts safety</li> </ul>	<ul style="list-style-type: none"> <li>Safety data from a Phase I study</li> </ul>
<b>Phase I Plan</b>	<ul style="list-style-type: none"> <li>Provided detailed Phase I Plan that covers the clinical safety capture, ascending dose study, and dose finding study</li> </ul>	<ul style="list-style-type: none"> <li>No Phase I clinical plans given</li> </ul>	<ul style="list-style-type: none"> <li>Phase I Plan</li> </ul>
<b>Meeting with FDA</b>	<ul style="list-style-type: none"> <li>Have had conversation / consultation with the FDA</li> </ul>	<ul style="list-style-type: none"> <li>No indication FDA submission</li> </ul>	<ul style="list-style-type: none"> <li>FDA Conversations shared or at the very least dates of the FDA submissions and feedback received</li> </ul>

## “Nice-to-Have” Gating Criteria for SARS-CoV-2 mAbs

	Minimum Criteria for Selection	Supporting Data
<b>Specificity to Virus</b>	<ul style="list-style-type: none"> <li>Ab that binds SARS-CoV-2 and does not bind human proteins</li> <li>Optional: Cross reactivity data on close virus species.</li> </ul>	<ul style="list-style-type: none"> <li>Live virus neutralization of SARS-CoV-2 and lack of binding to different human tissue (adult, child, and fetal) including cardiac tissue</li> </ul>
<b>Likely Duration of Therapeutic Dose in Acceptable Animal Models (Most Important for ACTIV-2)</b>	<ul style="list-style-type: none"> <li>One week or greater for treatment</li> <li>Predictable PK from a relevant species</li> <li>PK data generated from a relevant species</li> </ul>	<ul style="list-style-type: none"> <li>One week or less for treatment</li> </ul>
<b>Time to Resistance</b>	<ul style="list-style-type: none"> <li>Virus does not escape antibody neutralization within 2 weeks</li> </ul>	<ul style="list-style-type: none"> <li>In vitro, animal, or human data to support no emergence of viral resistance</li> </ul>
<b>Cross Resistance</b>	<ul style="list-style-type: none"> <li>Antibody active against immune escape variants</li> </ul>	<ul style="list-style-type: none"> <li>In vitro antiviral activity and affinity</li> </ul>
<b>Epitope (Additional Information)</b>	<ul style="list-style-type: none"> <li>Antibody binds unique epitope from other antibodies already being studied in the platform trial</li> <li>No antagonism with other antibodies in a cocktail</li> </ul>	<ul style="list-style-type: none"> <li>In vitro experiment to determine if the test antibody has a synergistic, additive, antagonistic or neutral effect when assayed in combination with other antibodies</li> </ul>
<b>Fc Functionality</b>	<ul style="list-style-type: none"> <li>ADCC/phagocytosis/Antibody trafficking; not sure what characteristics are the most favorable for Fc function trafficking in the body for treatment or prevention and may differ between the two</li> </ul>	<ul style="list-style-type: none"> <li>Mutations to knockout Fc functions have been added; IgG subtype; in vitro assays to determine ADCC; animal model antibody distribution studies to determine antibody distribution/location in the body</li> </ul>
<b>Host Response to Antibody</b>	<ul style="list-style-type: none"> <li>Ab that is not recognized by the recipient immune system, lack of immunogenicity</li> </ul>	<ul style="list-style-type: none"> <li>In vitro ADA assay measurements</li> </ul>
<b>In Vivo Potency Against</b>	<ul style="list-style-type: none"> <li>One week or greater for treatment</li> <li>Predictable PK from a relevant species</li> </ul>	<ul style="list-style-type: none"> <li>Relevant animal model</li> <li>PK data generated from a relevant species</li> </ul>