

ICMJE Form for Disclosure of Potential Conflicts of Interest

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

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Pending: The patent has been filed but not issued

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Royalties: Funds are coming in to you or your institution due to your patent

ICMJE Form for Disclosure of Potential Conflicts of Interest

Section 1. Identifying Information

1. Given Name (First Name) Chaoran	2. Surname (Last Name) Guo	3. Date 23-June-2019
4. Are you the corresponding author? ☐ Yes ☒ No		Corresponding Author's Name Timothy T. Brown
5. Manuscript Title Impact Of Reference Pricing On Cost And Quality In Total Joint Arthroplasty		
6. Manuscript Identifying Number (if you know it) JBJS-D-19-00475R1		

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Section 6. Disclosure Statement

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Dr. Guo has nothing to disclose.

Evaluation and Feedback

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Section 1. Identifying Information

1. Given Name (First Name)
Timothy

2. Surname (Last Name)
Brown

3. Date
22-June-2019

4. Are you the corresponding author? ☒ Yes ☐ No

5. Manuscript Title
Impact Of Reference Pricing On Cost And Quality In Total Joint Arthroplasty

6. Manuscript Identifying Number (if you know it)
JBJS-D-19-00475R1

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

Are there any relevant conflicts of interest? ☒ Yes ☐ No

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Agency for Healthcare Quality and Research	☒	☐	☐	☒	Grant. Also expenses and honorium for grant review activities.

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

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Section 5.

Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

- ☒ Yes, the following relationships/conditions/circumstances are present (explain below):
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having received past research contracts from the California Public Employees' Retirement System (CalPERS) to study reference pricing

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Section 6.

Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Brown reports grants and other from Agency for Healthcare Quality and Research, during the conduct of the study; and having received past research contracts from the California Public Employees' Retirement System (CalPERS) to study reference pricing.

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Section 1. Identifying Information

1. Given Name (First Name)
Marion

2. Surname (Last Name)
Aouad

3. Date
23-June-2019

4. Are you the corresponding author?

☐ Yes ☒ No

Corresponding Author's Name
Timothy T. Brown

5. Manuscript Title
Impact Of Reference Pricing On Cost And Quality In Total Joint Arthroplasty

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Dr. Aouad has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) Dane	2. Surname (Last Name) Brodke	3. Date 23-June-2019
4. Are you the corresponding author? ☐ Yes ☒ No		Corresponding Author's Name Timothy T. Brown
5. Manuscript Title Impact Of Reference Pricing On Cost And Quality In Total Joint Arthroplasty		
6. Manuscript Identifying Number (if you know it) JBJS-D-19-00475R1		

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Dr. Brodke has nothing to disclose.

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Section 1. Identifying Information

1. Given Name (First Name) Kevin	2. Surname (Last Name) Bozic	3. Date 24-June-2019
4. Are you the corresponding author? ☐ Yes ☒ No		Corresponding Author's Name Timothy T. Brown
5. Manuscript Title Impact Of Reference Pricing On Cost And Quality In Total Joint Arthroplasty		
6. Manuscript Identifying Number (if you know it) JBJS-D-19-00475R1		

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Are there any relevant conflicts of interest? ☐ Yes ☒ No

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Are there any relevant conflicts of interest? ☒ Yes ☐ No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
Agency for Healthcare Research and Quality (AHRQ)	☒	☐	☐	☐	
California Public Employees' Retirement System (CalPERS)	☒	☐	☐	☐	
Harvard Business School	☐	☐	☐	☒	Consultant (unpaid)
Centers for Medicare and Medicaid Services	☐	☒	☐	☐	
American Joint Replacement Registry (AJRR)	☐	☐	☐	☒	Governance/Leadership
American Academy of Orthopaedic Surgeons (AAOS)	☐	☐	☐	☒	Governance/Leadership

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Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments
American Association of Hip and Knee Surgeons (AAHKS)	☐	☐	☐	☒	Governance/Leadership
Hip Society	☐	☐	☐	☒	Governance/Leadership
Knee Society	☐	☐	☐	☒	Governance/Leadership
Carrum Health	☐	☐	☐	☒	Stock options
Cardinal Analytx	☐	☒	☐	☐	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? ☐ Yes ☒ No

Section 5. Relationships not covered above

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Dr. Bozic reports grants from Agency for Healthcare Research and Quality (AHRQ), grants from California Public Employees' Retirement System (CalPERS), other from Harvard Business School, personal fees from Centers for Medicare and Medicaid Services, other from American Joint Replacement Registry (AJRR), other from American Academy of Orthopaedic Surgeons (AAOS), other from American Association of Hip and Knee Surgeons (AAHKS), other from Hip Society, other from Knee Society, other from Carrum Health, personal fees from Cardinal Analytx, outside the submitted work; .

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