

**SDC Table 3: Studies Included with VAD Implantation**

Study	Design/Sample	Intervention	Outcomes	Results	Summary/Conclusions
Laoutaris et al (2011) <sup>51</sup>	<p><b>Design:</b> prospective 2:1 RCT (n=15)</p> <p><b>Sample:</b> Bridge to transplant with LVAD or biVAD 5.6 months after implant</p> <p><b>LVAD:</b> EXCOR VAD 86.6% INCOR VAD BiVAD</p> <p><b>Gender:</b> % (n): Male: 93.3% (14) Female: 6.7% (1)</p> <p><b>Age:</b> (mean ± SD): 38.3 ±15.9y</p> <p><b>Diagnoses:</b> Dilated cardiomyopathy 100% both groups</p> <p><b>LVEF%</b> (mean ± SD): NR</p> <p><b>Dropout rate:</b> EX: 28.6%</p>	<p><b>EX:</b> moderate intensity aerobic exercise 45min – 3 to 5x/wk for 10 wk + high intensity inspiratory muscle training 2-10 times x wk for 10 wk, walk every day (n=10)</p> <p><b>C:</b> walk every day (n=5)</p>	<p><b>12 wk:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> (mL/kg/min) Exercise time: (min) 6MWD (m)</p> <p><b>Dyspnea</b> Borg dyspnea rating at end of 6MWD (6-20)</p> <p><b>QOL</b> MLHFQ</p> <p><b>Pulmonary function</b> FEV<sub>1</sub>% predicted FVC%</p>	<p><b>12 wk:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> EX: 19.3±4.5 C:14.8±4.2 P=.10</p> <p>Exercise time EX: 10.1±1.9 C:8.4±2.9 P=.10</p> <p>6MWD EX: 527±76 C: 448±55 P=.10</p> <p><b>Dyspnea</b> EX: 10.5±0.9 C:10.6±0.5 P=.80</p> <p><b>QOL</b> MLHFQ EX: 38.2±11.6 C: 50.8±10.3 P=.30</p> <p><b>Pulmonary function</b> FEV<sub>1</sub>% predicted EX: 83.8+14.3 C: 76+18 P=.10</p>	<p><b>Level of Evidence: II</b></p> <p><b>Jadad score = 5</b></p> <p><b>Strengths</b> - All outcomes measured by assessor blinded to group allocation</p> <p><b>Limitations</b> - Small sample size - Differing types of LVAD in sample, large number had heart transplant soon after participation</p>

	C: 28.6%			FVC% EX: 85.4±9.5 C: 76.1±26 P=0.10  <b>Adverse Events</b> None	
<b>Hayes et al (2012)<sup>52</sup></b>	<p><b>Design:</b> Prospective RCT (n=14)</p> <p><b>Sample:</b> LVAD bridge to transplantation, 32 d after LVAD</p> <p><b>LVAD type:</b> Ventricular Assist, LVAD centrifugal pump (n=14)</p> <p><b>Gender:</b> n (%): Male: 12 (85.7%) Female: 2(14.3%)</p> <p><b>Age</b> (mean ± SD) EX: 48.7 ± 14.5y C: 45.9 ±14.6y</p> <p><b>Diagnoses:</b> -idiopathic DCM – 9 (64.3%) -Ischemic CM – 5 (35.7%)</p>	<p><b>Intervention:</b> <b>EX:</b> aerobic exercise +strengthening + mobilization: 1h - 3x/ wk at 50% peakVO<sub>2</sub> x 8 wk (n=7)</p> <p><b>C:</b> mobilization: alone (n=7), daily walking to Borg RPE=13.</p>	<p><b>8 wk:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> (mL/kg/min) 6MWD (m)</p> <p><b>QOL</b> SF-36: PCS SF-36: MCS</p>	<p><b>8 wk:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> EX: 14.8±4.9 C: 15.3±4.4 P=.43</p> <p>6MWD EX: 531±31 C: 489±95 P=.25</p> <p><b>QOL</b> SF-36 PCS EX: 53.7±23.8 C: 47.7±9.4 P=.11</p> <p>SF-36 MCS EX: 64.1±22.8 C: 58.3±10.2 P=.30</p> <p><b>Adverse events</b> None</p>	<p><b>Level of Evidence: II</b></p> <p><b>Jadad score = 5</b></p> <p><b>Strengths</b> - All outcomes measured by assessor blinded to group allocation</p> <p><b>Limitations</b> - Small sample size - 1 type of LVAD used in all patients - Low intensity of the exercise - Predominance of males</p>

	<p><b>LVEF%</b> (mean ± SD) EX: 16.0±5.0 C: 13.3±4.4</p> <p><b>Dropout rate:</b> EX: 0% C: 0%</p>				
<b>Kugler et al (2012)<sup>53</sup></b>	<p><b>Design:</b> Non-randomized prospective study (n= 70) consecutive patients</p> <p><b>Sample:</b> HF patients, bridge to transplant, 6 wk after implantation</p> <p>Ischemic cardiomyopathy EX: 43.9 C: 49.1</p> <p>Dilated cardiomyopathy EX:56.1% C:47.3%</p> <p><b>LVAD:</b> bridge to transplantation 54.8% Heartmate II 45.2% Heartware</p>	<p>EX group: dietary counseling + weight management + psychosocial counseling + home conditioning with bicycle using baseline CPET test (n=34)</p> <p>C group: usual care, no interventions (n=36)</p>	<p><b>6 mo:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> (mL/kg/min) % predicted</p> <p><b>Psychosocial outcomes</b> SF-36 PCS SF-36 MCS HADS-A HADS-D</p> <p><b>BMI (kg/m<sup>2</sup>)</b></p> <p><b>12 months:</b> <b>Same as 6 months</b></p> <p><b>18 months:</b> <b>Same as 6 months</b></p>	<p><b>6 mo:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> EX: 62.5% C: 58% P=NR</p> <p><b>Psychosocial</b> SF-36 PCS EX: 39 C: 38</p> <p>P=NR</p> <p>SF-36 MCS EX: 50.5 C: 51 P=NR</p> <p>HADS-A EX: 4.5 C: 5.5 P=NR</p> <p>HADS-D EX: 5 C: 4.5 P=NR</p>	<p><b>Level of Evidence:</b> III</p> <p><b>Jadad score:</b> NA</p> <p><b>Strengths</b></p> <ul style="list-style-type: none"> <li>- Longer term follow-up</li> <li>- Largest sample among all the exercise studies in patients with LVAD</li> </ul> <p><b>Limitations</b></p> <ul style="list-style-type: none"> <li>- No numerical values of outcomes presented in the paper, except BMI</li> <li>- Single center individualized exercise not described</li> <li>- Training volumes not reported.</li> <li>- Single individual delivered interventions</li> <li>- Baseline was completed at 6 wk post-LVAD</li> <li>- Many significance values between groups not reported.</li> </ul>

	<p><b>Gender:</b> %(n): Male: EX: 85.4% C: 87.5%</p> <p><b>Age:</b> (mean ± SD) EX: 52±2 C: 51±2</p> <p><b>Diagnoses:</b></p> <p><b>LVEF%:</b> (mean ± SD): NR</p> <p><b>Dropout rate:</b> EX: 29.4% C: 28.6%</p>			<p><b>BMI</b> EX: 24.8 C: 26.2 P=.70</p> <p><b>12 mo:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> EX: 66 C: 61.5 MD P=NR</p> <p><b>Psychosocial</b> SF-36 PCS EX: 39.5 C: 35 P=NR</p> <p>SF-36 MCS EX: 51 C: 50.5 P=NR</p> <p>HADS-A EX: 4.9 C: 6.1 P=NR</p> <p>HADS-D EX: 4.8 C:4.8 P=NR</p> <p><b>BMI</b> EX: 25.3 C: 27.4 P=.32</p>	
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				<p><b>18 mo:</b></p> <p><b>Exercise capacity</b>  PeakVO<sub>2</sub>  EX: 68  C: 62.5  P=.01</p> <p><b>Psychosocial</b>  SF-36 PCS EX:  40  C: 35  P=.54</p> <p>SF-36-MCS  EX: 50  C: 50  P= .37</p> <p>HADS-A  EX: 5  C: 6.5  P=.03</p> <p>HADS-D  EX: 4.5  C:4.9  P=.34</p> <p><b>BMI</b>  EX: 24.4 (22.5-28.7)  C:29.7 (24.4-30.9)  P= .02</p> <p><b>Adverse Events</b>  NR</p>	
<b>Karapolat et</b>	<b>Design:</b>	<b>EX:</b> Hospital aerobic	<b>8 wk:</b>	<b>8 wk:</b>	<b>Level of Evidence: VI</b>

<p><b>al (2013)<sup>54</sup></b></p>	<p>Retrospective pre-post design (n=11)</p> <p><b>Sample:</b> LVAD as a bridge transplantation Dilated HF: 54.6%</p> <p><b>LVAD:</b> 3=Berlin Heart EXCOR VAD 8=HeartWare VAD. 2.8 mo after implant</p> <p><b>Gender</b> n (%): Female: 14.3% Male: 85.7%</p> <p><b>Age</b> (mean ± SD) 45.57±14.05y</p> <p><b>LVEF%</b> (mean ± SD): NR</p> <p><b>Dropout rate:</b> NR</p>	<p>exercise: 90 min, 3x week x 8 wk + flexibility exercise + strengthening exercise upper and lower extremity) + relaxation exercise</p>	<p><b>Exercise capacity</b> PeakVO<sub>2</sub></p> <p><b>Pulmonary function test (PFT)</b> FEV<sub>1</sub> FVC</p> <p><b>QOL</b> SF-36 PF SF-36 MH</p> <p><b>Psychological</b> Spielberger STAI</p> <p>BDI</p>	<p><b>Exercise capacity</b> PeakVO<sub>2</sub> Pre: 14.68+3.63 Post: 15.13±3.42 P=.93</p> <p><b>PFT</b> FEV<sub>1</sub> Pre: 67.74+30.95 Post: 77.94±26.94 P=.26</p> <p>FVC Pre: 72.02+25.29 Post:82.18+22.78 P=.43</p> <p><b>QOL</b> SF-36 PF Pre: 38.57±36.37 Post: 56.67 ±25.23 P=.85</p> <p>SF-36 MH Pre: 66.86±13.80 Post: 82.67±16.91 P=.33</p> <p><b>Psychological</b> STAI State Pre: 48.00±2.77 Post:45.64±6.95 P=.49</p> <p>STAI Trait</p>	<p><b>Jadad score</b> = NA</p> <p><b>Strengths</b></p> <p><b>Limitations</b> - Small sample size - Dropout rates: only reported for LVAD participants</p>
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				Pre: 48.14±4.88 Post:42.83±5.42 P=.35  BDI Pre: 11.29±7.39 Post:5.00±6.03 P=.89  <b>Adverse Events</b> NR	
<b>Kerrigan et al (2014)<sup>10</sup></b>	<p><b>Design:</b> prospective RCT uneven 2:1 EX:UC (n= 26)</p> <p><b>Sample:</b> continuous-flow LVAD implanted 1- 6 mo</p> <p><b>LVAD type:</b> continuous flow (HeartMate II or HeartWare)</p> <p><b>Gender:</b> n(%) Female: 7(26.9) Male: 19(73.17)</p> <p><b>Age:</b> (mean ± SD) EX: 53±13 C:60±12</p> <p><b>Ethnicity</b></p>	<p><b>EX:</b> CR=aerobic exercise 30 min at training intensity 3x week x 6 wk at 60% to 80% of heart rate reserve (n=18)</p> <p><b>C:</b> Usual care, no exercise prescription (n=8)</p>	<p><b>6 wk:</b> <b>Exercise capacity</b> PeakVO<sub>2</sub> (mL/kg/min) 6MWD (m) Treadmill time (min)</p> <p><b>QOL</b> KCCQ summary score</p> <p><b>Peak torque</b> Single-leg isokinetic strength</p>	<p><b>6 wk:</b> <b>Exercise capacity</b> Peak VO<sub>2</sub> EX:11.9±0.43 C: 9.9 ±0.14 P=.27 6MWD EX: 402.4±89.3 C: 356.0±51.6 P=.24</p> <p>Treadmill time EX: 11.0±2.1 C: 7.4±2.9 P=001</p> <p><b>QOL</b> KCCQ EX: 75 C: 65 P=.005</p> <p><b>Peak Torque</b></p>	<p><b>Level of Evidence: II</b></p> <p><b>Jadad score = 5</b></p> <p><b>Strengths:</b> - All outcomes measured by a assessor blinded to group allocation</p> <p><b>Limitations:</b> - Small sample size</p>

	<p>AA 14 (77%)</p> <p><b>Diagnoses:</b> - Non-ischemic: 18 (69.2%) -Ischemic: 8 (30.7%)</p> <p><b>LVEF%:</b> (mean <math>\pm</math> SD): EX:21<math>\pm</math>7 C: 21<math>\pm</math>9</p> <p><b>Dropout rate:</b> EX: 11.1% C: 12.5%</p>			<p>Single-leg isokinetic strength EX: 100 C: 92 P=.016</p> <p><b>Adverse events</b> 1 ER visit due to VT causing syncope within 1 hr of exercise</p>	
<b>Marko et al (2015)<sup>55</sup></b>	<p><b>Design:</b> Single group retrospective use of rehabilitation data between 2010-2012 (n=41); outcomes only reported on n=15</p> <p><b>Sample:</b> LVAD patient completing cardiac rehabilitation. 48 <math>\pm</math>38 d post-LVAD implantation 71% had ICD</p> <p><b>LVAD:</b> Heart Ware = 32 Heartmate II = 9</p>	<p><b>EX:</b> Rehabilitation program and medical training therapy walking and gymnastics, with goal of reaching Borg rating of 13</p> <p><b>Aerobic training:</b> 3 min bicycling with no load at the beginning and at the end of the session; alternating periods of high and low intensity</p> <p><b>Strength training:</b> leg muscles with 12 repetitions used</p>	<p><b>1 mo: Exercise capacity</b></p> <p>PeakVO<sub>2</sub> (mL/min/kg) (n=15)</p> <p>METs</p> <p>NT-proBNP</p>	<p><b>1 mo: Exercise capacity</b></p> <p>PeakVO<sub>2</sub> Pre: 11.3<math>\pm</math>4.12 Post: 14.51<math>\pm</math>5.20 P=.007</p> <p>METs Pre: 3.2<math>\pm</math>1.2 Post: 4.2<math>\pm</math>1.5 P=.007</p> <p>NT-proBNP Pre: 4076<math>\pm</math>3678 Post: 2362<math>\pm</math>1430 P=NR; 27% reduction</p> <p><b>Adverse Events</b> 1 nonsustained VT</p>	<p><b>Level of Evidence:</b> VI</p> <p><b>Jadad score</b> = NR</p> <p><b>Strengths:</b></p> <p><b>Limitations:</b> - PeakVO<sub>2</sub> available on a small portion of the sample n=15 only - Not all patients had the same training protocol. - Timing of outcome measures NR</p>



	<p><b>Gender:</b> (n)%: Female: 8(20) Male: 33(80)</p> <p><b>Age:</b> (mean ± SD) 54.8±11.6 y</p> <p><b>Diagnoses:</b> (mean ± SD) Ischemic: 19 (43) Idiopathic: 17 (41) Other: 5 (16)</p> <p><b>LVEF</b> (mean ± SD): NR</p> <p><b>Dropout rate:</b> NR</p>	<p>Total training period was 32 d/person.</p>		<p>during cycling</p>	
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**Final List VAD**

Total: 6 Studies

Laoutaris ID, Dritsas A, Adamopoulos S, et al. Benefits of physical training on exercise capacity, inspiratory muscle function, and quality of life in patients with ventricular assist devices long-term postimplantation. *Eur J Cardiovasc Prev Rehabil.* 2011;18(1):33-40.

Hayes K, Leet AS, Bradley SJ, Holland AE. Effects of exercise training on exercise capacity and quality of life in patients with a left ventricular assist device: a preliminary randomized controlled trial. *J Heart Lung Transplant.* 2012;31(7):729-734.

Kugler C, Malehsa D, Schrader E, et al. A multi-modal intervention in management of left ventricular assist device outpatients: dietary counselling, controlled exercise and psychosocial support. *Eur J Cardiothorac Surg.* 2012;42(6):1026-1032.

Karapolat H, Engin C, Eroglu M, et al. Efficacy of the cardiac rehabilitation program in patients with end-stage heart failure, heart transplant patients, and left ventricular assist device recipients. *Transplant Proc.* 2013;45(9):3381-3385.

Kerrigan DJ, Williams CT, Ehrman JK, et al. Cardiac rehabilitation improves functional capacity and patient-reported health status in patients with continuous-flow left ventricular assist devices: the Rehab-VAD randomized controlled trial. *JACC Heart Fail.* 2014;2(6):653-659.

Marko C, Danzinger G, Käferbäck M, et al. Safety and efficacy of cardiac rehabilitation for patients with continuous flow left ventricular assist devices. *Eur J Prev Card.* 2015;22(11):1378-1384.

Table abbreviations: AA, African American; BDI, Beck Depression Inventory; biVAD, biventricular assist device; BMI, body mass index; C, control group; CR, cardiac rehabilitation; CM, cardiomyopathy; CPET, cardiopulmonary exercise test; DCM, dilated cardiomyopathy; ER, emergency room; EX, exercise intervention; HADS-A, Hospital Anxiety Depression Scale; HADS-D, Hospital Anxiety Depression Scale-Depression; HF, heart failure; FEV<sub>1</sub>, forced expiratory volume in 1 sec; FVC, forced vital capacity; LVAD, left ventricular assist device; MLHFQ, Minnesota Living with Heart Failure Questionnaire; NR, not reported; RCT, randomized controlled trial; SD, standard deviation; SF-36 MCS, Short Form-36 item Mental Composite Summary; SF-36 MH, Short Form-36 item Mental Health; SF-36 PCS, Short Form-36 item Physical Composite Score; SF-36 PF, Short Form-36 item Physical Function; STAI, State-Trait Anxiety Inventory; UC, usual care; VO<sub>2</sub>, oxygen uptake; VT, ventricular tachycardia; 6MWD, 6-minute walk distance.